



*Investigating the Spin Structure  
of the Proton at RHIC:  
Recent Results*

**Christine Aidala  
Los Alamos National Lab**

**INFN Ferrara  
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# Proton Structure at RHIC

<p><b>Gluon helicity distribution and <math>\Delta G</math></b></p>	<p><b>Flavor-separated sea quark helicity distributions</b></p>	<p><b>“Transverse spin” phenomena</b></p>
<p><math>\pi</math>, Jets <math>A_{LL}(gg, gq \rightarrow \pi + X)</math></p> <p>Prompt Photons <math>A_{LL}(gq \rightarrow \gamma + X)</math></p> <p>Back-to-Back Correlations</p>	<p><b>W Production</b></p> <p><math>A_L(u + \bar{d} \rightarrow W^+ \rightarrow l^+ + \nu_l)</math></p> <p><math>A_L(\bar{u} + d \rightarrow W^- \rightarrow l^- + \bar{\nu}_l)</math></p>	<p><b>Transversity</b></p> <p><b>Transverse-momentum-dependent distributions</b></p> <p>Single-Spin Asymmetries</p>

Advantages of a polarized *proton-proton collider*:

- Hadronic collisions  $\square$  Leading-order access to gluons
- High energies  $\square$  Applicability of perturbative QCD
- High energies  $\square$  Production of new probes: W bosons

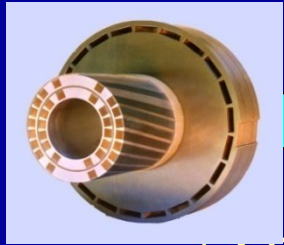
# RHIC and Polarized Collider



Absolute Polarimeter (H jet)



RHIC pC Polarimeter



PHOBOS



Siberian Snakes

BRAHMS & PHENIX

Spin Flipper

PHENIX

STAR

Spin Rotators

Partial Snake

Helical Partial Snake

Strong Snake

Polarized Source

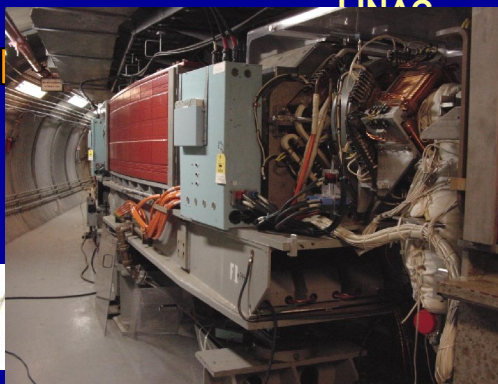
AGS

STER

Rf Dipole

AGS

C. Aidala



V  
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# *RHIC Spin Physics Experiments*

- Three experiments: STAR, PHENIX, BRAHMS
- After 2006 only STAR and PHENIX running



Accelerator performance:  
Avg. pol  $\sim 55\%$  at 200 GeV (design 70%).  
Achieved  $5.0 \times 10^{31} \text{ cm}^{-2} \text{ s}^{-1}$  lumi (design  $\sim 4\text{x}$  this).

# *RHIC Integrated Luminosity and Polarization History*

## *(PHENIX lumi values)*

Run	Energy [GeV]	Polarization [%]	Longitudinal		Transverse	
			L [nb <sup>-1</sup> ]	L D4 [nb <sup>-1</sup> ]	L [nb <sup>-1</sup> ]	L D2 [nb <sup>-1</sup> ]
2002	200	15			0.15	$2.4 \times 10^{-3}$
2003	200	27	0.35	$1.9 \times 10^{-3}$	-	-
2004	200	40	0.12	$9 \times 10^{-3}$	-	-
2005	200	49 (47)	3.4	$2 \times 10^{-1}$	0.16	$3.5 \times 10^{-2}$
2006	200	57 (51)	7.5	$7.9 \times 10^{-1}$	2.7	$7.0 \times 10^{-1}$
2006	62	48	0.08	$4.2 \times 10^{-3}$	0.02	$4.6 \times 10^{-3}$
2008	200	46	-	-	5.2	$1.1 \times 10^0$
2009	500	39	14	$2.1 \times 10^{-1}$	-	-
2009	200	55	16	$1.5 \times 10^0$	-	-

First 500 GeV commissioning run in 2009

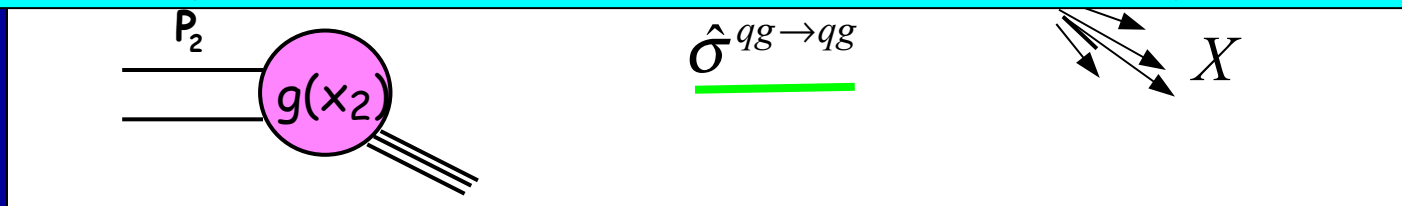
# *Studying Nucleon Structure in $p+p$ : Reliance on Input from Simpler Systems*

- Disadvantage of hadronic collisions: much “messier” than DIS! □ *Rely on input from simpler systems*
- The more we know from simpler systems such as DIS and  $e+e^-$  annihilation, the more we can in turn learn from hadronic collisions!

# Factorization and Universality in Perturbative QCD



More on factorization and universality later . . .



$$\sigma(pp \rightarrow \pi^0 X) \propto \underbrace{q(x_1)} \otimes \underbrace{g(x_2)} \otimes \underbrace{\hat{\sigma}^{qg \rightarrow qg}(\hat{s})} \otimes \underbrace{D_q^{\pi^0}(z)}$$

“Hard” probes have predictable rates given:

- Parton distribution functions (need experimental input)
- Partonic hard scattering rates (calculable in pQCD)
- Fragmentation functions (need experimental input)

Universality  
(Process  
independence)

# Proton Spin Structure at RHIC

**Gluon helicity distribution and  $\Delta G$**

**Flavor-separated sea quark helicity distributions**

**“Transverse spin” phenomena**

$\pi$ , Jets  $A_{LL}(gg, gq \rightarrow \pi + X)$

**W Production**

**Transversity**

Prompt Photons  $A_{LL}(gq \rightarrow \gamma + X)$

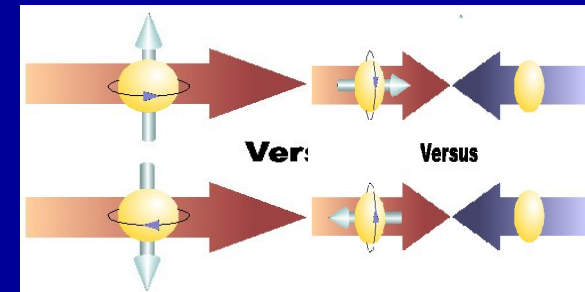
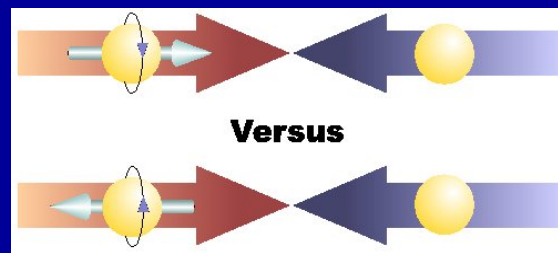
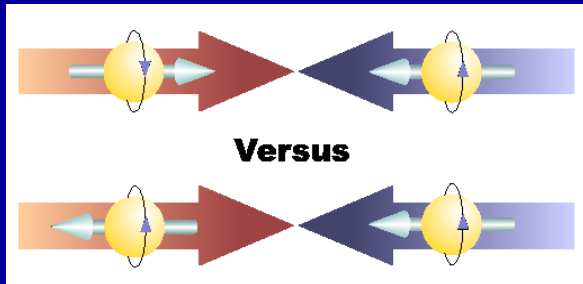
$A_L(u + \bar{d} \rightarrow W^+ \rightarrow l^+ + \nu_l)$

**Transverse-momentum-dependent distributions**

Back-to-Back Correlations

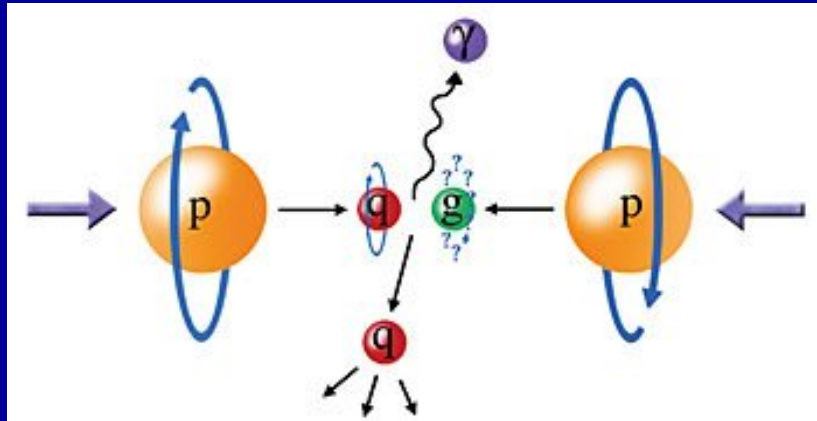
$A_L(\bar{u} + d \rightarrow W^- \rightarrow l^- + \bar{\nu}_l)$

**Single-Spin Asymmetries**





# Probing the Helicity Structure of the Nucleon with $p+p$ Collisions



$$A_{LL} = \frac{\Delta\sigma}{\sigma} = \frac{N_{++}/L_{++} - N_{+-}/L_{+-}}{|P_1 P_2| N_{++}/L_{++} + N_{+-}/L_{+-}}$$

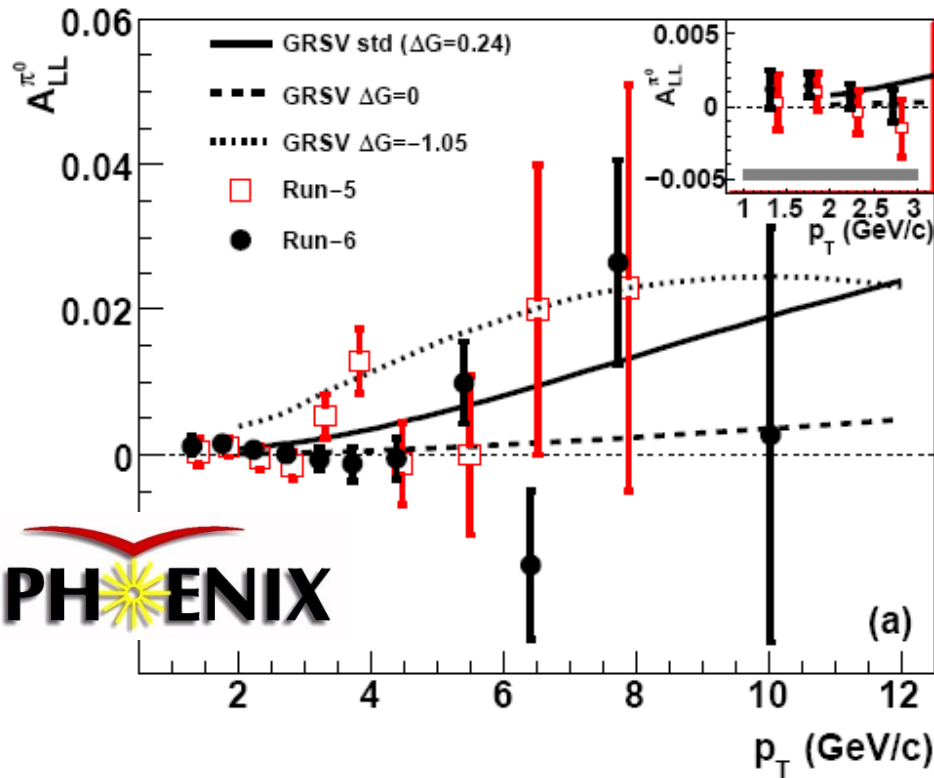
Study difference in particle production rates for same-helicity vs. opposite-helicity proton collisions

$$\Delta\sigma(pp \rightarrow \pi^0 X) \propto \underbrace{\Delta q(x_1)}_{\text{DIS}} \otimes \underbrace{\Delta g(x_2)}_{?} \otimes \underbrace{\Delta\hat{\sigma}^{qg \rightarrow qg}(\hat{s})}_{\text{pQCD}} \otimes \underbrace{D_q^{\pi^0}(z)}_{\text{e+e-}}$$

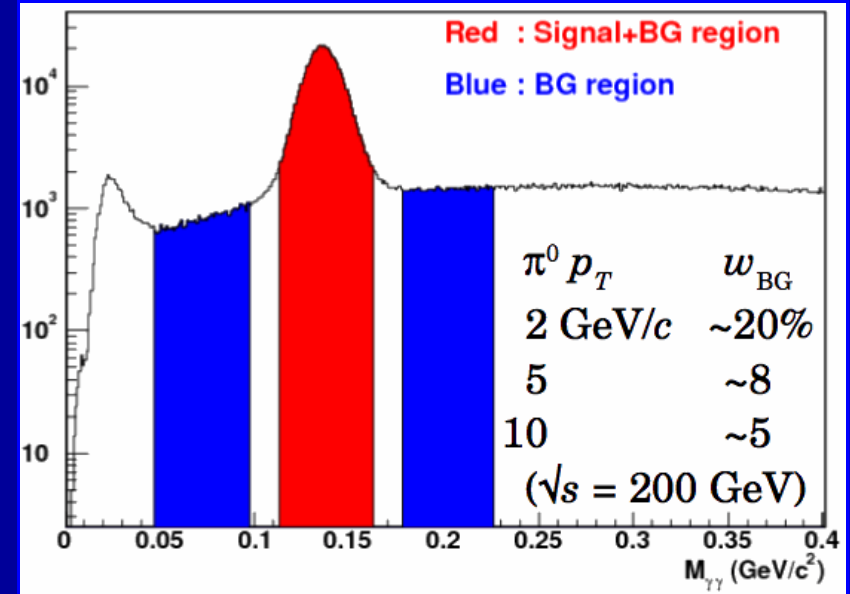
Leading-order access to gluons  $\square \Delta G$

# Inclusive Neutral Pion Asymmetry at $\sqrt{s}=200$ GeV

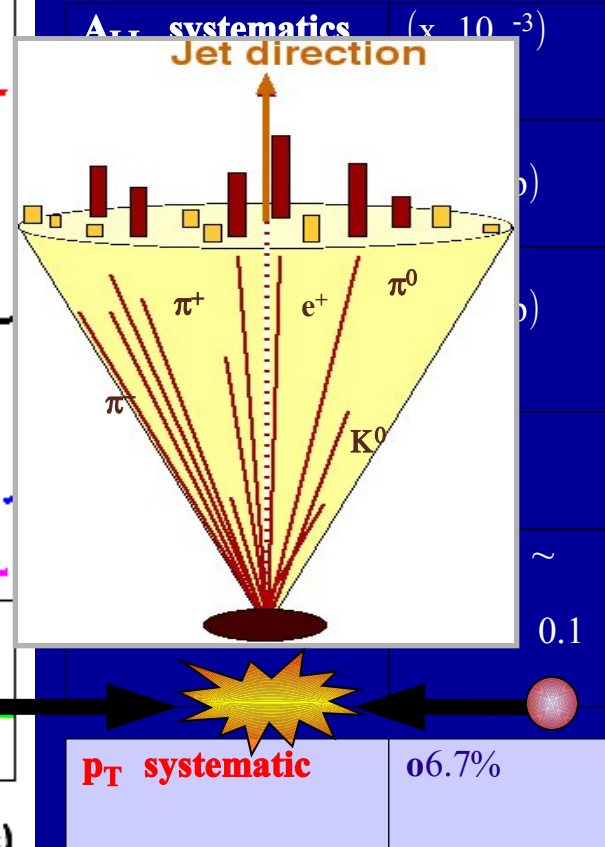
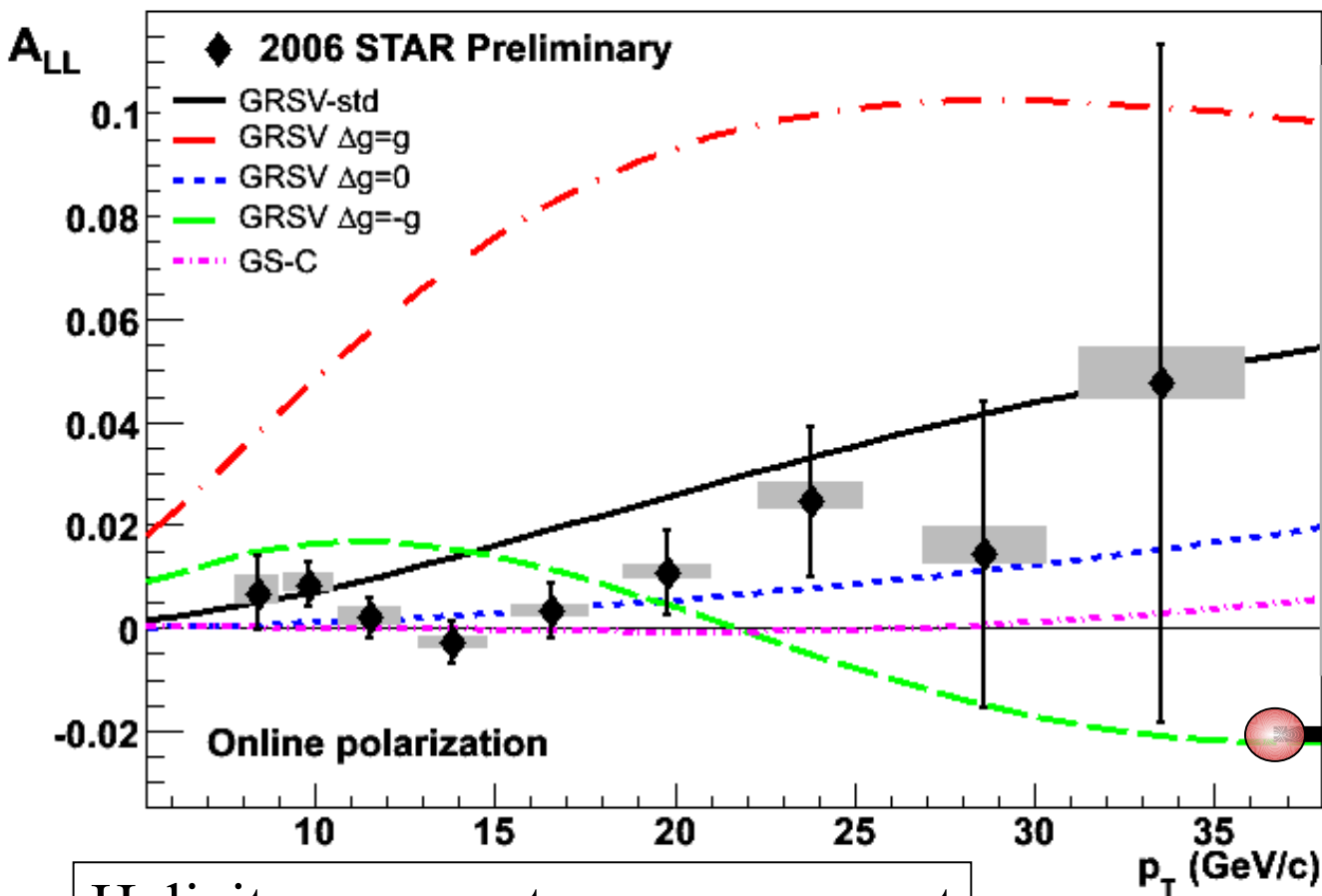
PRL 103, 012003 (2009)



Helicity asymmetry measurement



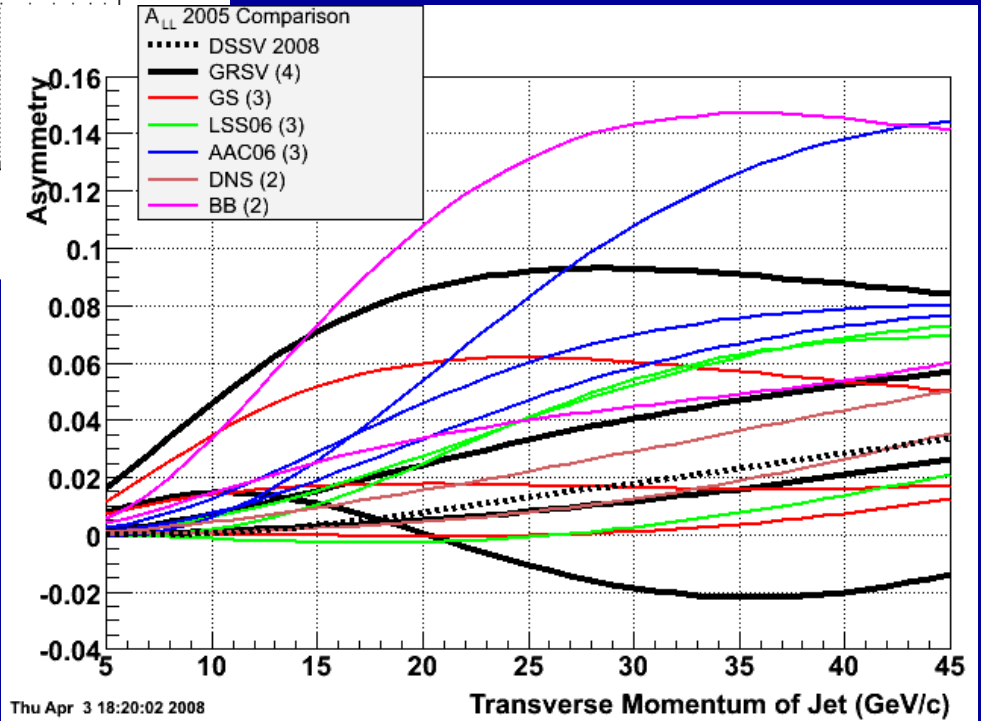
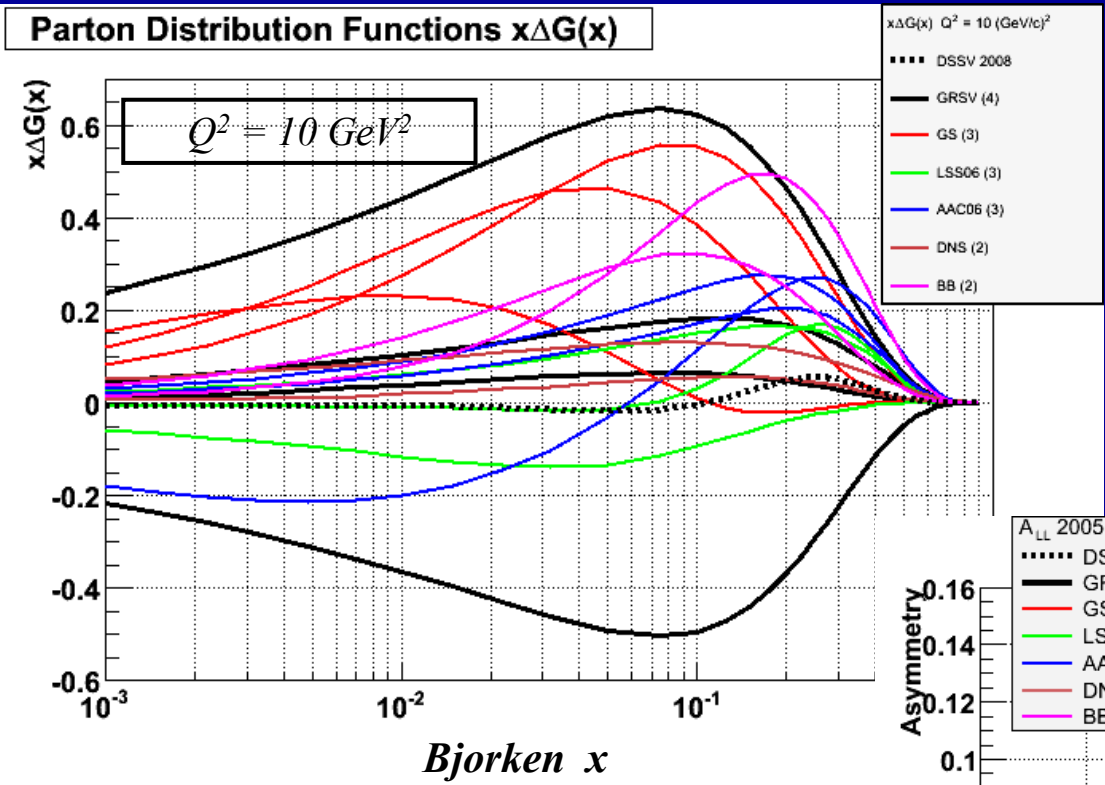
# Inclusive Jet Asymmetry at $\sqrt{s}=200$ GeV



Helicity asymmetry measurement

GRSV curves and data with cone radius  $R=0.7$  and  $-0.7 < \eta < 0.9$

STAR

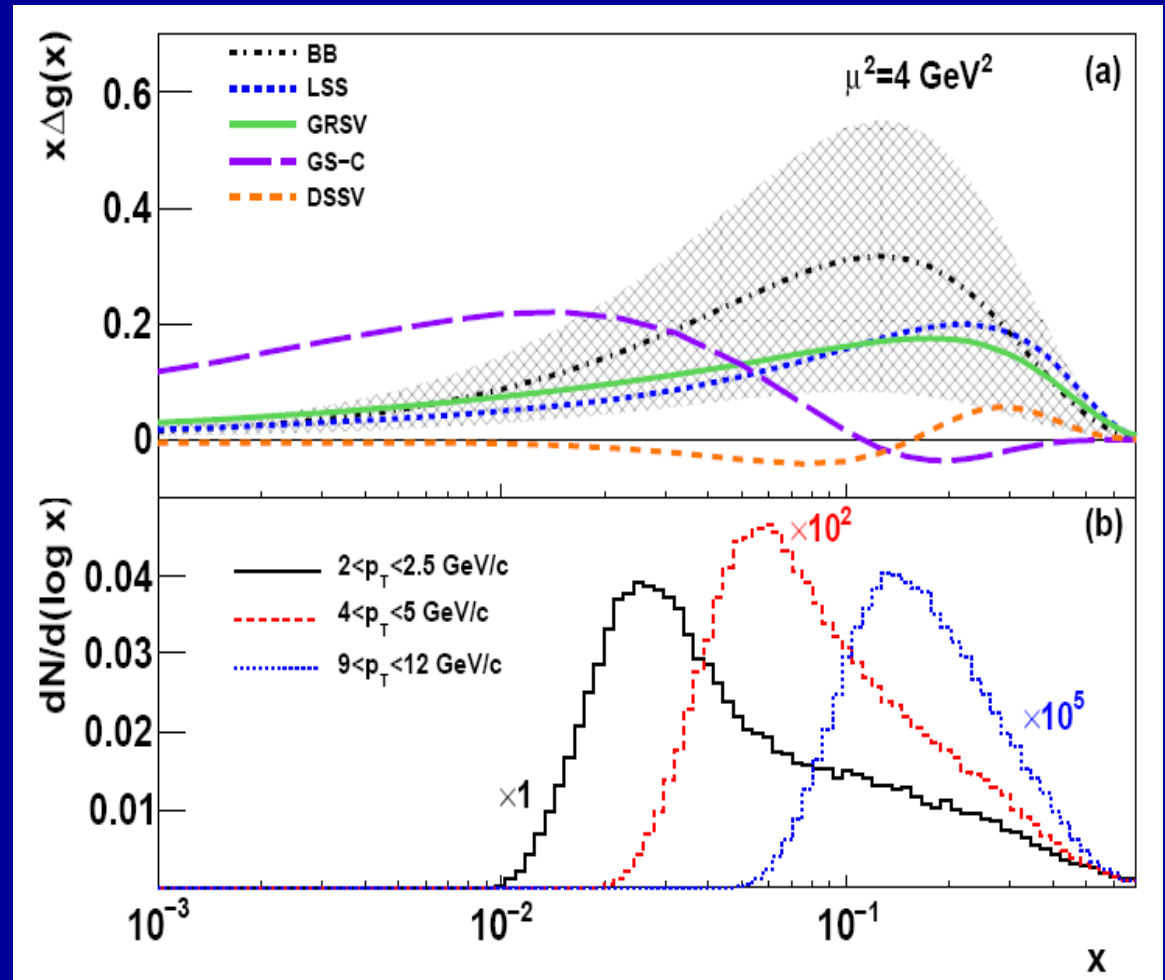


Any parameterization or assumption for  $\Delta g(x)$  vs  $x$  can be translated into predictions for  $A_{LL}$  vs. jet, pion, etc.  $p_T$  and compared with RHIC data.

# Sampling the Integral of $\Delta G$ : $\pi^0 p_T$ vs. $x_{gluon}$

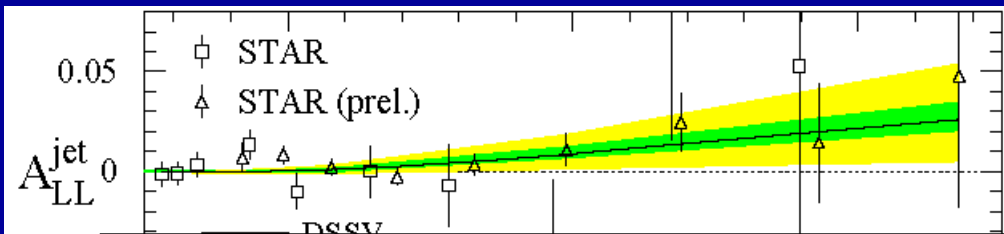
Inclusive asymmetry measurements in p+p collisions sample from wide bins in  $x$ —sensitive to (truncated) integral of  $\Delta G$ , not to functional form vs.  $x$

Based on simulation using NLO pQCD as input

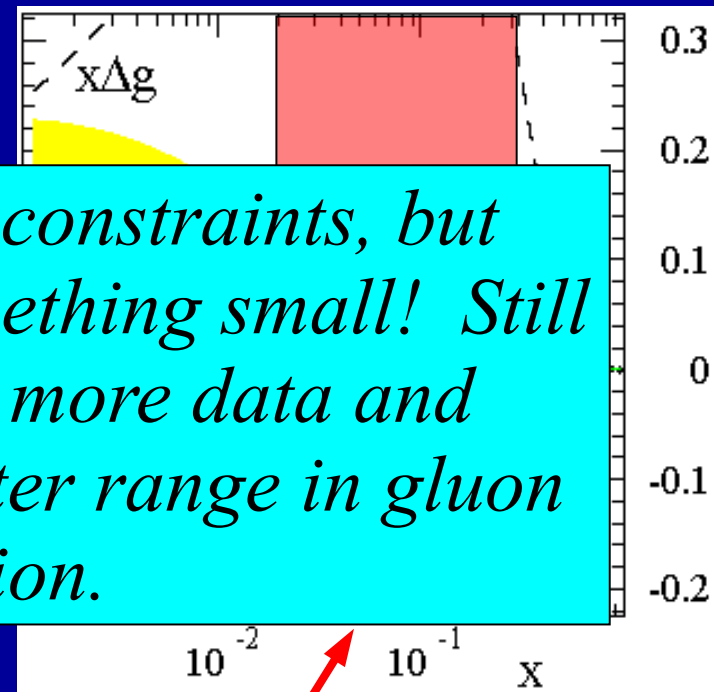


PRL 103, 012003 (2009)

# Present Status of $\Delta g(x)$ : Global pdf Analyses



de Florian et al., PRL101, 072001 (2008)



*RHIC results have improved constraints, but evidently trying to measure something small! Still a long road ahead . . . Need more data and measurements covering a greater range in gluon momentum fraction.*

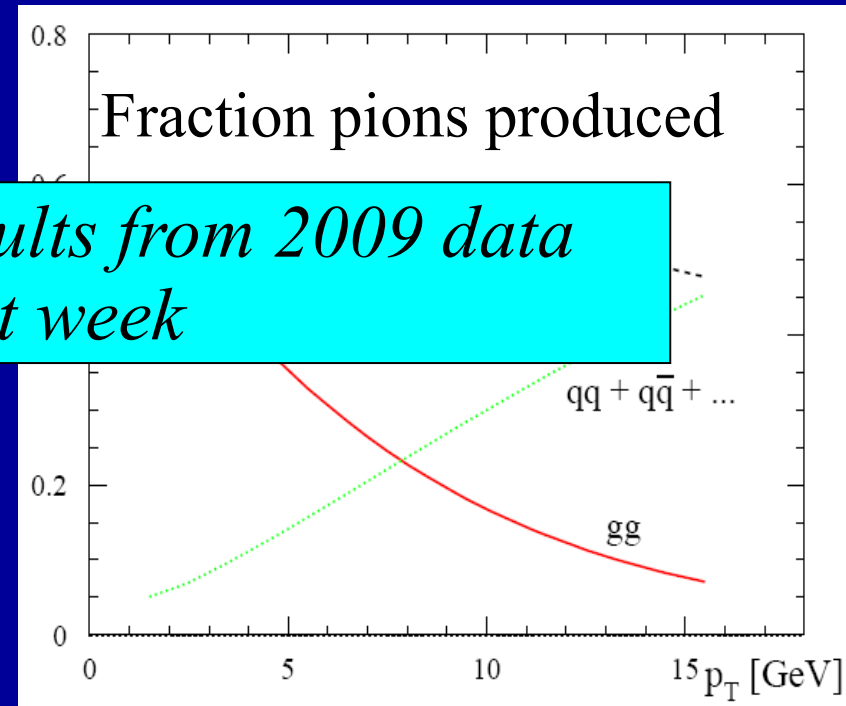
- Truncated moment of  $\Delta g(x)$  at moderate  $x$  found to be small
- Best fit finds node in gluon distribution near  $x \sim 0.1$ 
  - Not prohibited, but not so intuitive . . .

*x range covered by current RHIC measurements at 200 GeV*

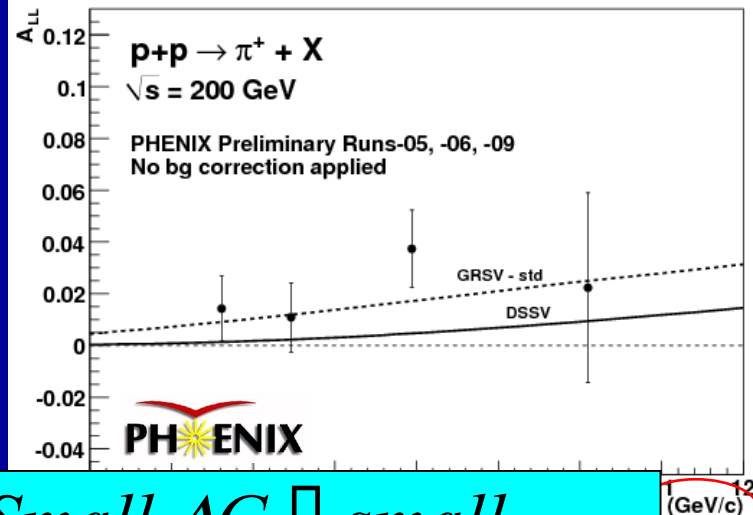
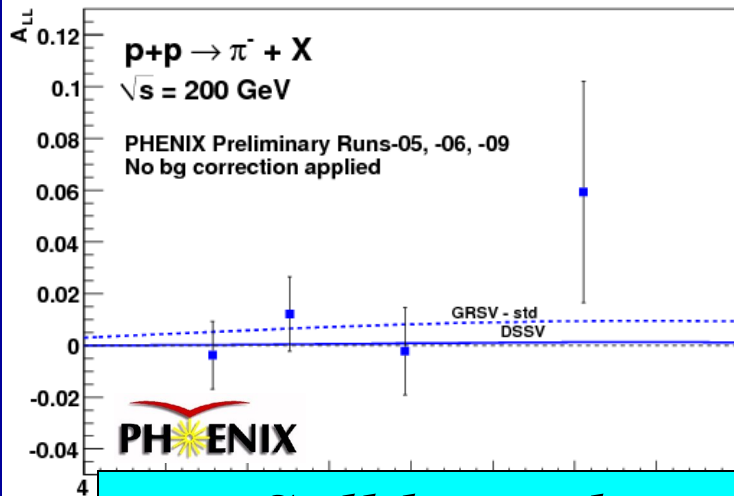
# The Pion Isospin Triplet, $A_{LL}$ and $\Delta G$

- At transverse momenta  $> \sim 5$  GeV/c, midrapidity pions dominantly produced via  $qg$  scattering
- To  $\Delta d$  have opposite signs make  $A_{LL}$  of  $\pi^+$  and  $\pi^-$  differ
- Order of asymmetries of pion species can provide information on the *sign* of  $\Delta G$ , which remains uncertain . . .

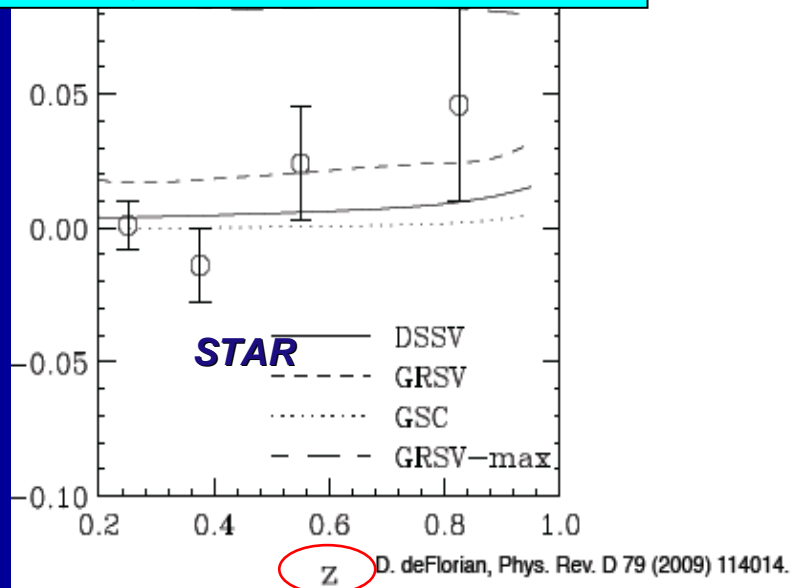
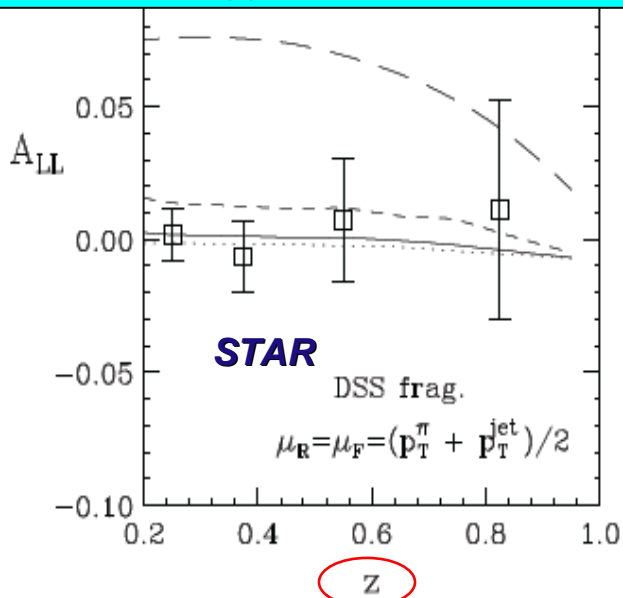
*PHENIX preliminary results from 2009 data released last week*



$$\Delta G > 0 \Rightarrow A_{LL}^{\pi^+} > A_{LL}^{\pi^0} > A_{LL}^{\pi^-}$$



*Still limited statistics. Small  $\Delta G \Rightarrow$  small differences between pion asymmetries!*

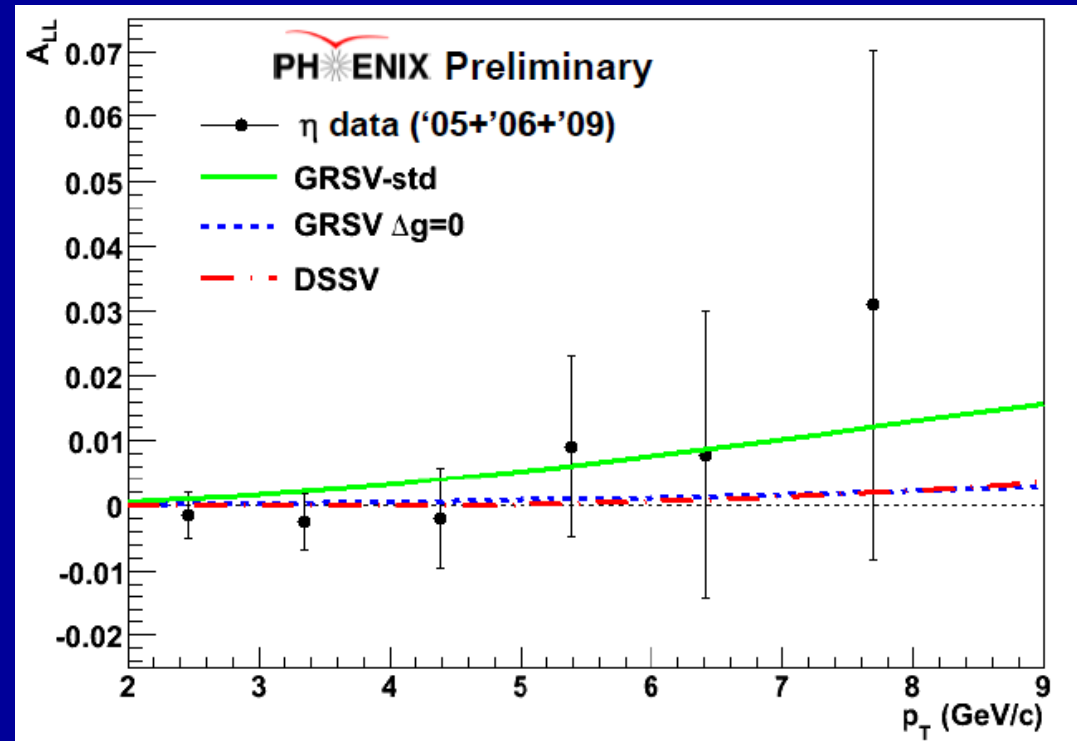




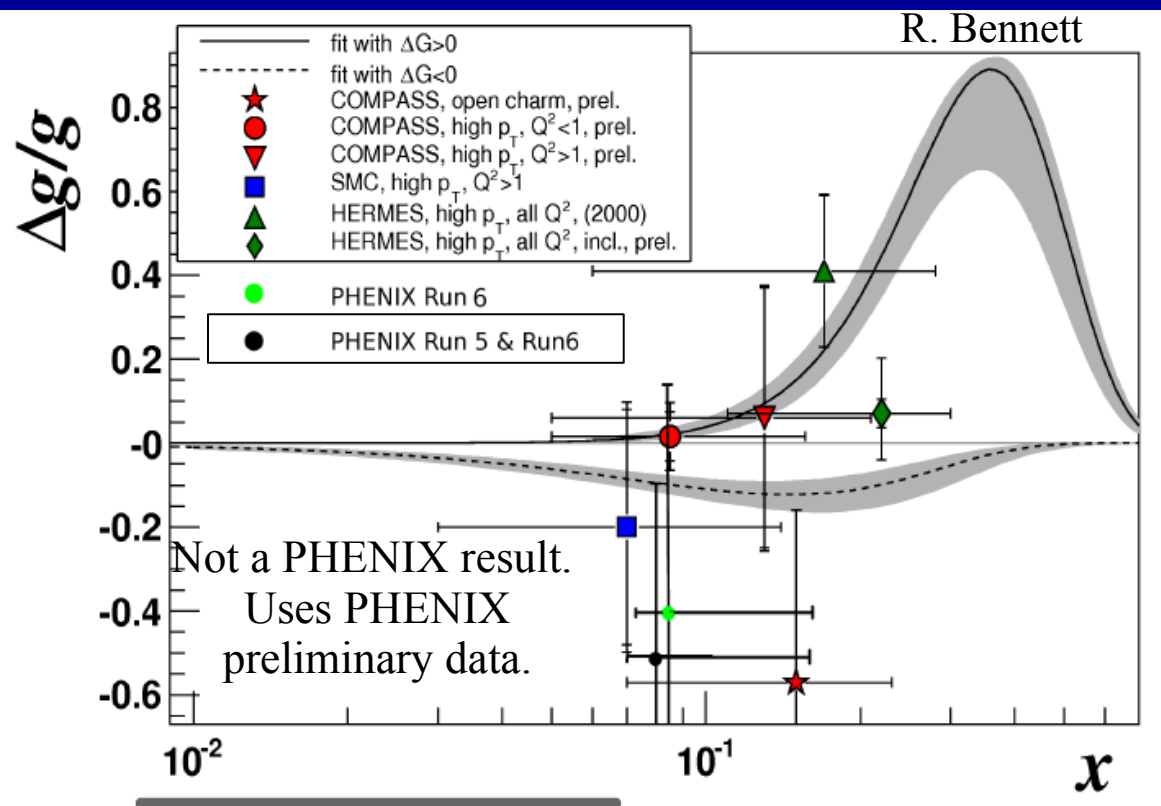
# $\eta$ asymmetry results from PHENIX

Preliminary results from 2009 data released last week

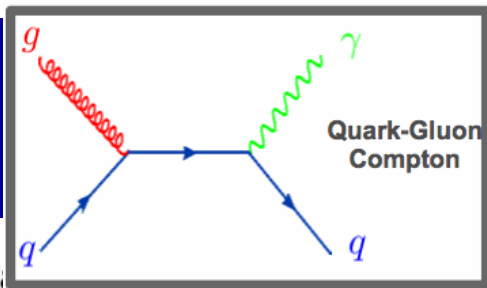
- $\eta$  at 200 GeV
  - Analysis and sensitivities similar to  $\pi^0$
  - Independent confirmation of  $\Delta G$ , additional statistics
- NLO pQCD calculations enabled by recent parameterization of eta FFs from world data (CAA, J. Seele, M. Stratmann, R. Sassot).
- PHENIX 2005+2006 results and FF paper to be submitted simultaneously to PRD within a few weeks.



# $\Delta G/G - LO$ extraction from direct photon $A_{LL}$



- Statistical uncertainty from 2005 and 2006 data similar to COMPASS open charm result
- New 200 GeV data (16 pb<sup>-1</sup>, compared to < 10 in current result) from 2009 being analyzed.



# *The Future of $\Delta G$ Measurements at RHIC*

- Extend  $x$  coverage
  - Run at different center-of-mass energies
    - Already results for neutral pions at 62.4 GeV, now first data at 500 GeV
  - Extend measurements to forward particle production
    - Forward calorimetry recently enhanced in both PHENIX and STAR
- Go beyond inclusive measurements—i.e. measure the final state more completely—to better reconstruct the kinematics and thus the  $x$  values probed.
  - Jet-jet and direct photon – jet measurements – But need higher statistics! STAR expects first results from 2009 data.

# Proton Spin Structure at RHIC

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**Flavor-separated sea quark helicity distributions**

**“Transverse spin” phenomena**

$\pi$ , Jets  $A_{LL}(gg, gq \rightarrow \pi + X)$

**W Production**

**Transversity**

Prompt Photons  $A_{LL}(gq \rightarrow \gamma + X)$

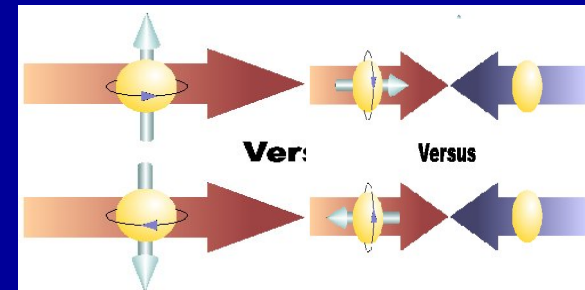
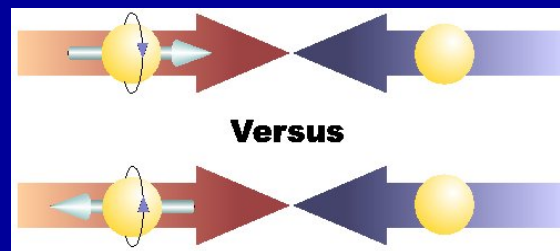
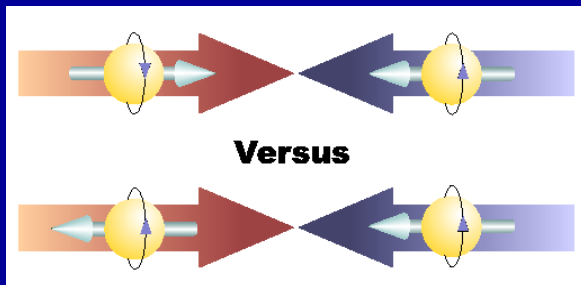
$A_L(u + \bar{d} \rightarrow W^+ \rightarrow l^+ + \nu_l)$

**Transverse-momentum-dependent distributions**

Back-to-Back Correlations

$A_L(\bar{u} + d \rightarrow W^- \rightarrow l^- + \bar{\nu}_l)$

**Single-Spin Asymmetries**



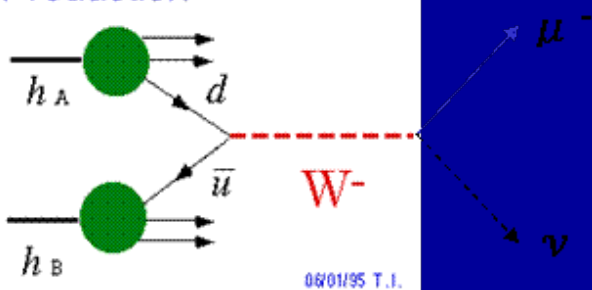
# Flavor-Separated Sea Quark

$$\Delta q(x), \Delta \bar{q}(x)$$

$$A_L^{W^+} \approx - \frac{\Delta u(x_1) \bar{d}(x_2) - \Delta \bar{d}(x_1) u(x_2)}{u(x_1) \bar{d}(x_2) - \bar{d}(x_1) u(x_2)}$$

*Flavor separation of the polarized sea quarks with no reliance on FF's, and at much higher scale than previous fixed-target experiments. Complementary to semi-inclusive DIS measurements.*

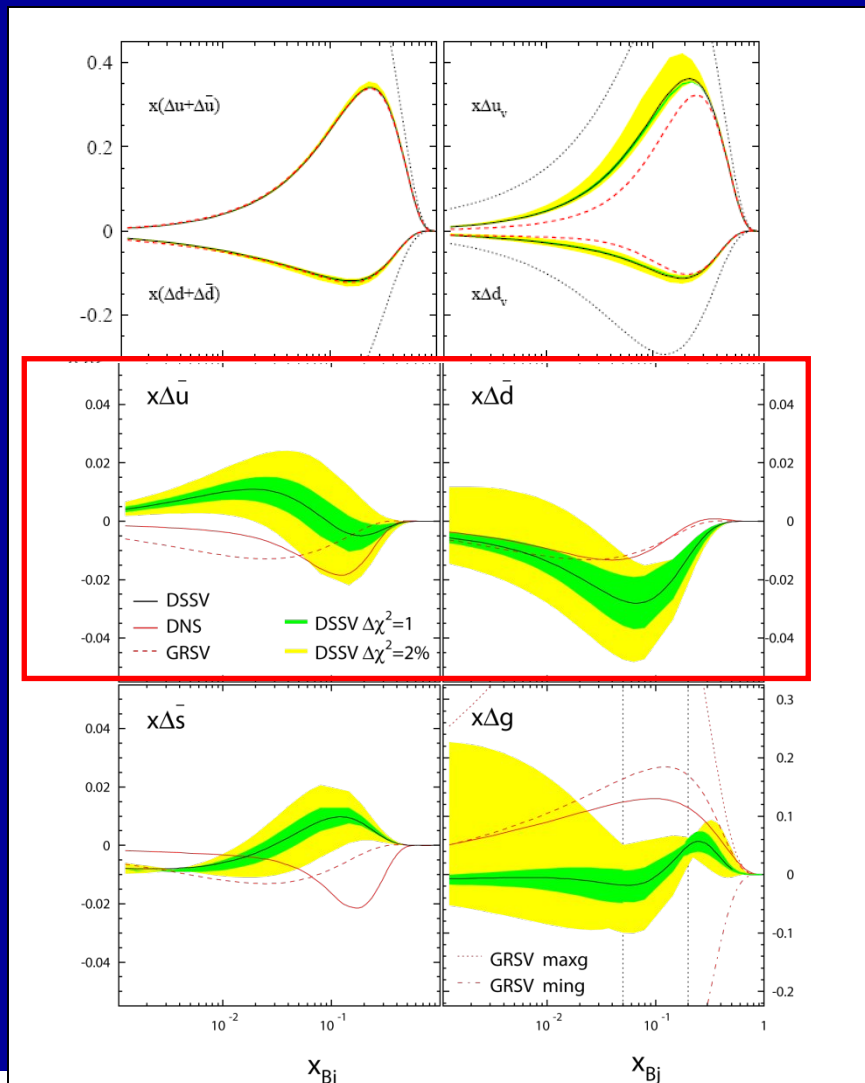
W<sup>-</sup> Production



control over the proton spin orientation gives access to the *flavor* spin structure in the proton! Measure final-state lepton (e or  $\mu$ )

$$\frac{d(x_2)}{(x_2)}$$

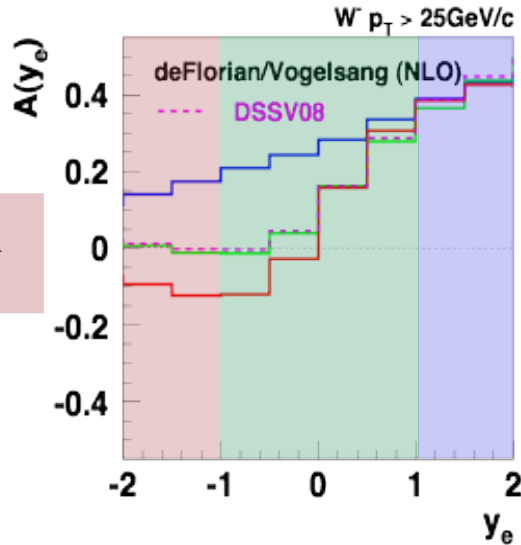
# Flavor-Separated Sea Quark Polarizations Through $W$ Production



$$A_L = \frac{1}{P} \frac{N^+ / L^+ - N^- / L^-}{N^+ / L^+ + N^- / L^-}$$

Latest global fit to helicity distributions: Indication of SU(3) breaking in the polarized quark sea (as in the unpolarized sea), but still relatively large uncertainties on helicity distributions of anti-up and anti-down quarks!

# Flavor Sensitivities at Different Rapidity



$$A_L^{W^-} = \frac{\Delta \bar{u}}{\bar{u}}$$

$$x_1 \ll x_2$$

$$A_L^{W^-} = -\frac{\Delta d}{d}$$

$$x_1 \gg x_2$$

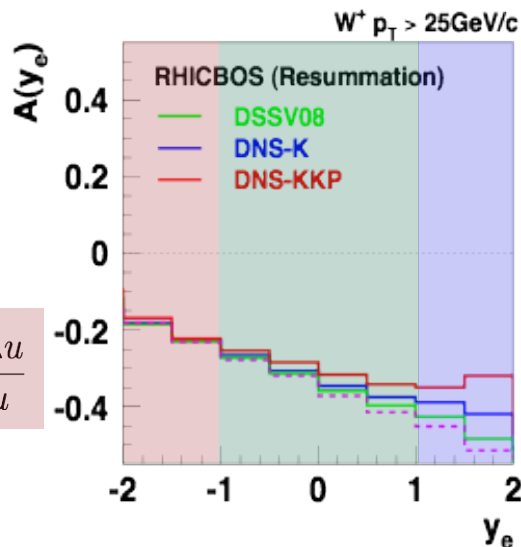
$$A_L^{W^-} = \frac{1}{2} \left( \frac{\Delta \bar{u}}{\bar{u}} - \frac{\Delta d}{d} \right)$$

$$x_1 = x_2$$

$$A_L^{W^+} = \frac{1}{2} \left( \frac{\Delta \bar{d}}{\bar{d}} - \frac{\Delta u}{u} \right)$$

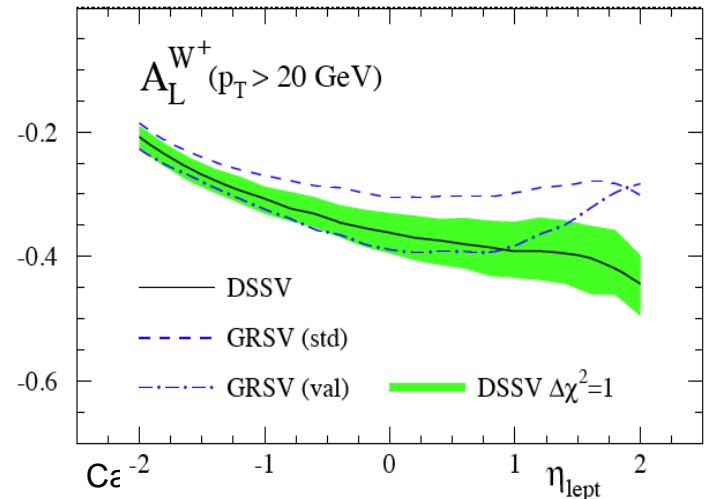
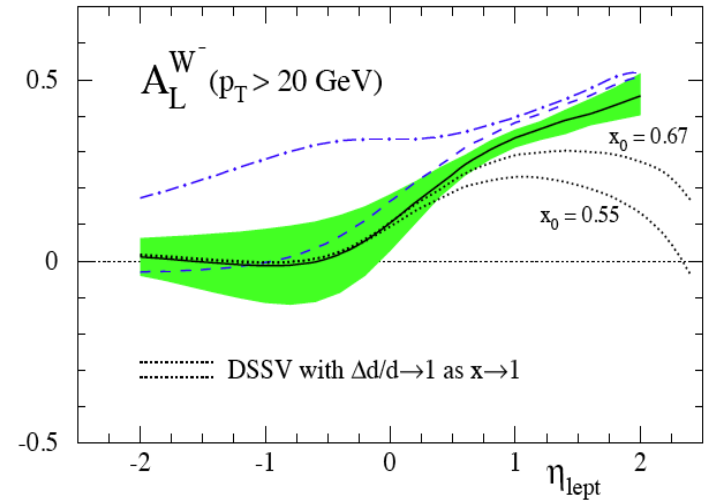
$$A_L^{W^+} = \frac{\Delta \bar{d}}{\bar{d}}$$

$$x_1 \gg x_2$$



$$A_L^{W^+} = -\frac{\Delta u}{u}$$

$$x_1 \ll x_2$$



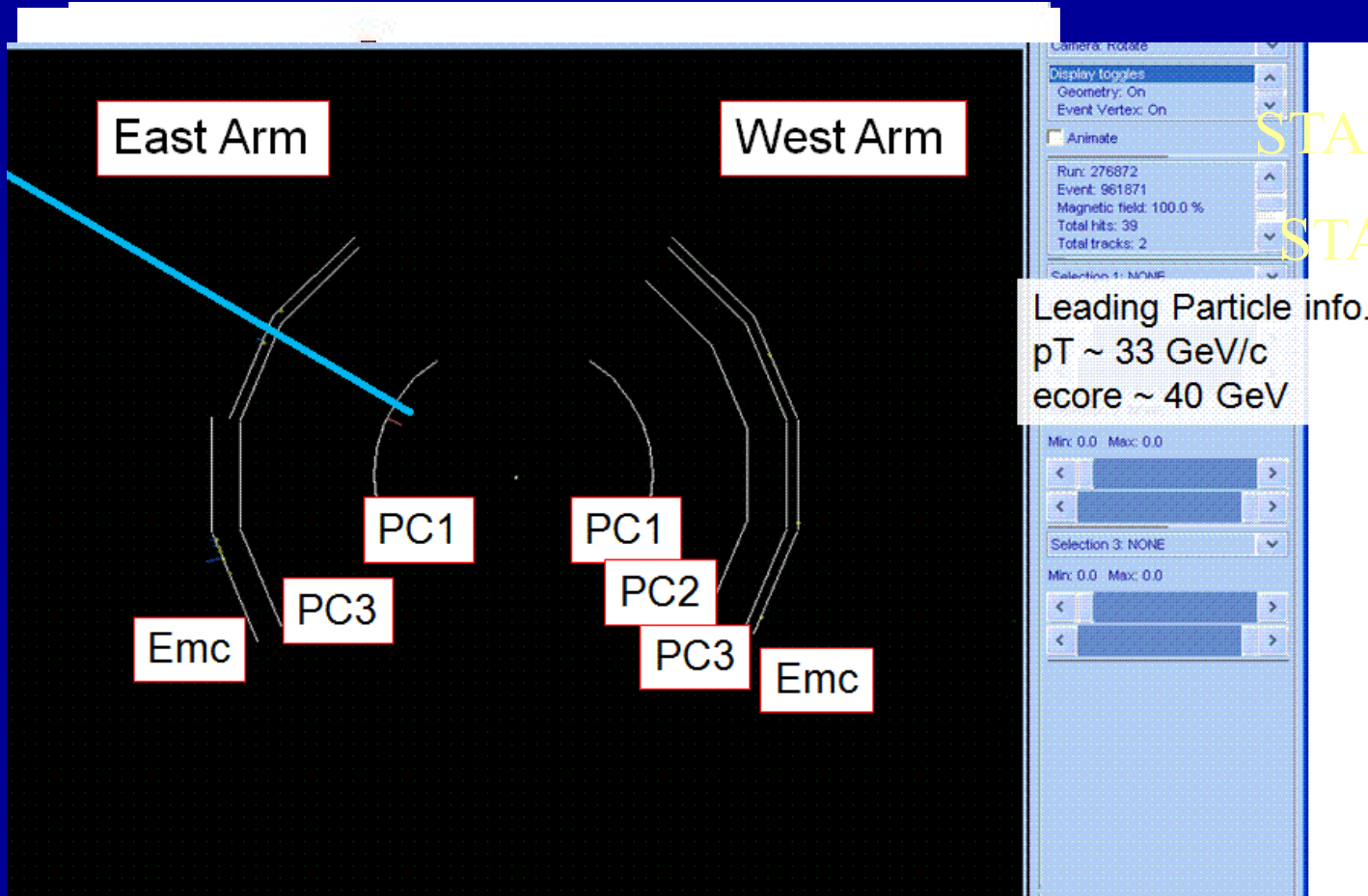
1) RHICBOS: P.M. Nadolsky and C.-P. Yuan, Nucl. Phys. B666 (2003)

# *First 500 GeV Data in 2009*

- First 500 GeV run took place in February and March 2009
- Largely a commissioning run for the accelerator, the polarimeters, and the detectors
  - Average polarization  $\sim 39\%$  —many additional depolarizing resonances compared to 200 GeV
  - Both STAR and PHENIX will finish installing detector/trigger upgrades to be able to make full use of the next 500 GeV run
  - But  $W \rightarrow e$  at midrapidity already possible with current data!



# The Hunt for $W$ 's at RHIC has Begun!



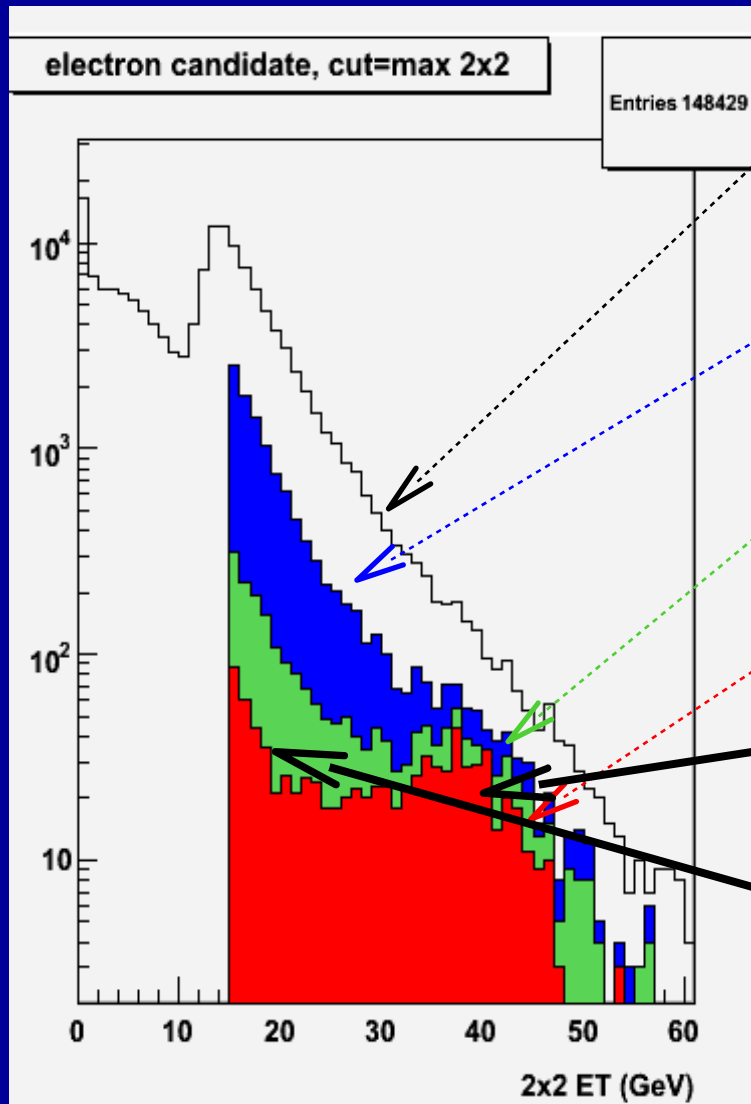
STAR  $W$  candidate

STAR dijet event

PHENIX  $W$  candidate

# STAR W Physics Analysis

- Evolution of ET distribution vs. cut ID



Starting raw distribution

TPC track - EMCal cluster match

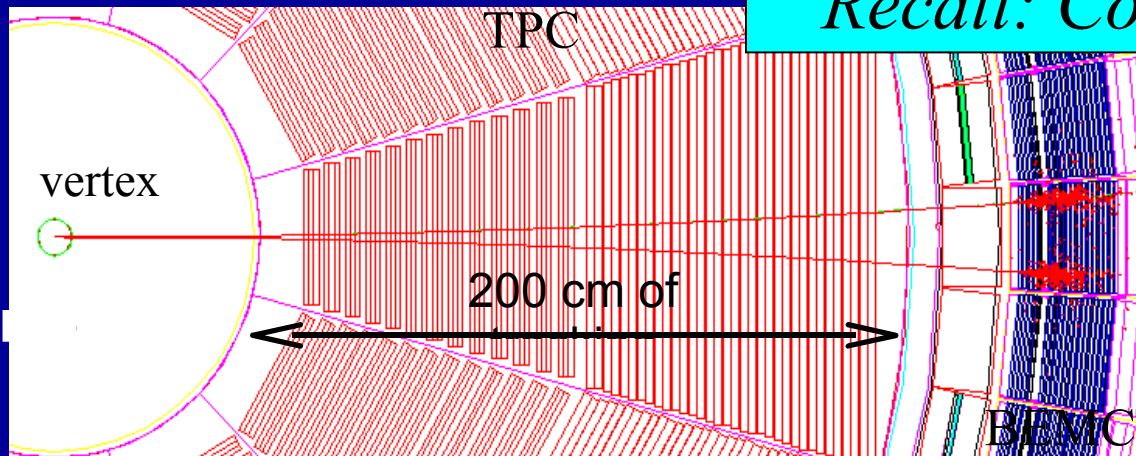
No near-cone ET (isolation)

No away-cone ET (missing energy on opposite)

Clear *Jacobian peak* seen, characteristic of *W* production and in contrast to *QCD background*!

# Charge Separation at 40 GeV (STAR)

Recall: Collider experiment!



positron  $p_T = 5 \text{ GeV}$

electron  $p_T = 5 \text{ GeV}$

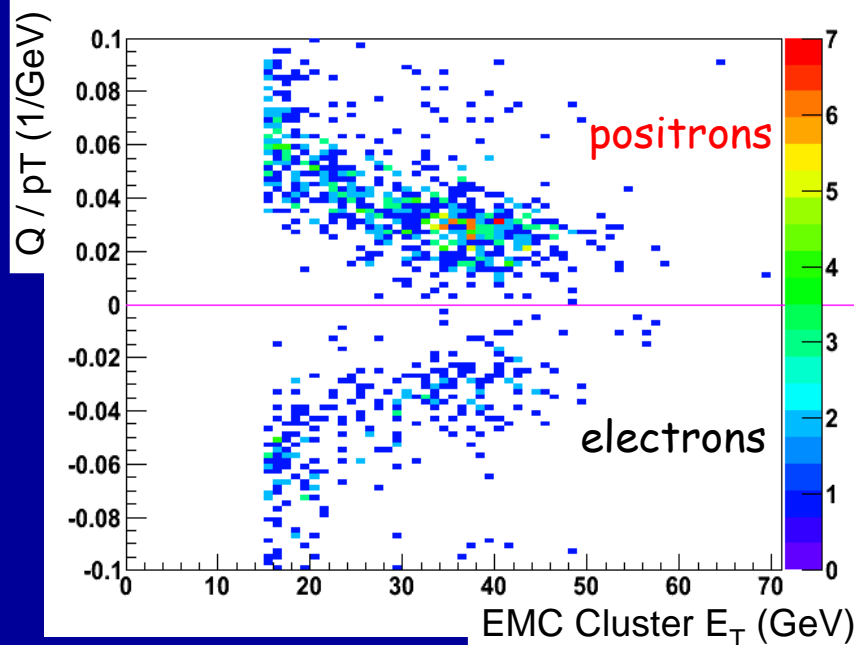
+/- distance  $D: \sim 1/p_T$

$p_T = 5 \text{ GeV} : D \sim 15 \text{ cm}$

$p_T = 40 \text{ GeV} : D \sim 2 \text{ cm}$

Successful separation of different charge states!

Q: Charge-sign of reconstructed track

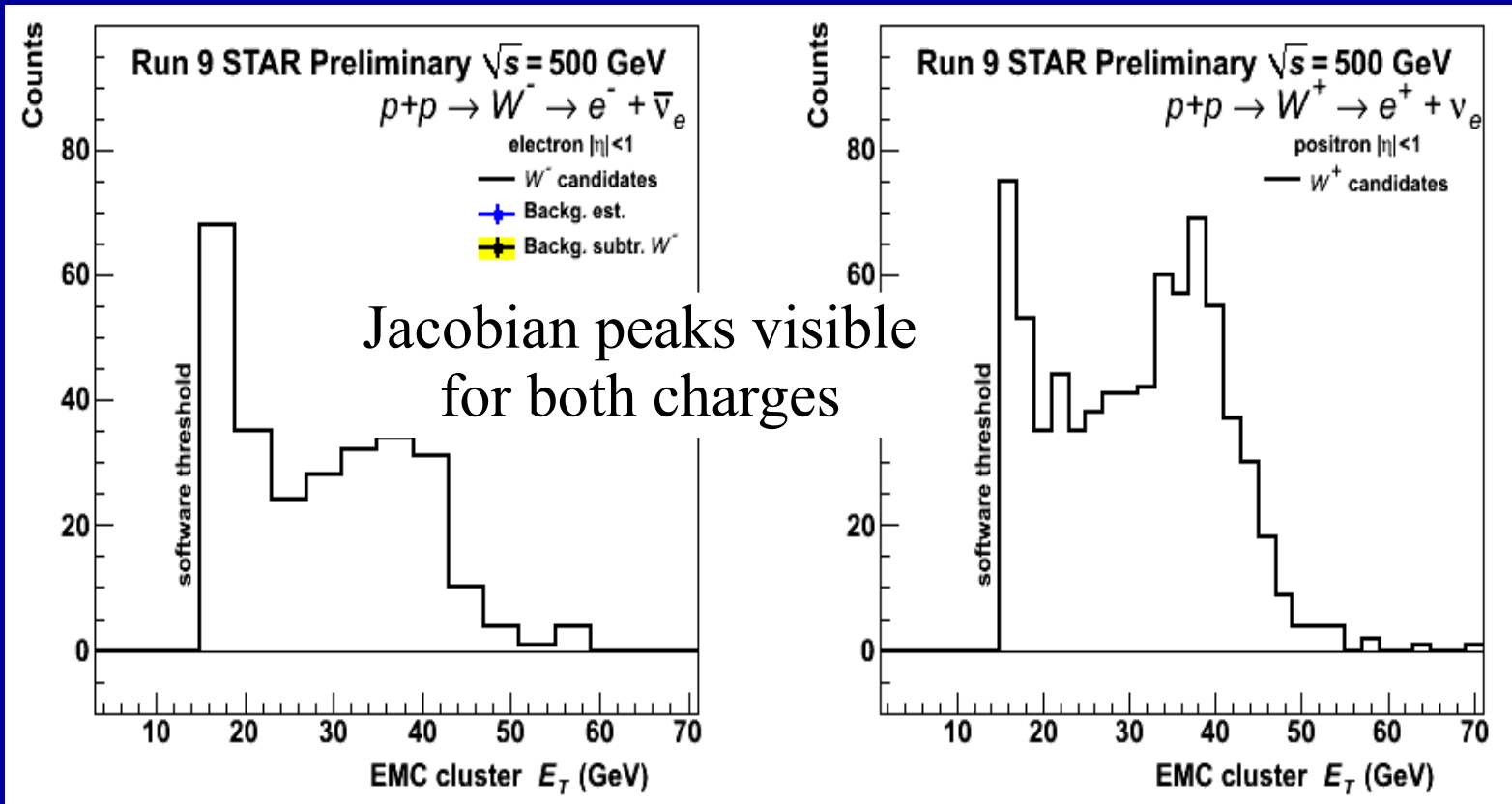


Assign:

$Q/p_T > 0$  positrons

$Q/p_T < 0$  to be electrons

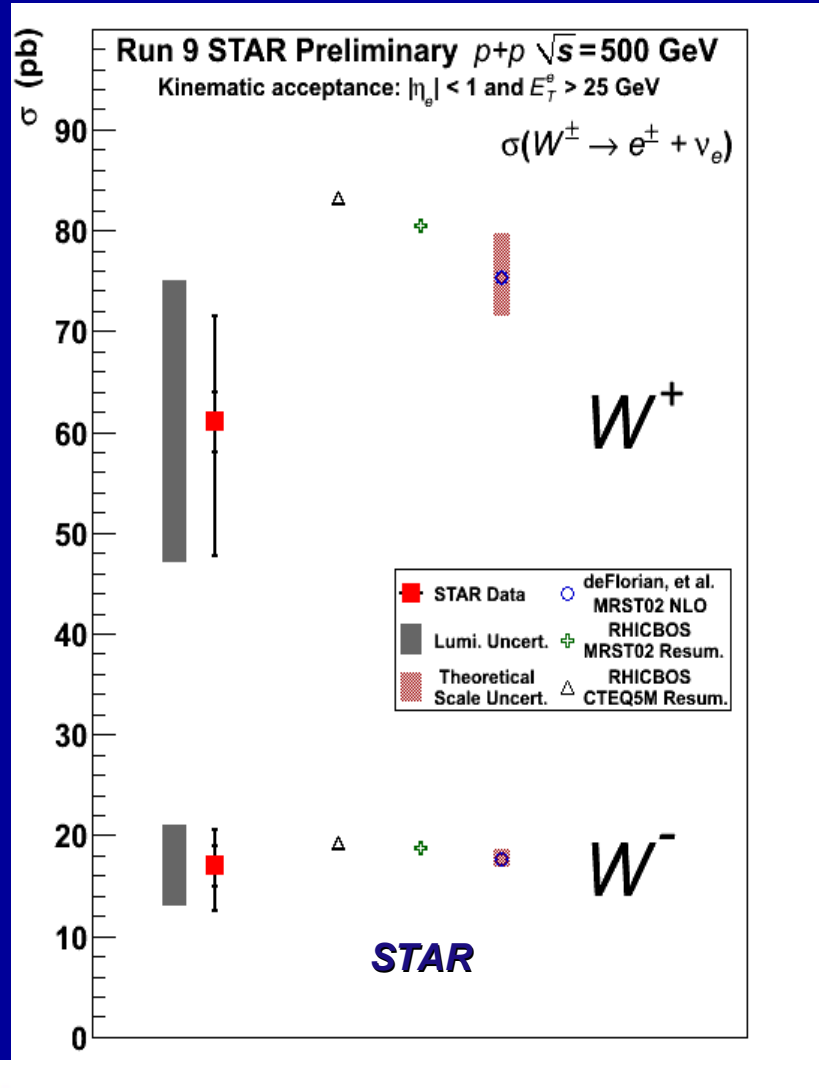
# Charge-Separated $E_T$ Distributions



Charge-separated  $W^+/W^-$  candidate distributions of the EMC cluster transverse energy  $E_T$  (GeV) after all cuts (no bg subtraction)

# Preliminary $W$ Cross Section Results

## Total $W^+/W^-$ cross-section results



	$W^- \rightarrow e^- + \bar{\nu}_e$	$W^+ \rightarrow e^+ + \nu_e$
$N_W^{obs}$	156	513
$N_{back}$	$25^{+21}_{-7}$	$46^{+36}_{-11}$
$\epsilon_{total}$	$0.56^{+0.11}_{-0.09}$	$0.56^{+0.12}_{-0.09}$
$\int L dt$ ( $\text{pb}^{-1}$ )	$13.7 \pm 3.2$	$13.7 \pm 3.2$

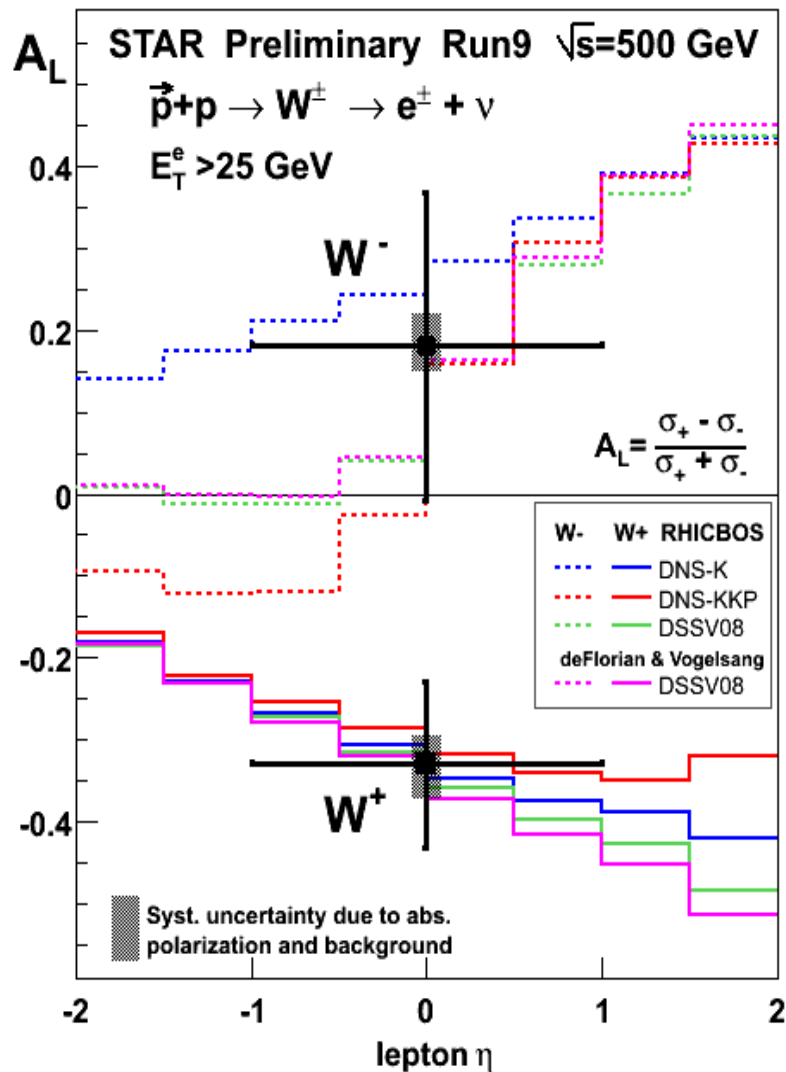
### STAR Preliminary Run 9

$$\sigma_{W^+ \rightarrow e^+ + \nu} = 61 \pm 3 \text{ (stat.) }^{+10}_{-13} \text{ (syst.) } \pm 14 \text{ (lumi.) pb}$$

$$\sigma_{W^- \rightarrow e^- + \bar{\nu}} = 17 \pm 2 \text{ (stat.) }^{+3}_{-4} \text{ (syst.) } \pm 4 \text{ (lumi.) pb}$$

- Reasonable agreement between measured and theoretical cross-sections within uncertainties!

# Preliminary $A_L$ Results from 2009 500 GeV Commissioning Run



STAR Preliminary Run 9

$$A_L(W^+) = -0.33 \pm 0.10(\text{stat.}) \pm 0.04(\text{syst.})$$

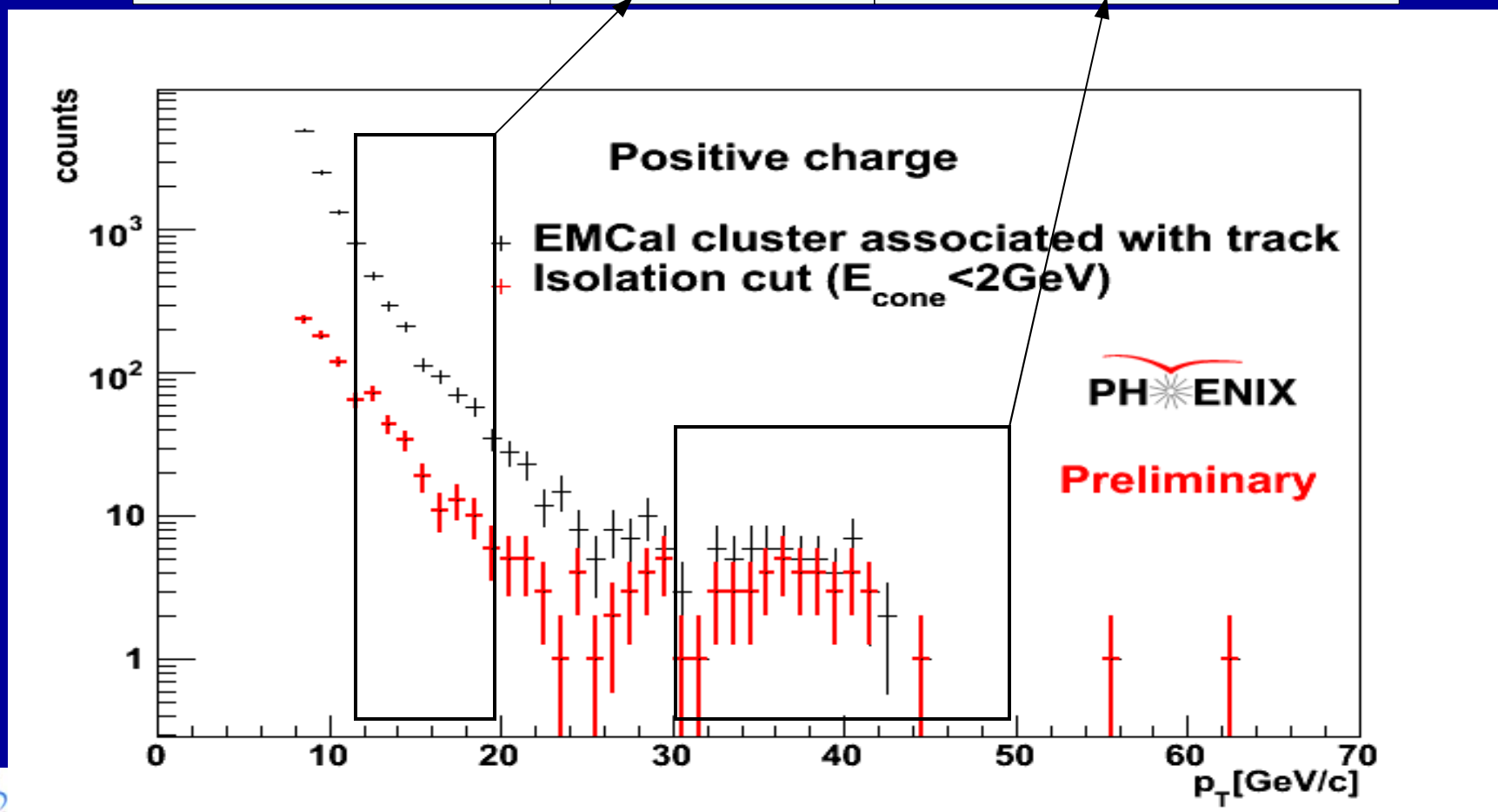
$$A_L(W^-) = 0.18 \pm 0.19(\text{stat.}) \begin{matrix} +0.04 \\ -0.03 \end{matrix}(\text{syst.})$$

- $A_L(W^+)$  negative with a significance of  $3.3\sigma$ 
  - First non-zero helicity asymmetry at RHIC!
- $A_L(W^-)$  central value positive

STAR

# PHENIX W Analysis: Raw Asymmetries ( $e^+$ )

	Background	Signal
$p_T$ Range (GeV/c)	12-20	30-50
Raw Asymmetry	$0.035 \pm \dots$	$-0.29 \pm 0.11$

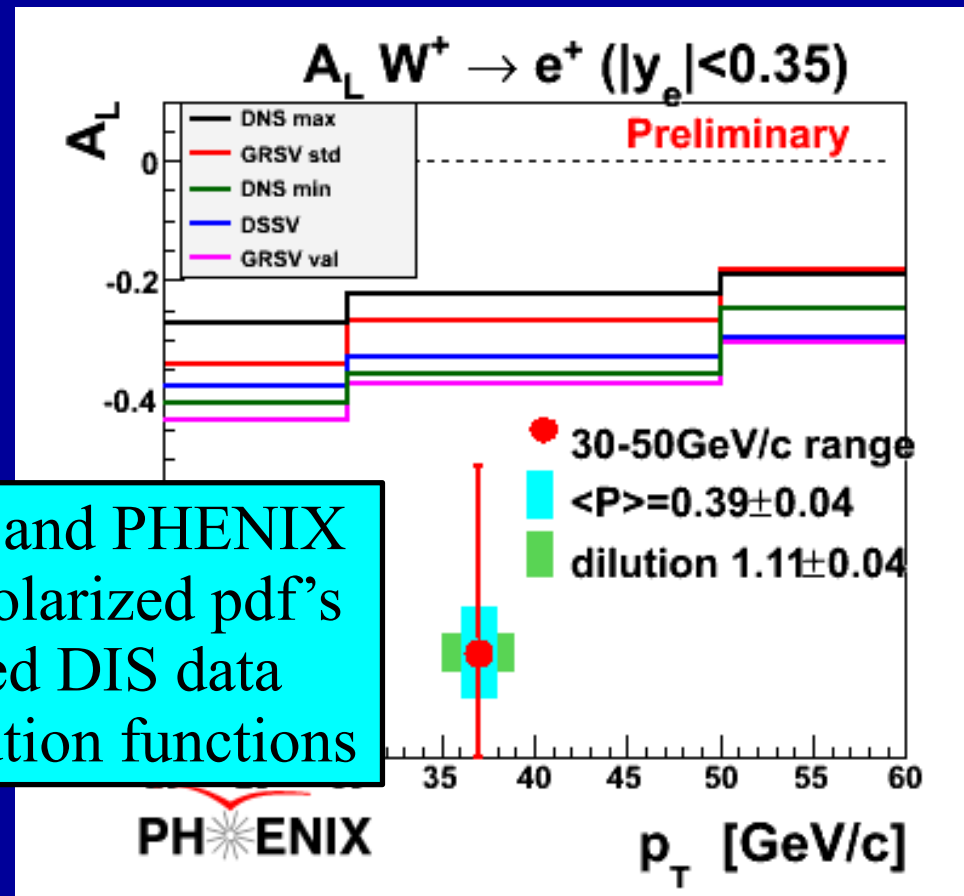


# PHENIX W Analysis: Preliminary $A_L$ Results

- Using average polarization  $0.39 \pm 0.04$ :
- 
- 
- Asymmetry corrected for dilution by Z and QCD backgrounds

$$A_L^{W^+} = -0.83 \pm 0.31$$

Measured asymmetries by STAR and PHENIX in agreement with theory using polarized pdf's (DSSV) constrained by polarized DIS data  $\Rightarrow$  Universality of helicity distribution functions





# Proton Spin Structure at RHIC

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**Flavor-separated sea quark helicity distributions**

**“Transverse spin” phenomena**

$\pi$ , Jets  $A_{LL}(gg, gq \rightarrow \pi + X)$

**W Production**

**Transversity**

Prompt Photons  $A_{LL}(gq \rightarrow \gamma + X)$

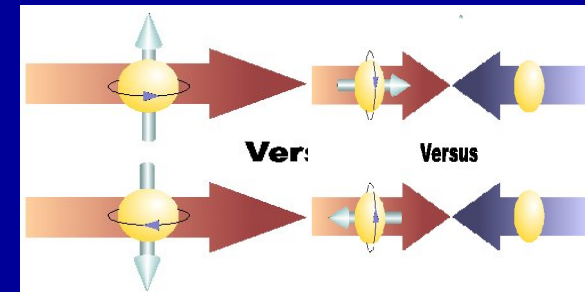
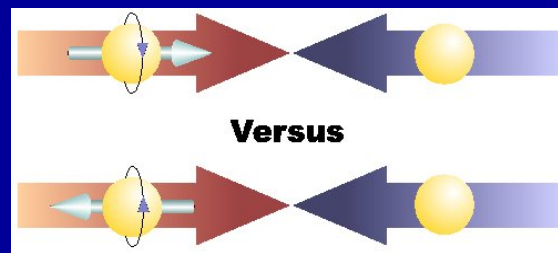
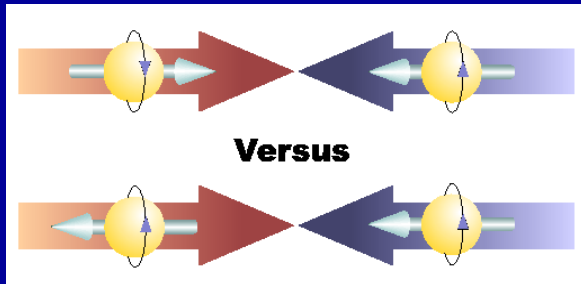
$A_L(u + \bar{d} \rightarrow W^+ \rightarrow l^+ + \nu_l)$

**Transverse-momentum-dependent distributions**

Back-to-Back Correlations

$A_L(\bar{u} + d \rightarrow W^- \rightarrow l^- + \bar{\nu}_l)$

**Single-Spin Asymmetries**



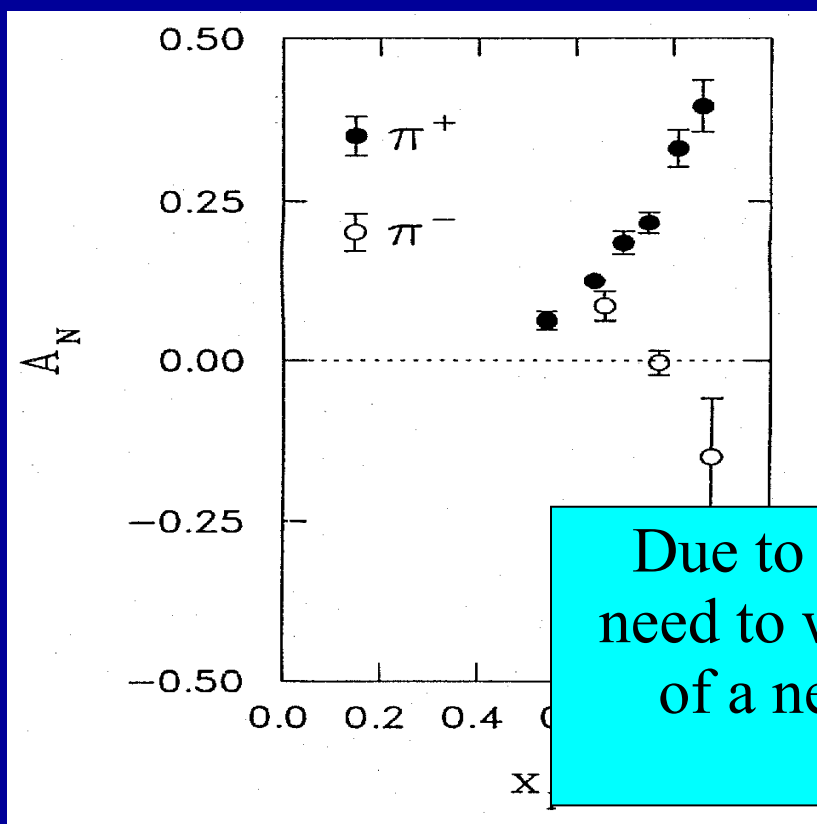
# *Longitudinal (Helicity) vs. Transverse Spin Structure*

- Transverse spin structure of the proton cannot be deduced from longitudinal (helicity) structure
  - Spatial rotations and Lorentz boosts don't commute!
  - Only the same in the non-relativistic limit
- Transverse structure linked to intrinsic parton transverse momentum ( $k_T$ ) and orbital angular momentum!
  - *Parton dynamics*

# 1976: Discovery in $p+p$ Collisions! Large Transverse Single-Spin Asymmetries

Argonne  $\sqrt{s}=4.9$  GeV

Charged pions produced preferentially on one or the other side with respect to the transversely polarized beam direction!



Due to transversity? Other effects? We'll need to wait more than a decade for the birth of a new subfield in order to explore the possibilities . . .

W.H. Dragoset et al., PRL36, 929 (1976)

$$x_F = 2p_{long} / \sqrt{s}$$

# Transverse-Momentum-Dependent Distributions and Single-Spin Asymmetries

1989: The “Sivers mechanism” is proposed in an attempt to understand the observed asymmetries.

*The Sivers distribution: the first transverse-momentum-dependent distribution (TMD)!*

Departs from the traditional *collinear* factorization assumption in pQCD and proposes a correlation between the *intrinsic transverse motion* of the quarks and gluons and the proton's spin

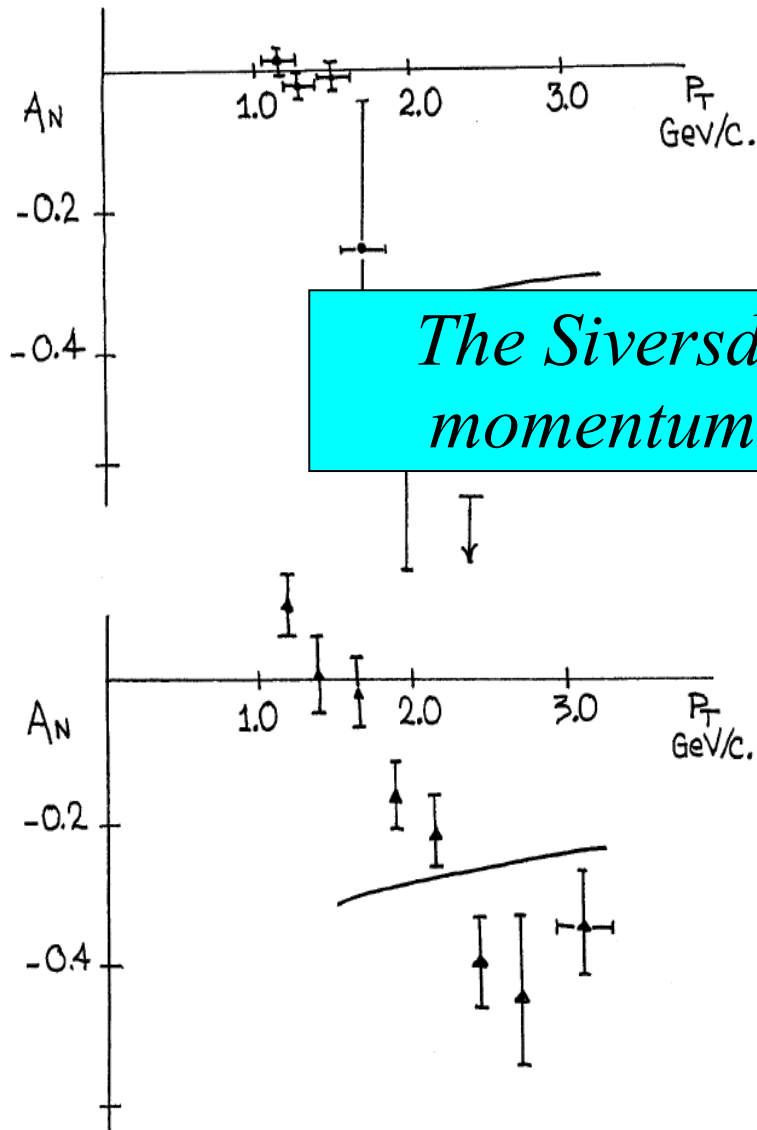


Fig. 1

# Quark Distribution Functions

$$f_1 = \text{[circle with dot]}$$

Similarly, can have  $k_T$ -dependent fragmentation functions (FF's).  
 One example: the chiral-odd Collins FF, which provides one way  
 of accessing transversity distribution (also chiral-odd).

$$h_{1T} = \text{[circle with up arrow]} - \text{[circle with down arrow]} \quad \text{Transversity}$$

$k_T$  - dependent,

*Relevant measurements in simpler systems (DIS,  $e+e^-$ ) only  
 starting to be made over the last ~6 years! Rapidly advancing  
 field both experimentally and theoretically!*

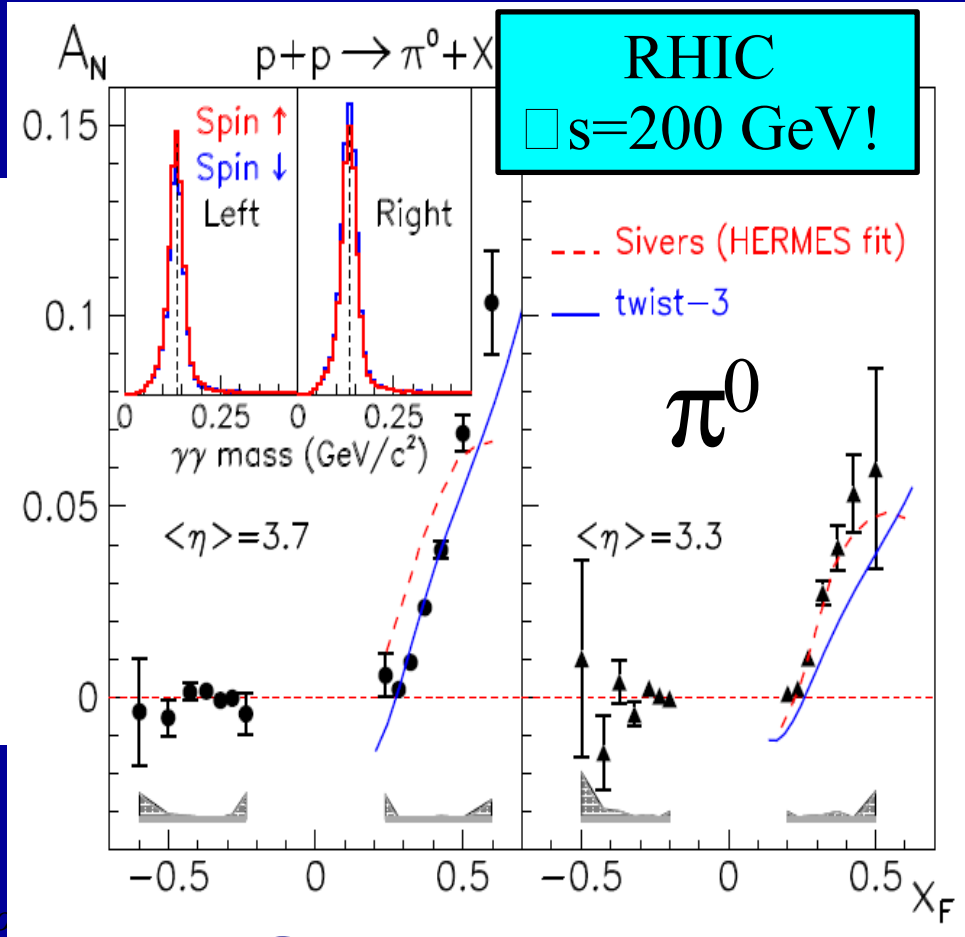
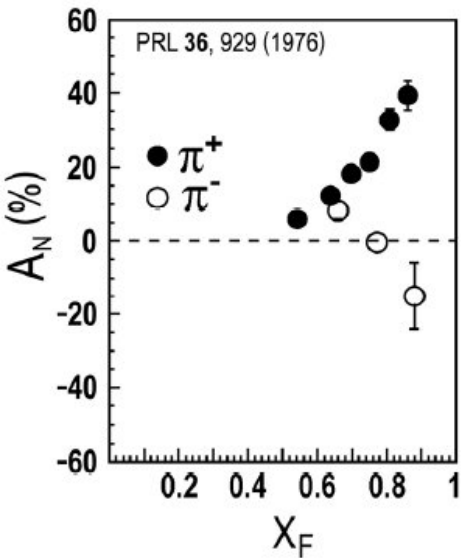
$$h_{1L}^\perp = \text{[circle with dot and right arrow]} - \text{[circle with dot and right arrow]} \quad \text{[empty box]} \quad h_{1T}^\perp = \text{[circle with up arrow]} - \text{[circle with up arrow]}$$

# Transverse Single-Spin Asymmetries: From Low to High Energies!



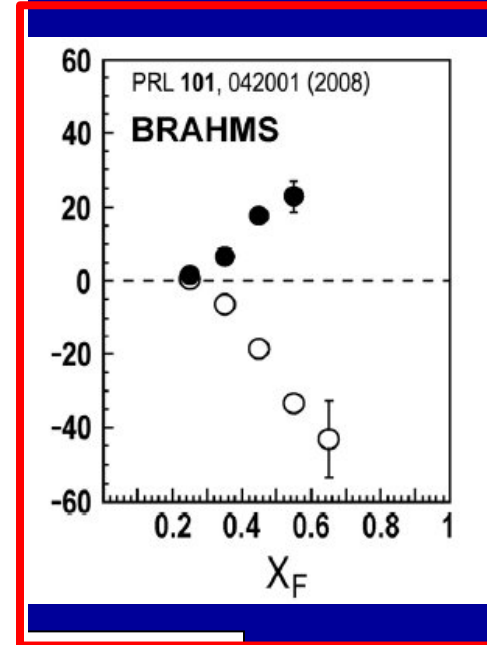
ANL

$\square$   $\sqrt{s}=4.9$  GeV



RHIC

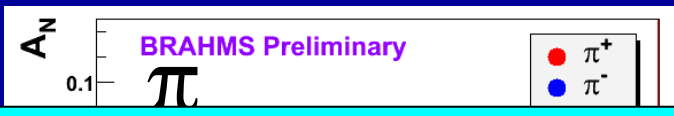
$\square$   $\sqrt{s}=62.4$  GeV



$$x_F = \frac{p_{\parallel}}{p_{\parallel 0}}$$

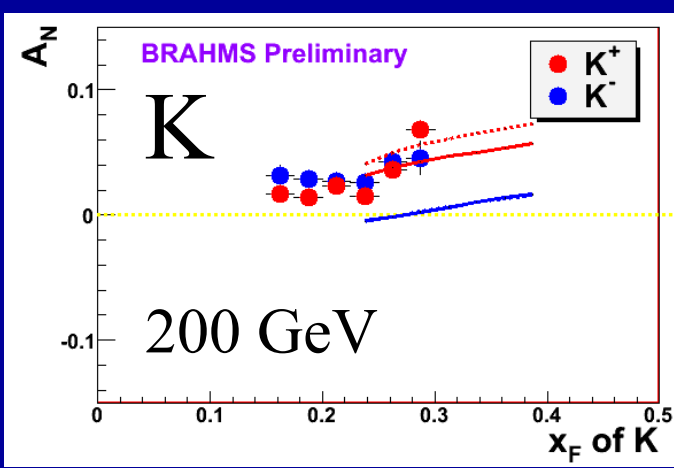
STAR

right



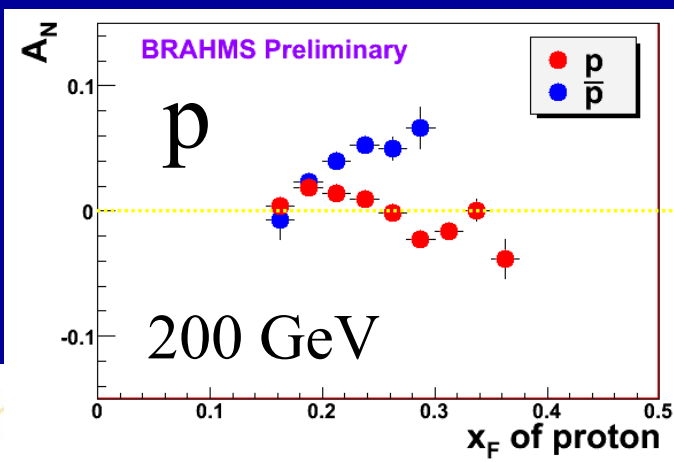
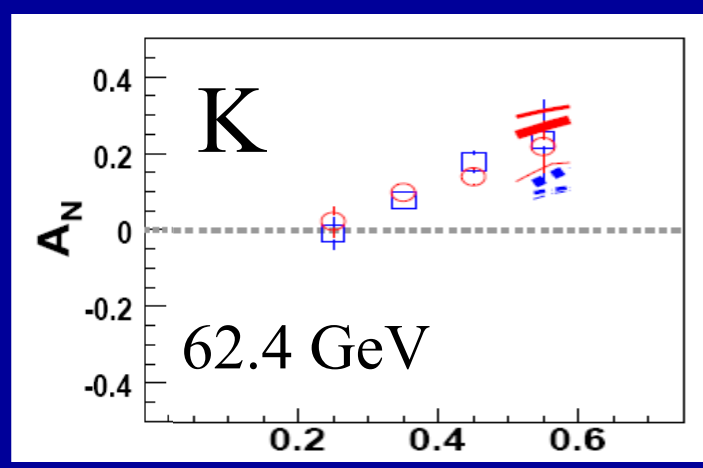
Pattern of pion species asymmetries in the forward direction  
 □ valence quark effect.

But this conclusion confounded by kaon and antiproton asymmetries!

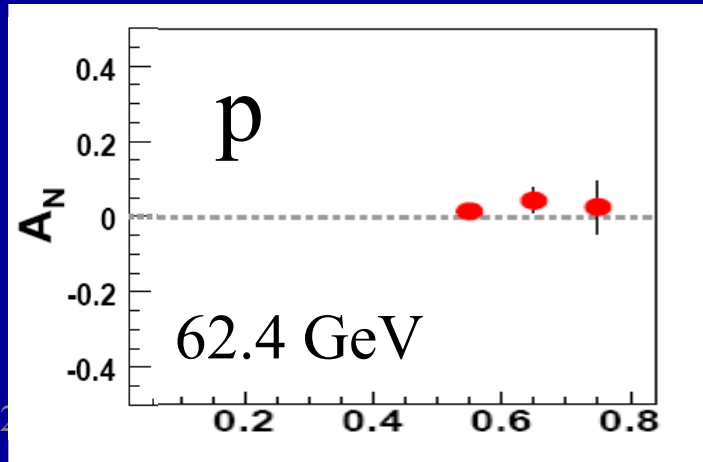


NOTE different scales

K- asymmetries underpredicted



Large antiproton asymmetry??  
 Unfortunately no 62.4 GeV measurement



# Another Surprise: Transverse Single-Spin Asymmetry in Eta Meson Production

$p^\uparrow + p \rightarrow \eta + X$   $\sqrt{s} = 200 \text{ GeV}$

$\eta \rightarrow$  Further evidence  
Larg PHENIX &

$$.55 < X_F < .75$$

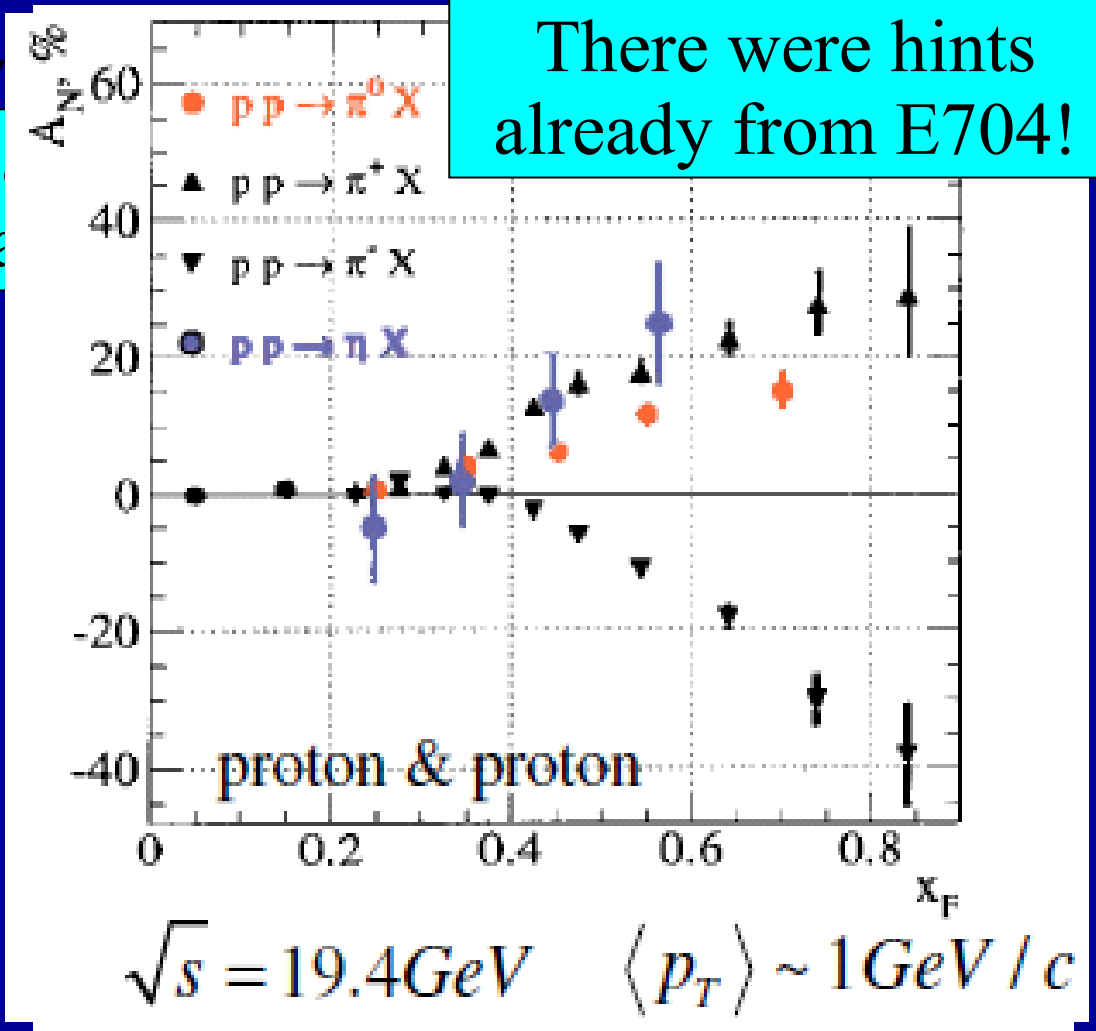
$$\langle A_N \rangle_\eta = 0.361 \pm 0.064$$

$$\langle A_N \rangle_\pi = 0.078 \pm 0.018$$

$$\pi^0 \equiv \frac{u\bar{u} - d\bar{d}}{\sqrt{2}}$$

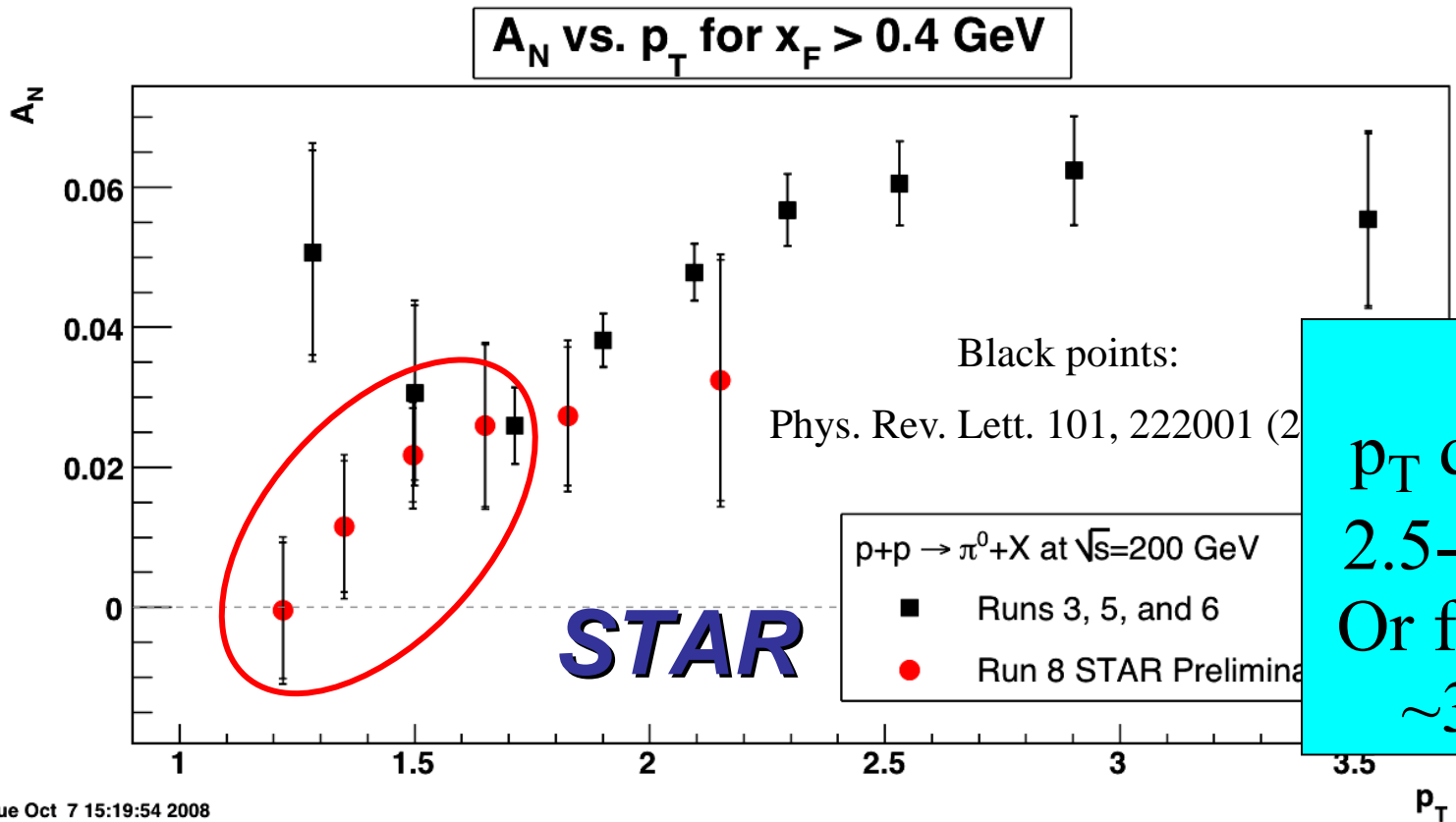
$$\eta \equiv \frac{u\bar{u} + d\bar{d} - 2s\bar{s}}{\sqrt{6}}$$

There were hints already from E704!





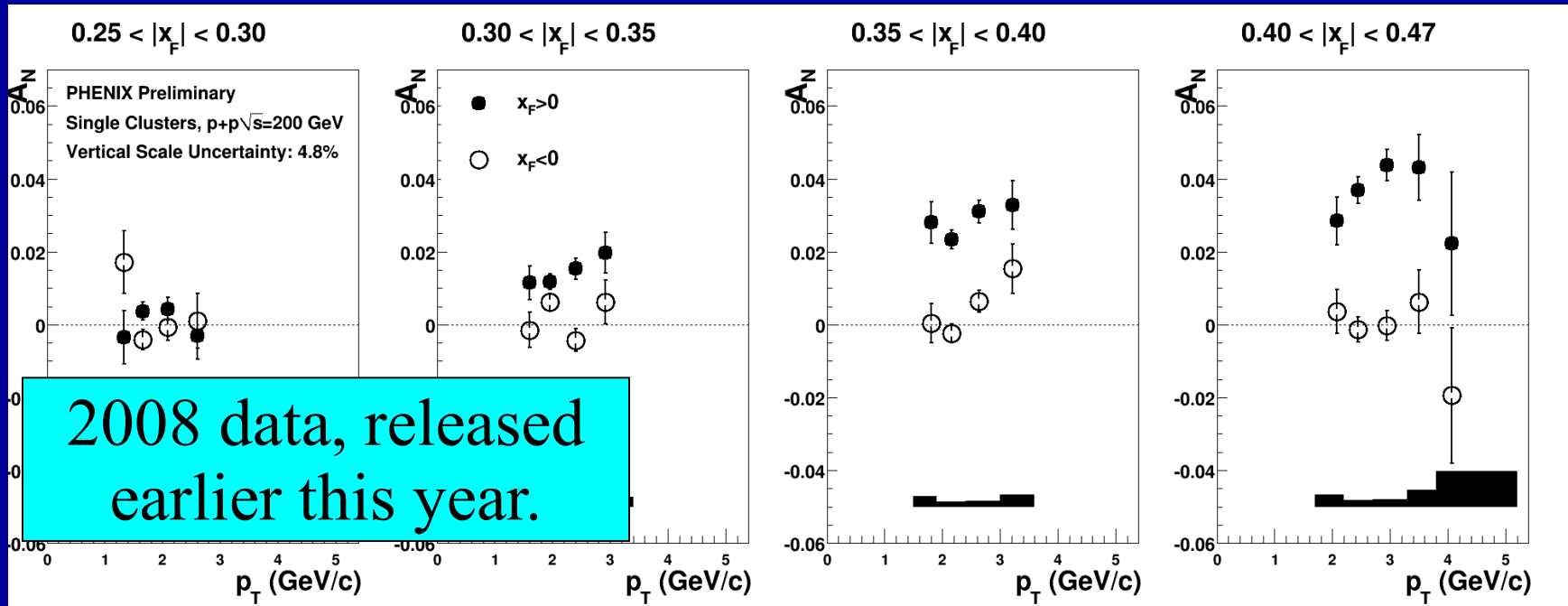
# Neutral Pion Transverse SSA: Expected Decrease at Low $p_T$ Now Observed



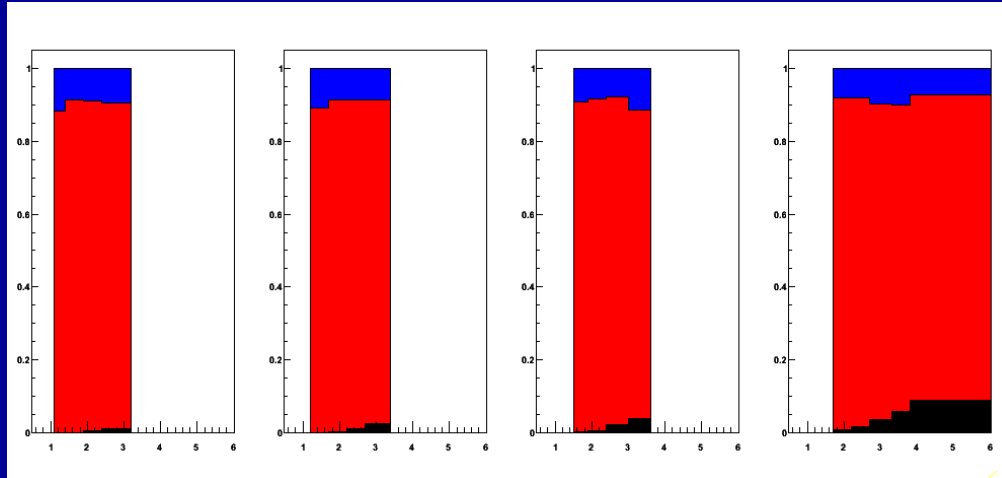
Flat  
 $p_T$  dependence  
2.5-3.5 GeV/c?  
Or falling above  
 $\sim 3$  GeV/c??

Tue Oct 7 15:19:54 2008

# Improved Forward Coverage in PHENIX: $A_N$ of Forward Clusters in MPC at $\sqrt{s}=200$ GeV



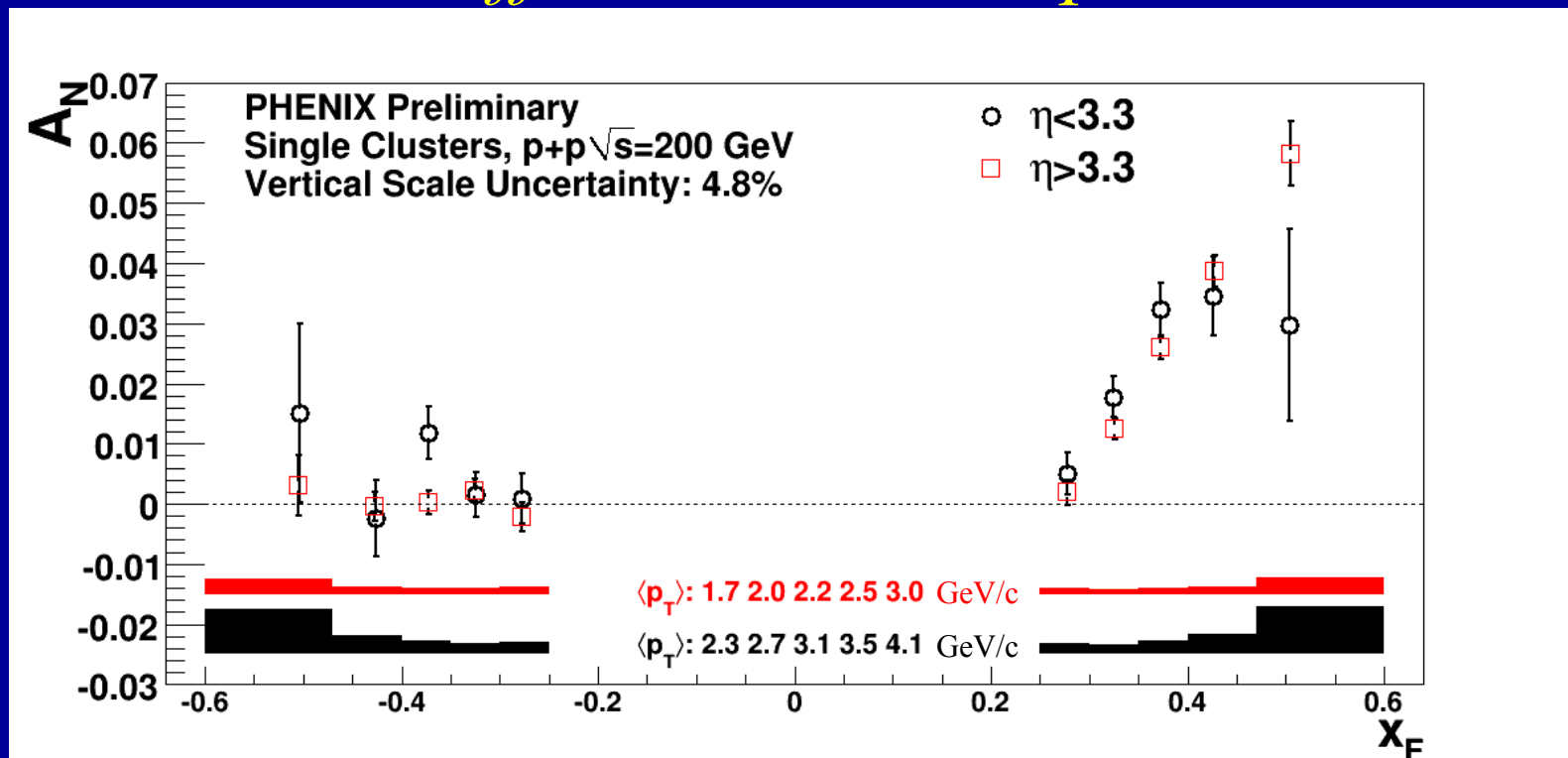
Fraction of clusters



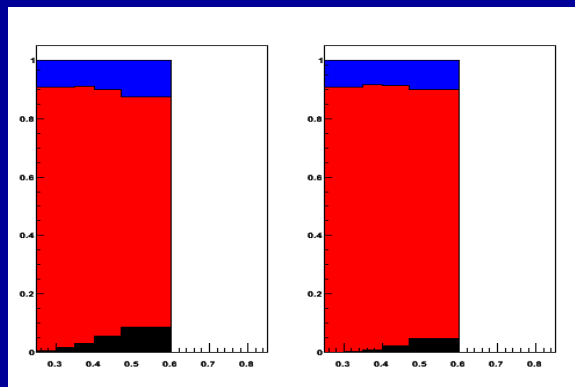
Decay photon  
 $\pi^0$   
Direct photon

$p_T$  (GeV/c)

# PHENIX: $A_N$ of Forward Clusters in MPC for Different Pseudorapidities



Fraction of  
clusters



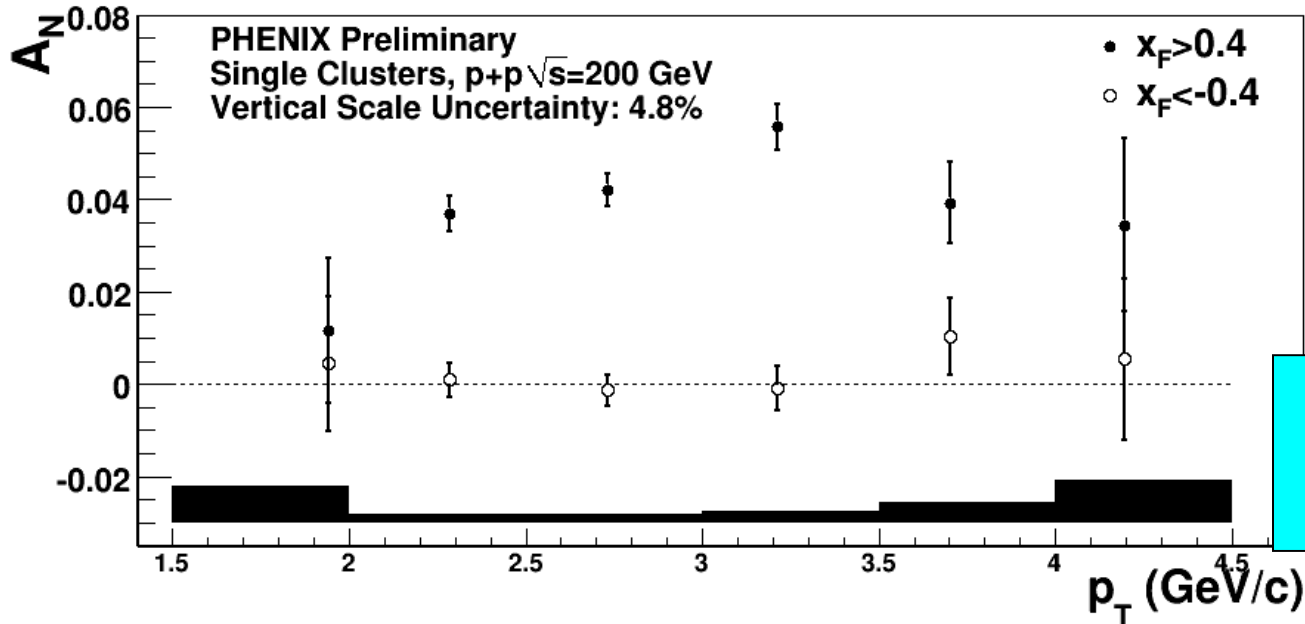
Decay photon

$\pi^0$

Direct photon

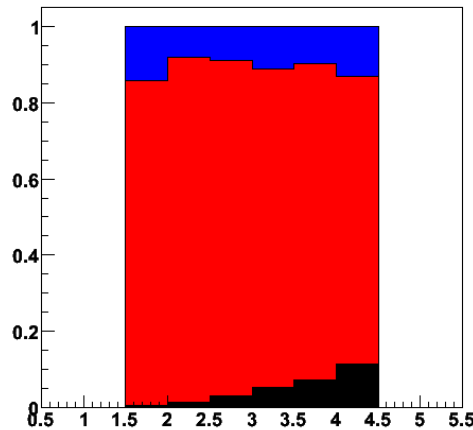
$X_F$

# PHENIX: $A_N$ of Forward Clusters in MPC vs. $p_T$



Turnover for  
 $p_T > \sim 3$  GeV/c?

Fraction of  
clusters

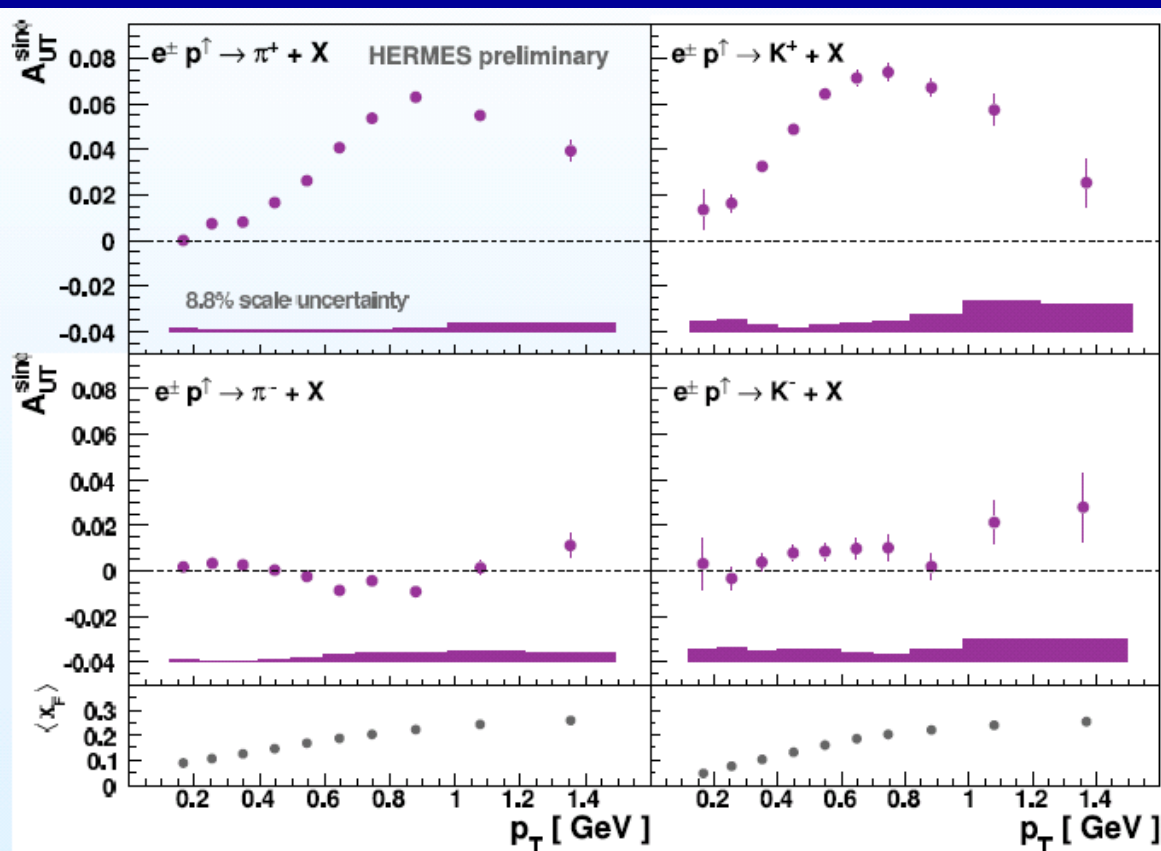


Decay photon

$\pi^0$

Direct photon

# Compare: Recent HERMES Results for SSA in Inclusive Hadron Production

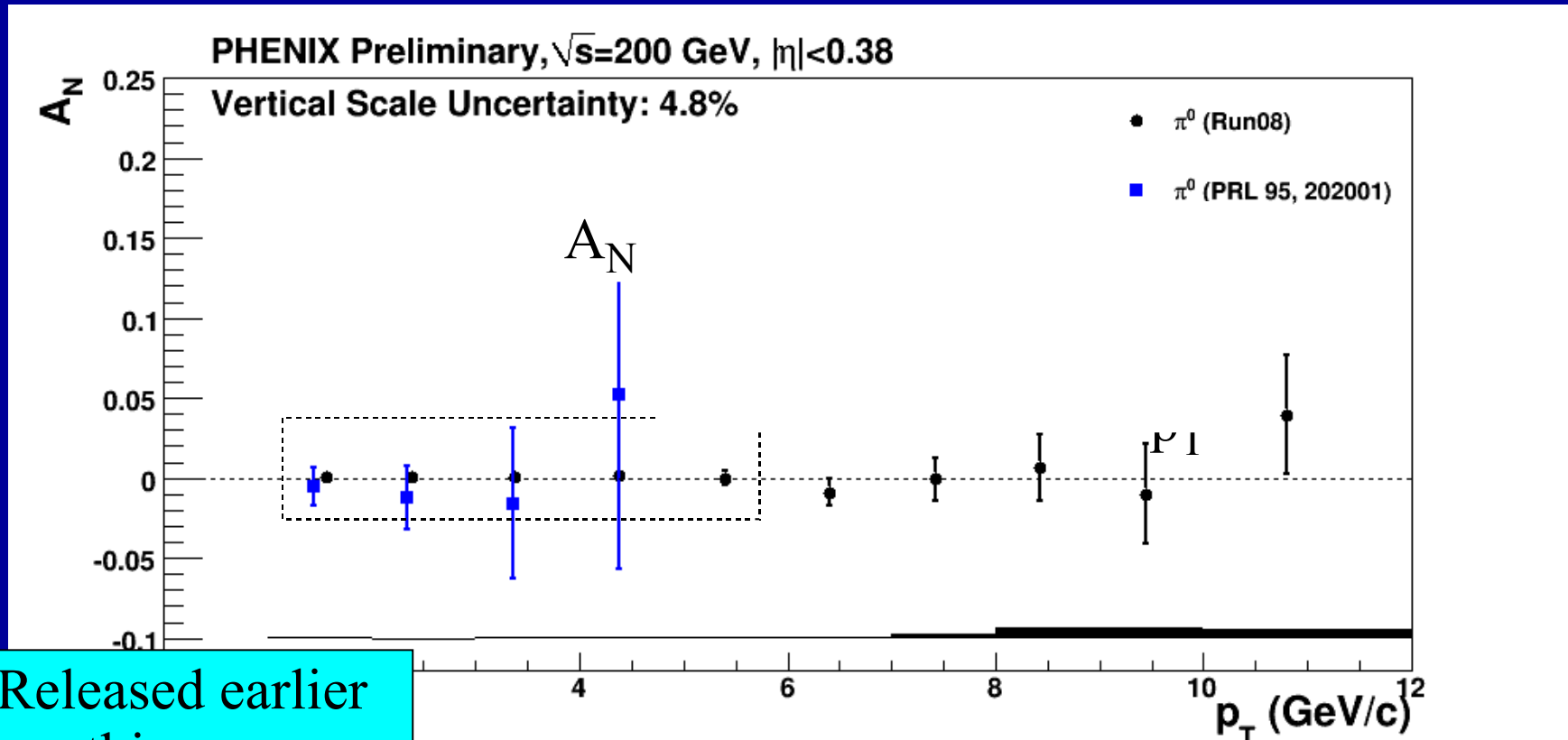


- Non-zero, but smaller magnitudes than low-energy p+p results
- Sharp turnover for  $p_T > \sim 0.8$  GeV/c

# PHENIX Results for Midrapidity $\pi^0$ $A_N$

2002 Published Result

2008 Preliminary Result

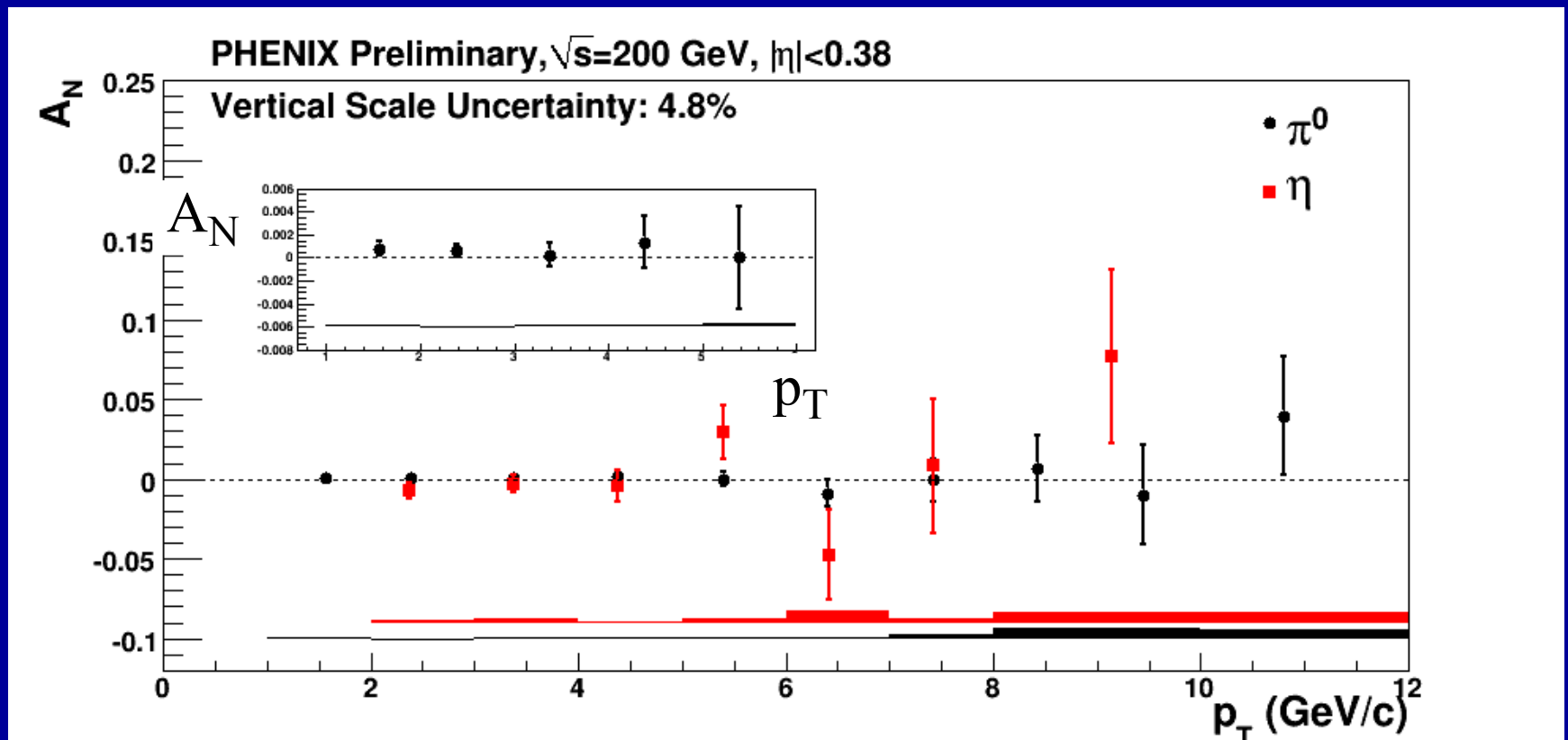


Released earlier  
this year.

■ 20x smaller error bars than 2002 result!

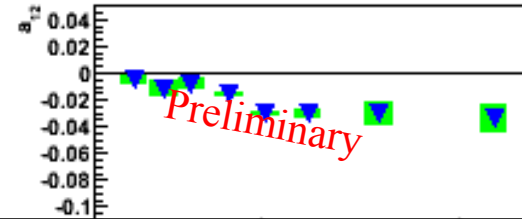
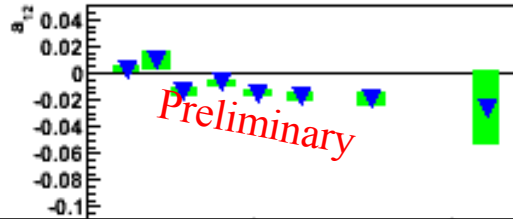
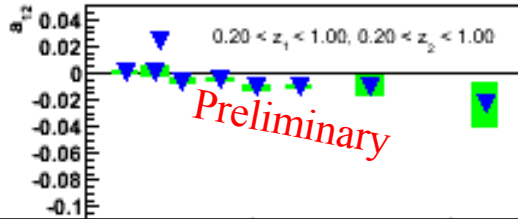
□ Large improvement in both polarization and luminosity<sup>46</sup>

# PHENIX Results for Midrapidity $\pi^0$ and $\eta$ $A_N$

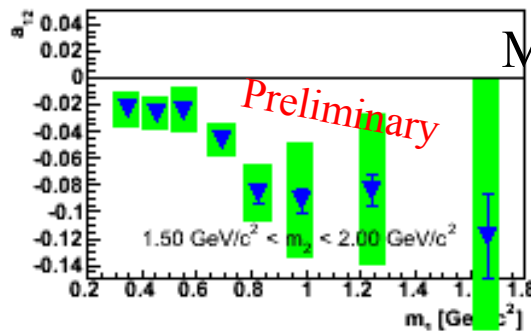
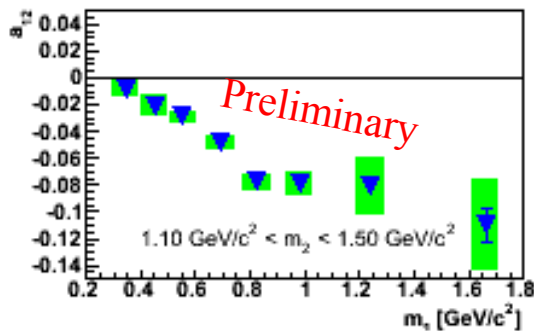
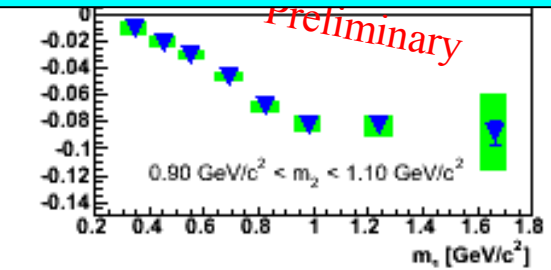
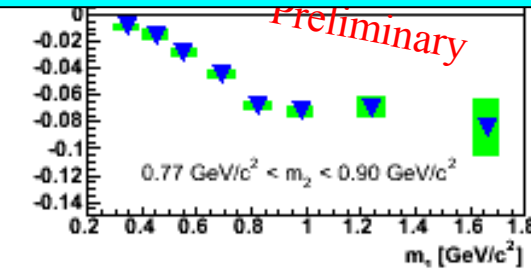
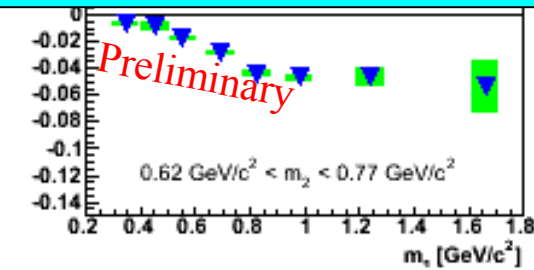


- $A_N$  consistent with zero (at level  $10^{-3}$ !) at midrapidity. Most

# BELLE Interference FF Measurement



With measurement from  $e^+e^-$  available, can learn from  $p+p$  (probe transversity  $\times$  IFF)!



Measurement probes  $(H_1^<sup>)</sup>^2$

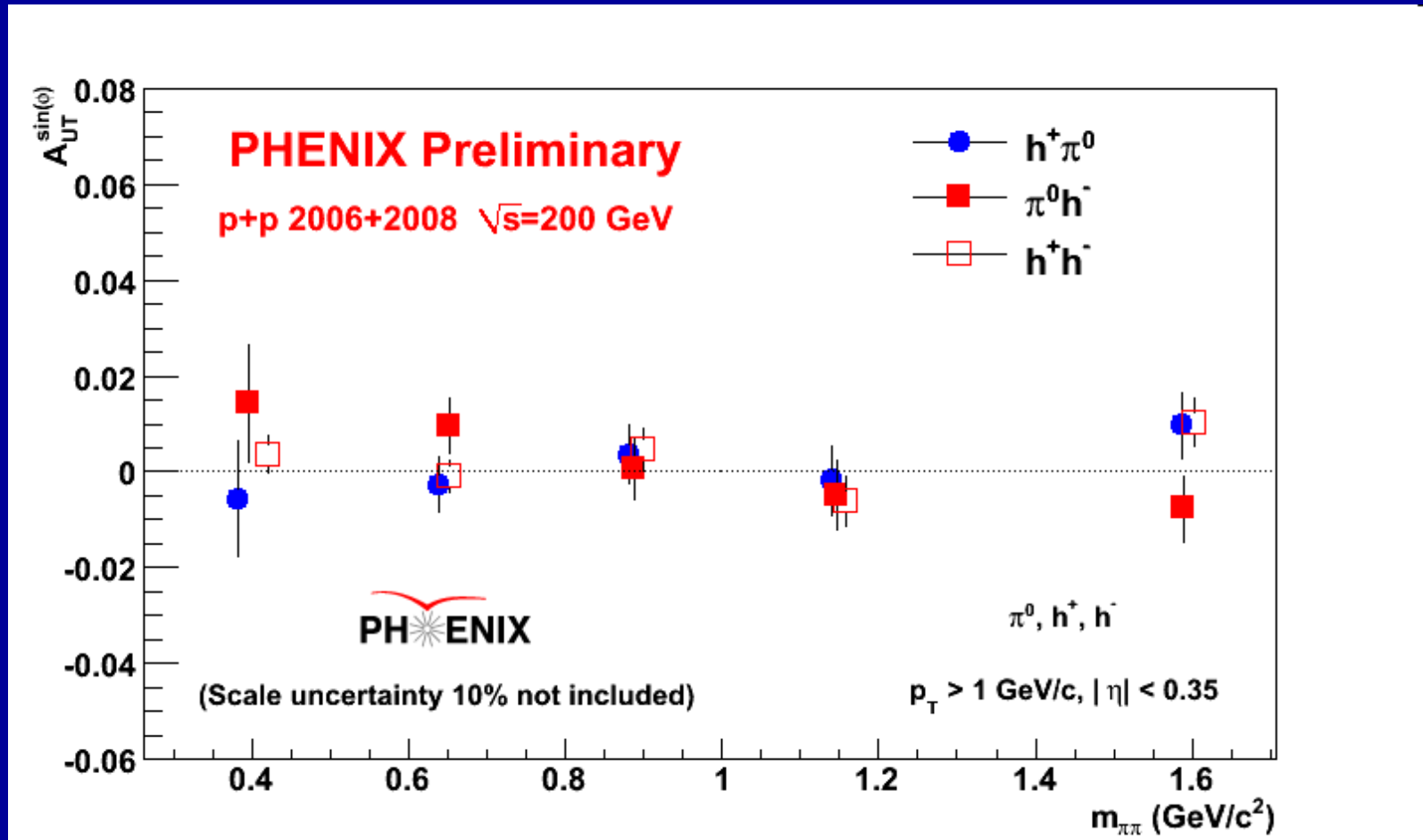
→ Non-zero and large



8x8  $m_1$   $m_2$  binning



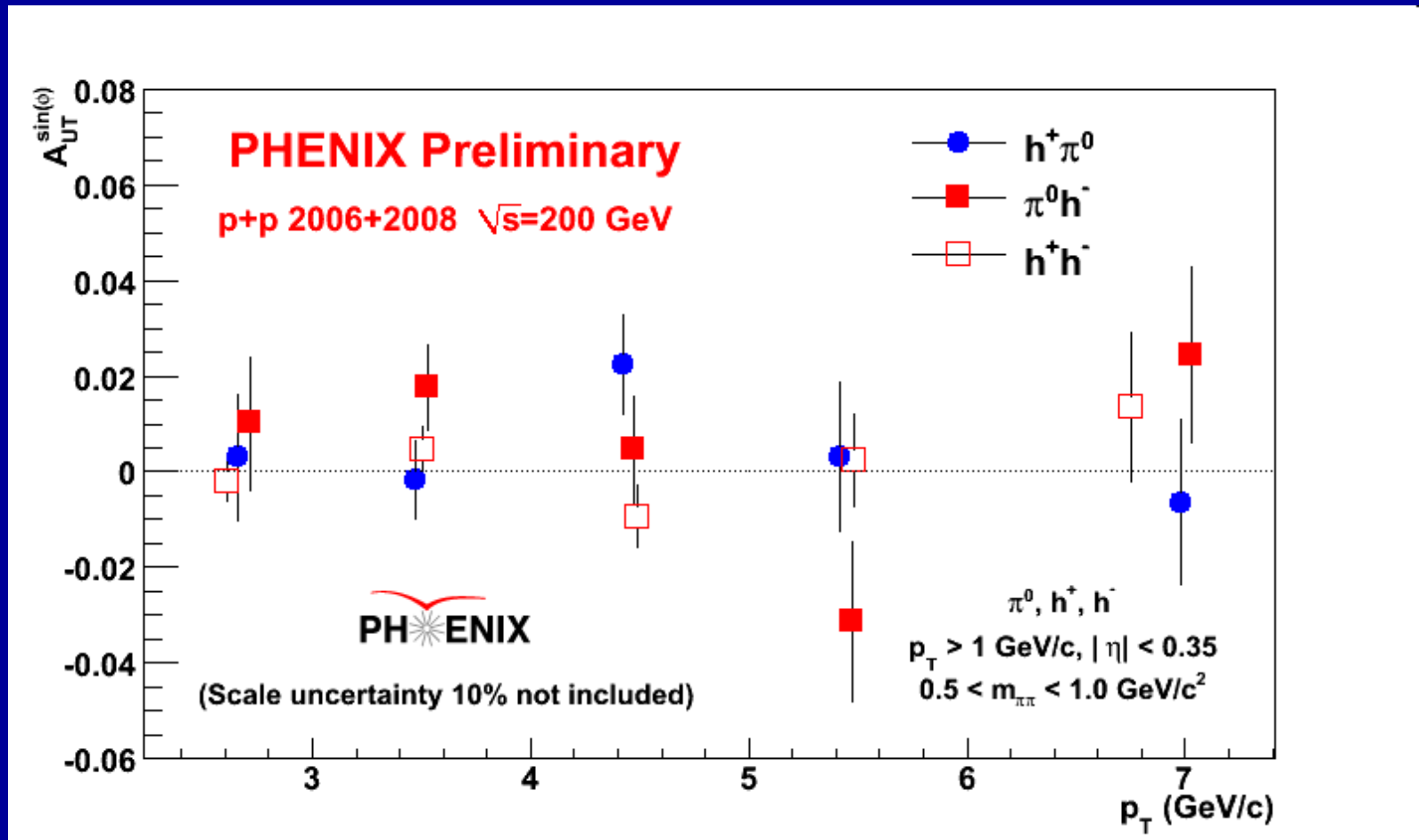
# PHENIX IFF Results at Midrapidity vs. Pair Mass



Added statistics from 2008 running

As in DIS measurements,  
no significant effect  
observed around rho mass.

# PHENIX IFF Results at Midrapidity vs. $p_T$



Added statistics from 2008 running

No significant asymmetries seen at mid-rapidity (yet!).

# *TMD's and Universality: Modified Universality of Sivers Asymmetries*

**DIS: attractive FSI**

**Drell-Yan: repulsive ISI**

*Measurements in semi-inclusive DIS already exist. A Drell-Yan measurement will be a crucial test of our understanding of QCD!  
Multiple dedicated polarized Drell-Yan experiments now being proposed.*



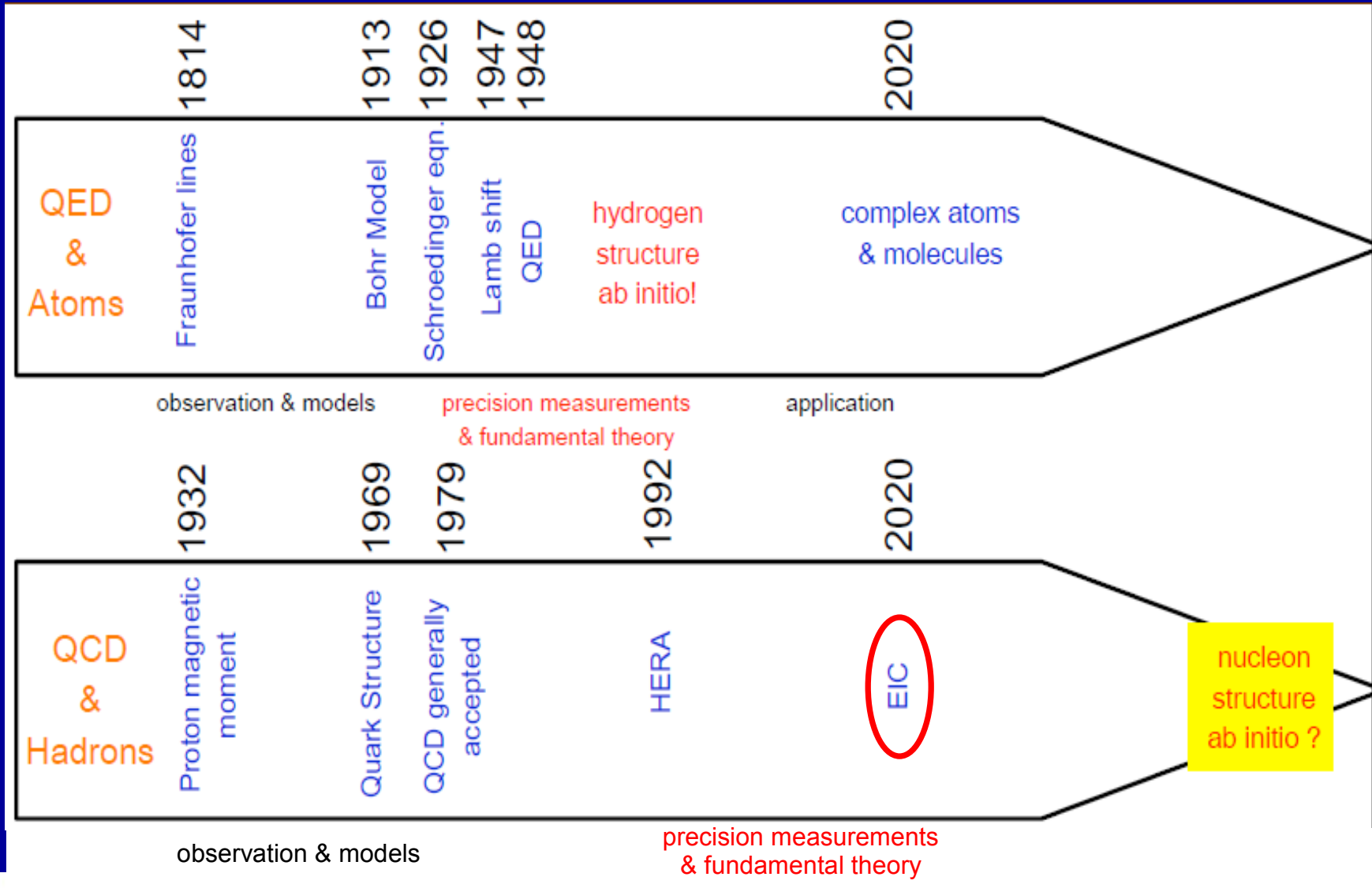
**As a result:**

$$\text{Sivers}|_{\text{DIS}} = -\text{Sivers}|_{\text{DY}}$$

# *TMDs, Factorization, and Universality in Other Hadronic Reactions*

- *We've known in principle all along that factorization is an approximation! Finally ready to start to move beyond the simplest approximation of hadrons that don't "communicate" in multi-hadron interactions!*
- For single-weighted functions still possible . . .
- Solution for non-weighted functions may be to include all hadrons in a *single* soft part

# QED vs. QCD



# *Glancing Into the Future: The Electron-Ion Collider*

- Design and build a new facility with the capability of colliding a beam of electrons with a wide variety of nuclei as well as polarized protons and light ions: the Electron-Ion Collider



# *The EIC: Communities Coming Together*

- At RHIC, heavy ions and nucleon spin structure already meet, but in some sense by “chance”
  - Genuinely different physics
  - Communities come from different backgrounds
  - Bound by an accelerator that has capabilities relevant to both
- Proposed EIC a facility where heavy ion and nucleon structure communities truly come together, peering into various forms of hadronic matter to continue to uncover the secrets and subtleties of QCD . . .

# Conclusions and Prospects

- After  $> 40$  years of studying the internal structure of the nucleon and nuclei, we remain far from the ultimate goal of being able to describe nuclear matter in terms of its quark and gluon degrees of freedom!

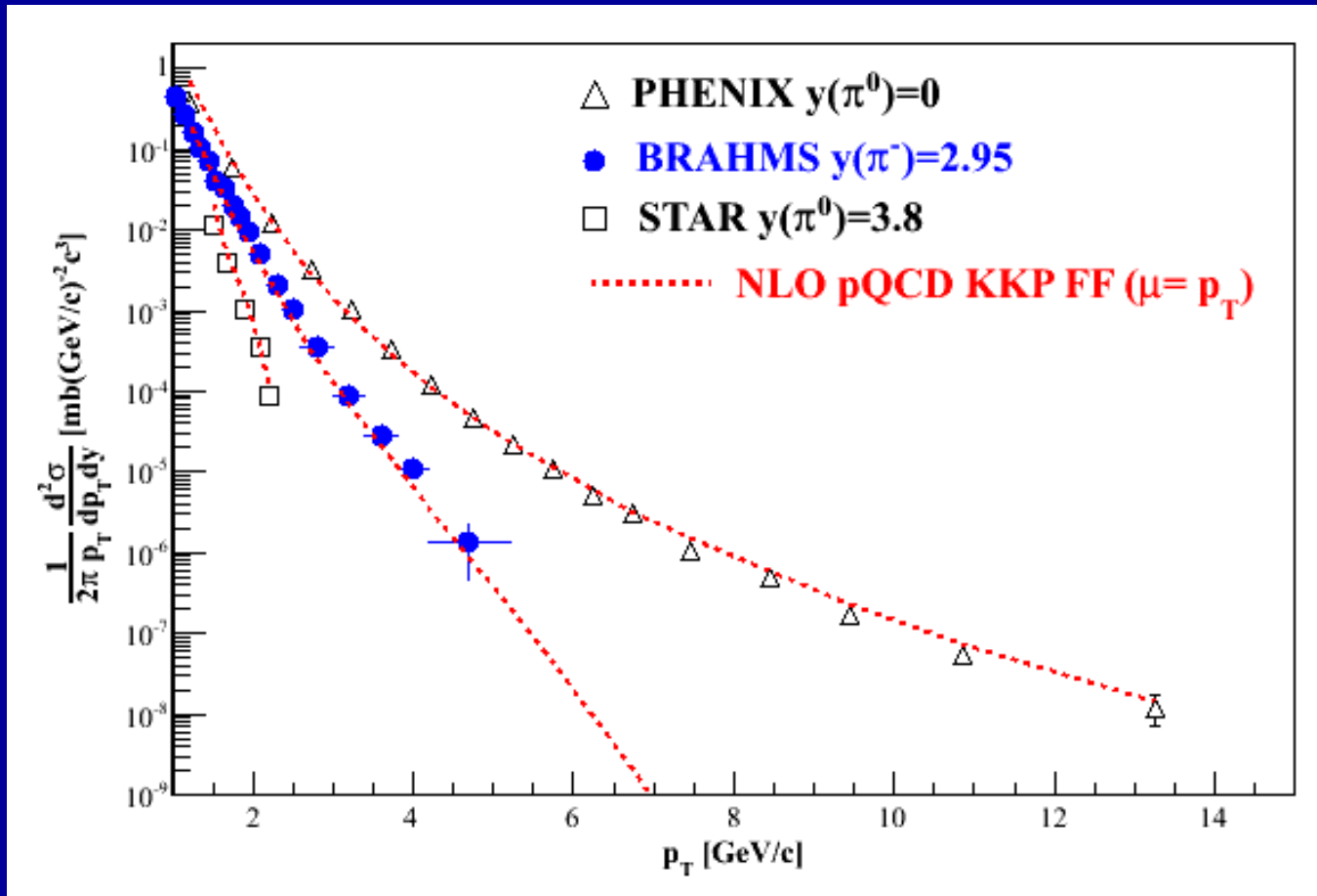
- *There's a large and diverse community of people—at RHIC and complementary facilities—driven to continue exploring QCD and coaxing the secrets out of one of the most fundamental building blocks of the world around us.*

measurements that will probe the behavior of quarks and gluons in nucleons as well as nuclei, bringing us to a new phase in understanding the rich complexities of QCD in matter.



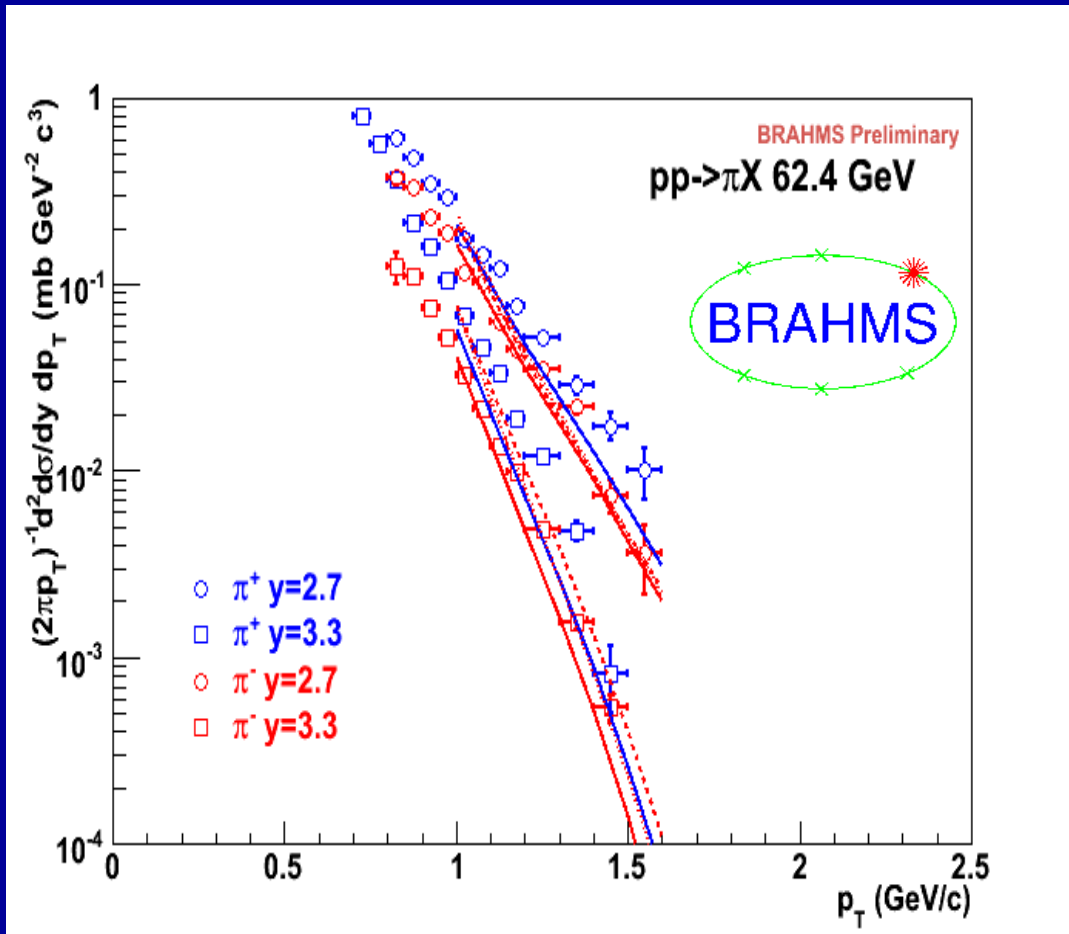
# *Additional Material*

# Polarization-averaged cross sections at $\sqrt{s}=200$ GeV



*Good description at 200 GeV over all rapidities down to  $p_T$  of 1-2 GeV/c.*

# $\sqrt{s}=62.4 \text{ GeV}$ Forward pions

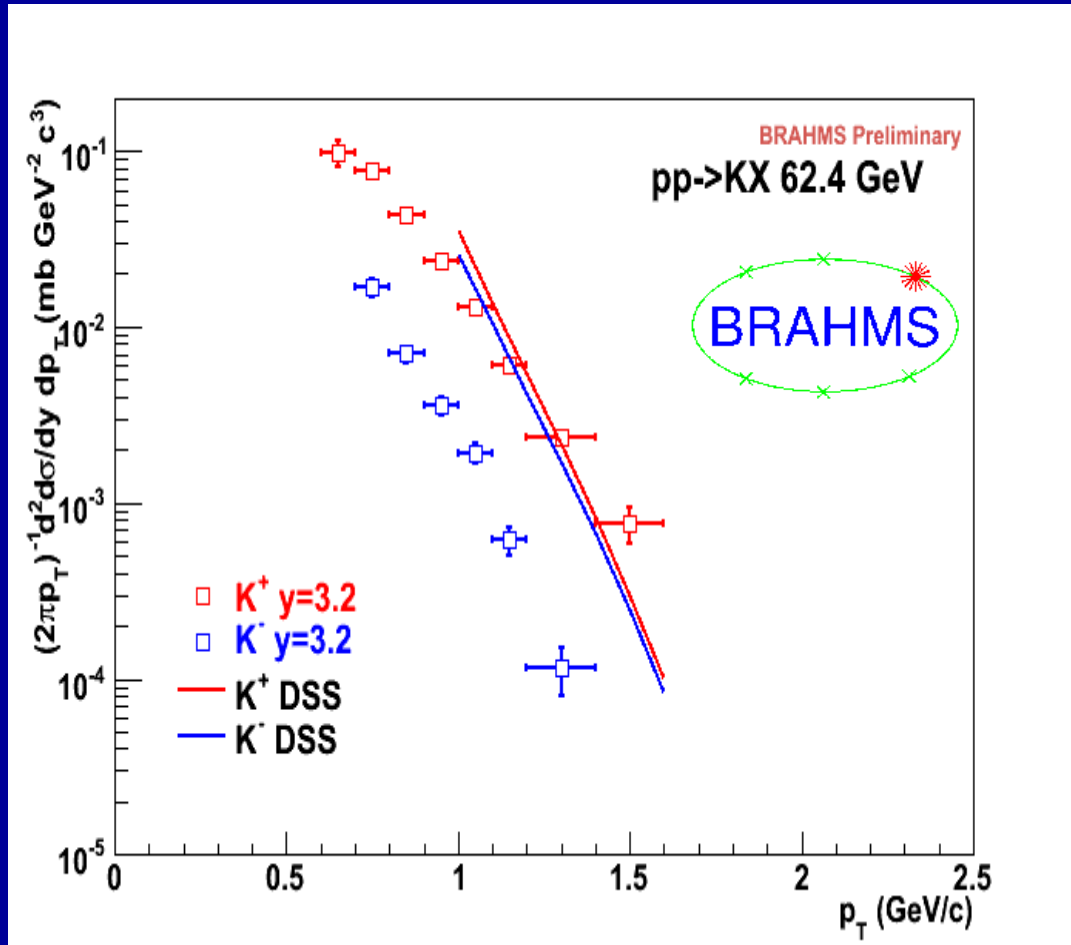


Comparison of NLO pQCD calculations with BRAHMS  $\pi$  data at high rapidity. The calculations are for a scale factor of  $\mu=p_T$ , KKP (solid) and DSS (dashed) with CTEQ5 and CTEQ6.5.

Surprisingly good description of data, in apparent disagreement with earlier analysis of ISR  $\pi^0$  data at 53 GeV.

Still not so bad!

# $\sqrt{s}=62.4 \text{ GeV}$ *Forward kaons*



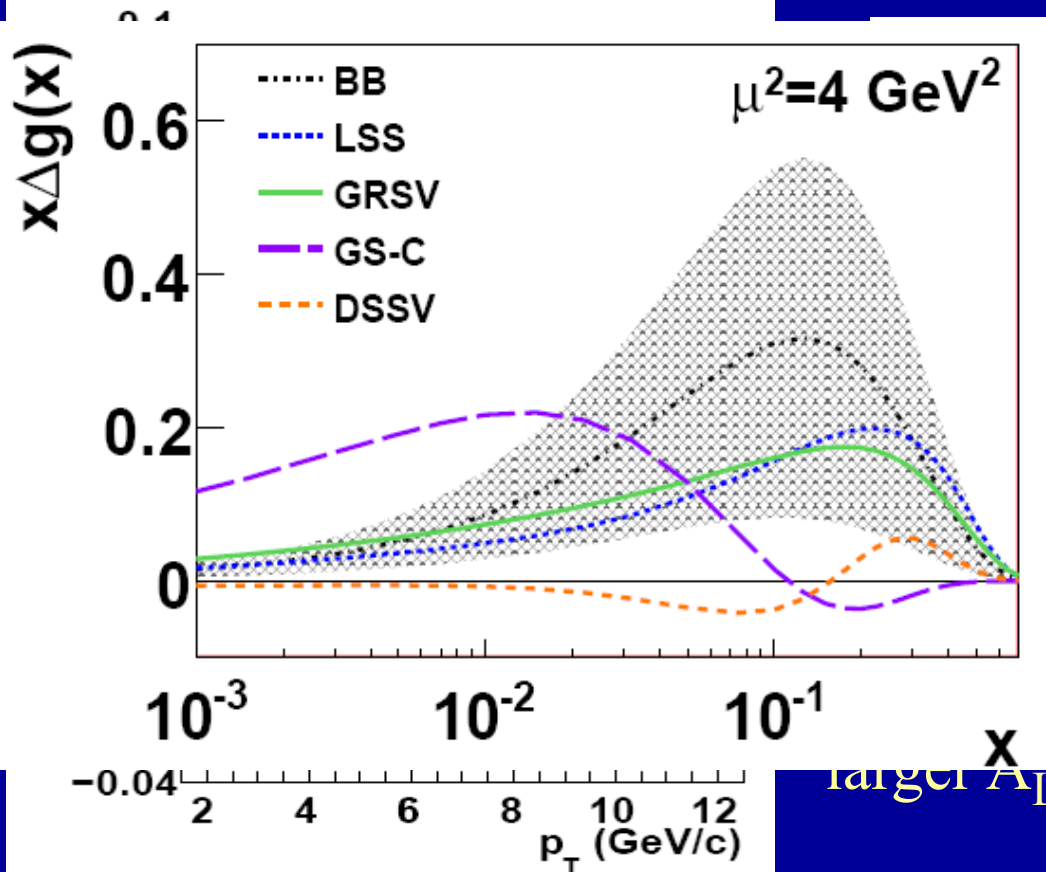
K<sup>-</sup> data suppressed ~order of magnitude (valence quark effect).

NLO pQCD using recent DSS FF's gives ~same yield for both charges(??).

Related to FF's? PDF's??

K<sup>+</sup>: Not bad!  
K<sup>-</sup>: Hmm...

# $\pi^0$ $A_{LL}$ : Agreement with Different Parametrizations of $\Delta g(x)$



Published best fit		
$\Delta G^{[0,1]}$	$\Delta G^{[0.02,0.3]}$	$\chi^2$
0.95	0.18	8.3
-0.05	-0.03	7.5
0.60	0.37	22.4
0.67	0.38	14.8
0.93	0.67	69.0

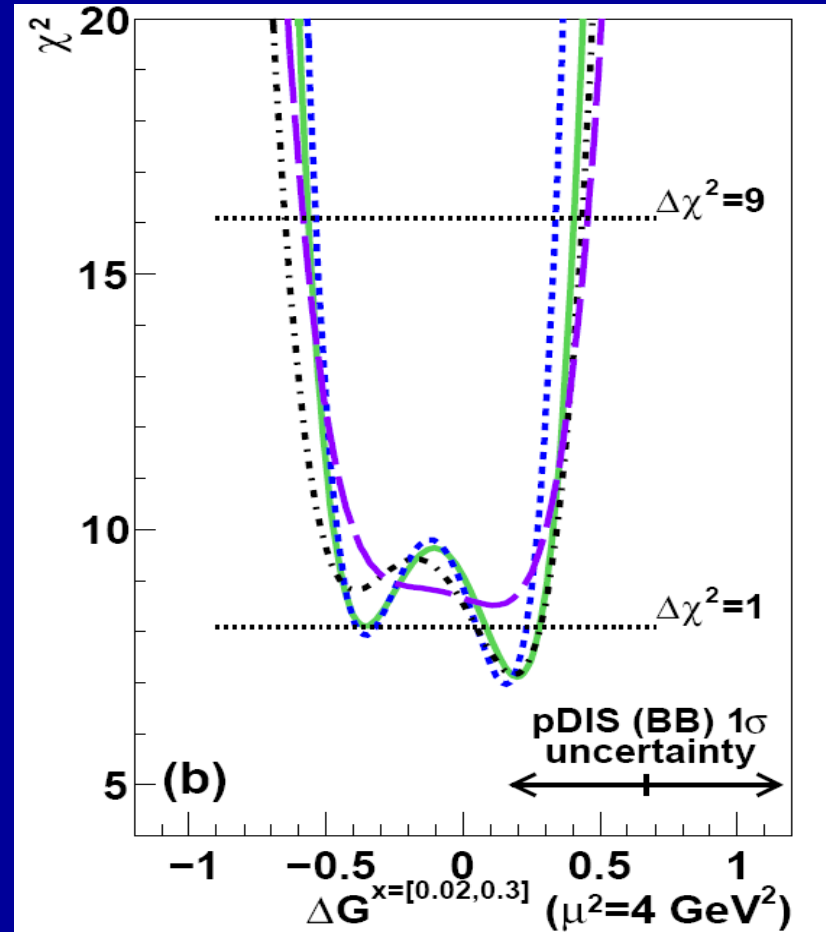
in our measured  $x$  region 0.02 gives small  $A_{LL}$  (DSSV and GS-  
 the  $\Delta G$  gives comparatively  
 target  $A_{LL}$ .

Note small  $A_{LL}$  does not necessarily mean small  $\Delta G$  in the full  $x$  range!

# $\pi^0$ $A_{LL}$ : Agreement with different parametrizations

For each parametrization, vary  $\Delta G^{[0,1]}$  at the input scale while fixing  $\Delta q(x)$  and the shape of  $\Delta g(x)$ , i.e. no refit to DIS data.

For range of shapes studied, current data relatively insensitive to shape in  $x$  region covered.

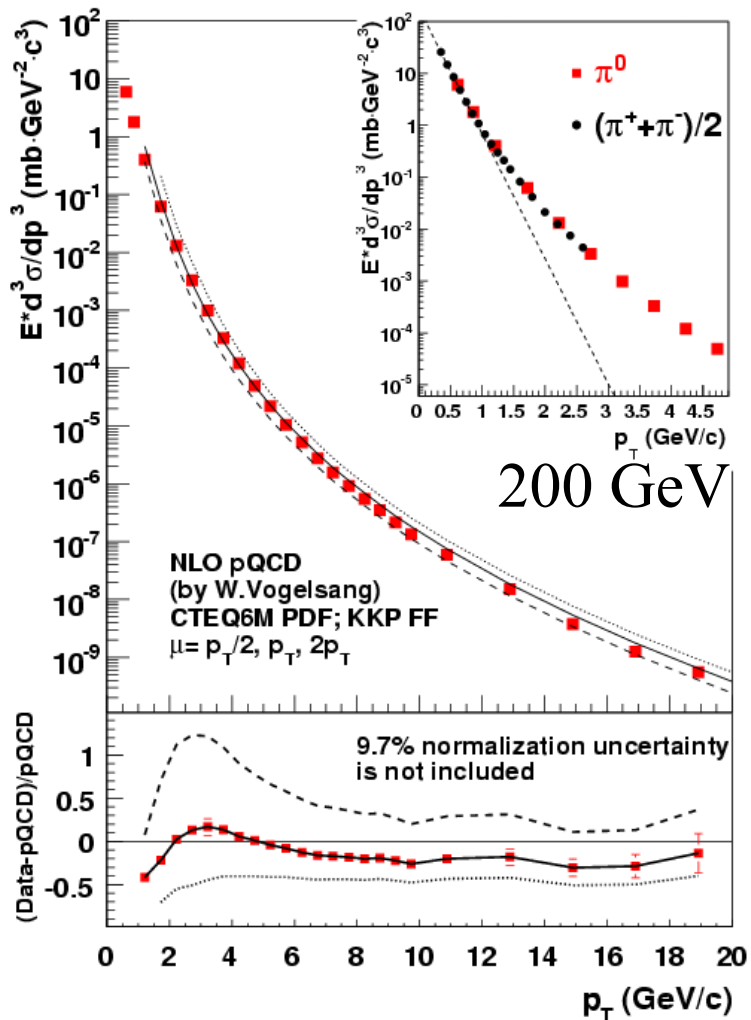


arXiv:0

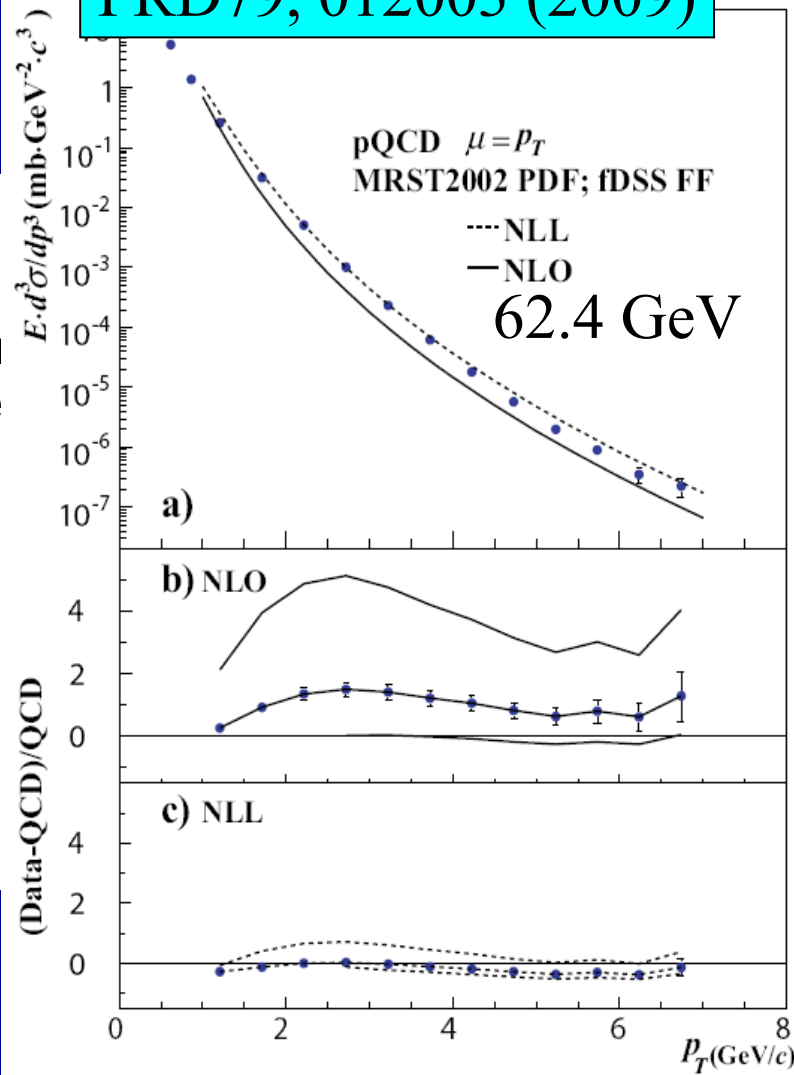
Need to extend  $x$  range!

# Extending $x$ Coverage

PRD79, 012003 (2009)



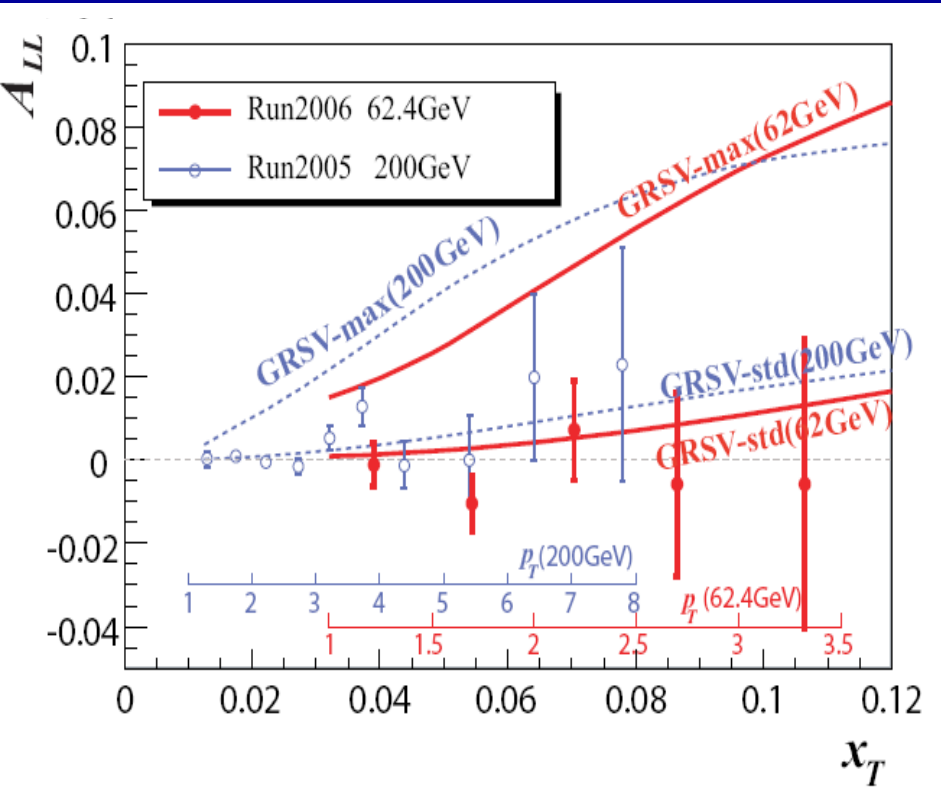
higher  
4 Ge



# Neutral Pion $A_{LL}$ at 62.4 GeV



$$x_T = \frac{2p_T}{\sqrt{s}}$$



Converting to  $x_T$ , can see significance of 62.4 GeV measurement (0.08 pb<sup>-1</sup>) compared to published data from 2005 at 200 GeV (3.4 pb<sup>-1</sup>).

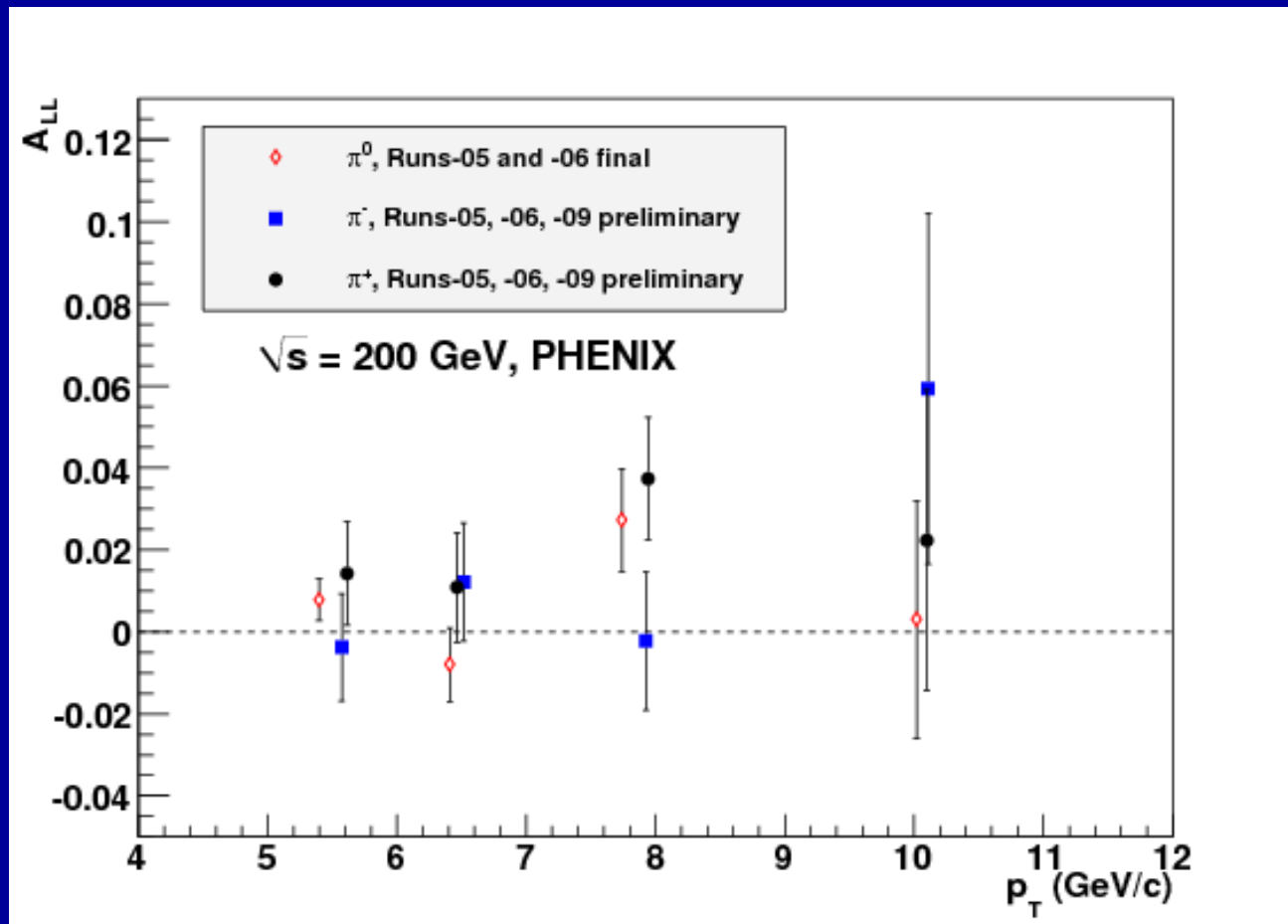
$$0.02 < x_{gluon} < 0.3 \quad (\sqrt{s} = 200 \text{ GeV})$$

$$0.06 < x_{gluon} < 0.8 \quad (\sqrt{s} = 62.4 \text{ GeV})$$

PRD79, 012003 (2009)



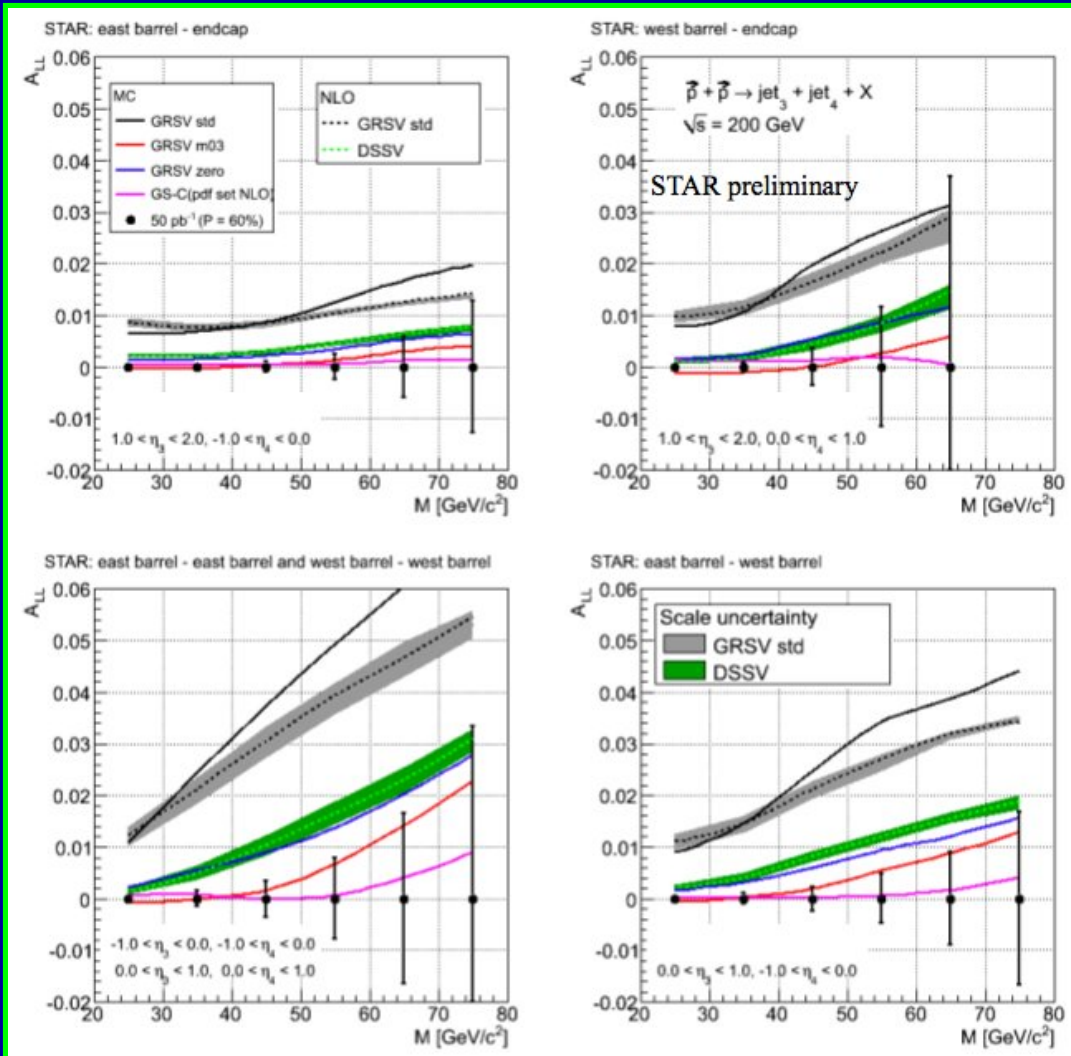
# Ordering of $A_{LL}$ for pion species?



Not yet clear.

Small  $\Delta G$   $\Rightarrow$  small predicted differences between asymmetries!

# Reduce Integration Bins: Correlation Measurements



Di-Jet and Photon-Jet Asymmetries allows reconstruction of partonic  $x_1$  and  $x_2$  at leading order.

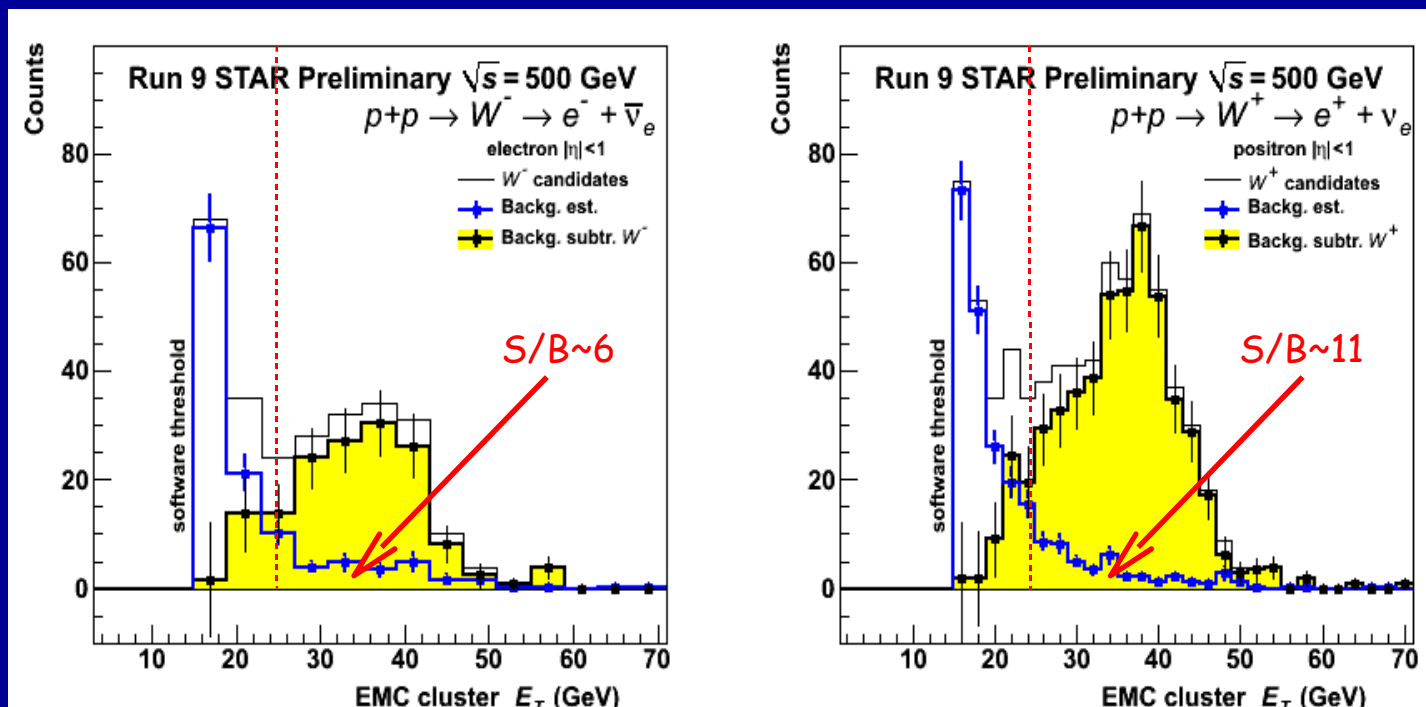
$$x_1 = \frac{x_T}{2} (e^{\eta_1} + e^{\eta_2})$$

$$x_2 = \frac{x_T}{2} (e^{-\eta_1} + e^{-\eta_2})$$

$$\cos \theta^* = \tanh \left[ \pm \frac{1}{2} (\eta_1 - \eta_2) \right]$$

$$\text{with } x_T \equiv \frac{2p_T}{\sqrt{s_{pp}}}$$

# STAR W Analysis: BG Subtraction

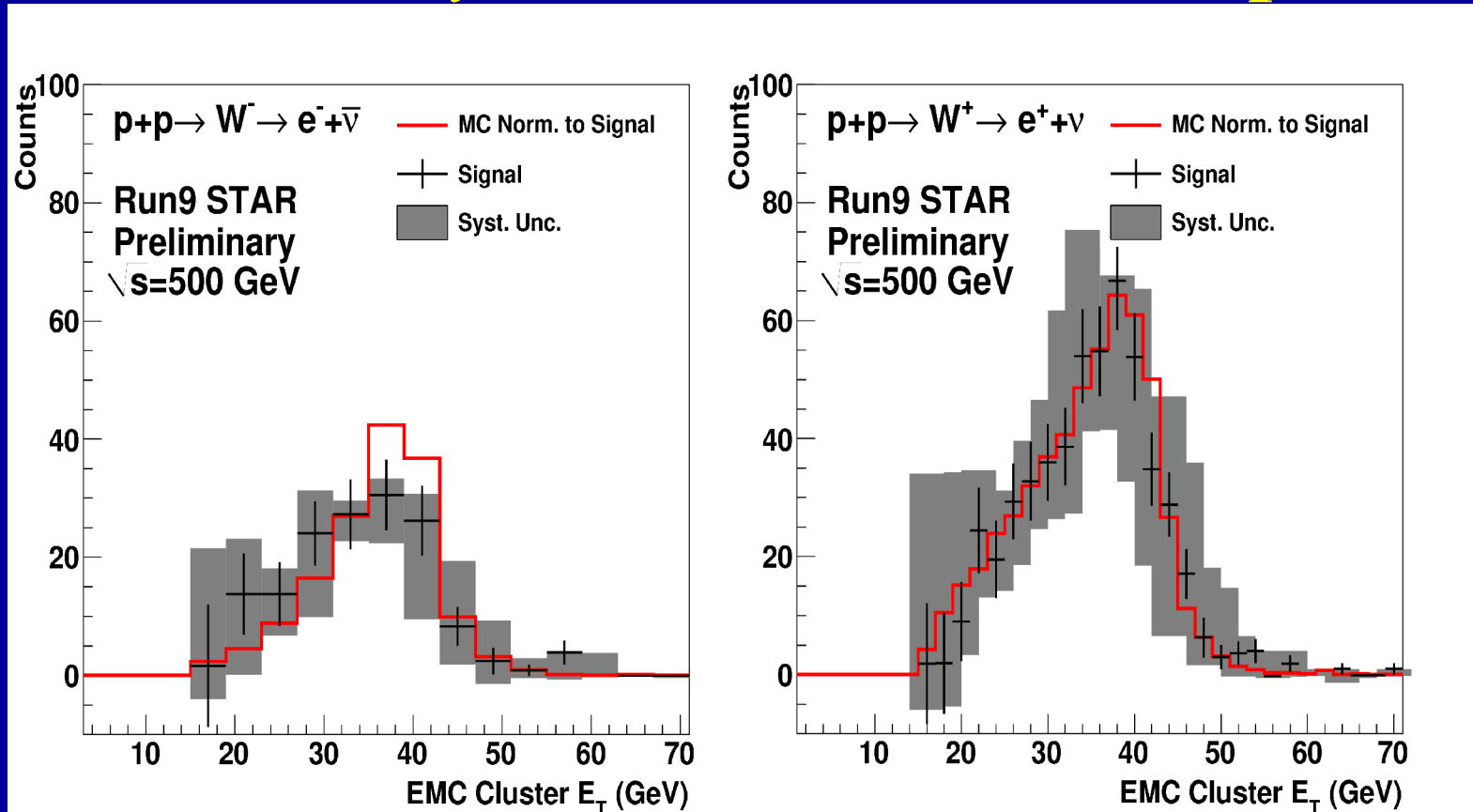


- Background distribution and background-subtracted signal distribution

- $B/(S+B)$  ( $E_T > 25\text{GeV}$ )  $W^-$ : 16%
- $B/(S+B)$  ( $E_T > 25\text{GeV}$ )  $W^+$ : 8%

Background Events ( $E_T > 25$ GeV)	$W^- \rightarrow e^- + \bar{\nu}_e$	$W^+ \rightarrow e^+ + \nu_e$
$W \rightarrow \tau + \nu_\tau$	$2.7 \pm 0.7$	$8.4 \pm 2.2$
Missing Endcap	$14 \pm 4$	$13 \pm 4$
Normalized QCD	$8.0^{+20}_{-4}$	$25^{+36}_{-9}$
Total	$25^{+21}_{-7}$	$46^{+36}_{-11}$

# STAR W Analysis: Data/MC Comparison



- Comparison of data and PYTHIA+GEANT simulations for W signal events at 500GeV
- Systematic uncertainties were estimated by varying cuts and normalization regions for QCD background and by varying BEMC energy scale uncertainty ( $\pm 7.5\%$ )

# *STAR W Cross Section: Uncertainties*

- Total  $W^+/W^-$  cross-section uncertainties
  - W reconstruction systematic uncertainties
    - Track reconstruction: 15 – 20%
    - Vertex reconstruction: 3%
    - BEMC Energy scale: < 1%
  - Normalization / Luminosity systematic uncertainty
    - Vernierscan absolute cross section: 23%
    - Background systematic uncertainty
  - Vary data driven QCD background shape and normalization region ( $E_T < 17 - 21$  GeV)

# STAR $W A_L$ Uncertainties

- Parity-violating single-spin asymmetry  $W^+/W^-$   $A_L$  uncertainties

$W^+$		$W^-$						
	low	high	low					
<b>0.09</b>	<b>0.09</b>	0.09	0.09		<i>Absolute</i>			
0.07	0.02	0.13	0.03		QCD			
0.07	0.07	<b>0.14</b>	<b>0.14</b>		<i>QCD pol. bckg.</i>			
0.01	0.00	0.01	0.00		Decay of pol.			
<b>0.13</b>	<b>0.11</b>	<b>0.21</b>	<b>0.17</b>		Total syst. in			

- The following effects were found to be negligible:

- Dilution of  $A_L$  due to swap of  $W^+/W^-$  charges : Tracks with false curvature were removed
  - ALL P1P2 term cancels out
  - Transverse spin term negligible

# W Projections (STAR)

## Assumptions:

### □ Efficiency:

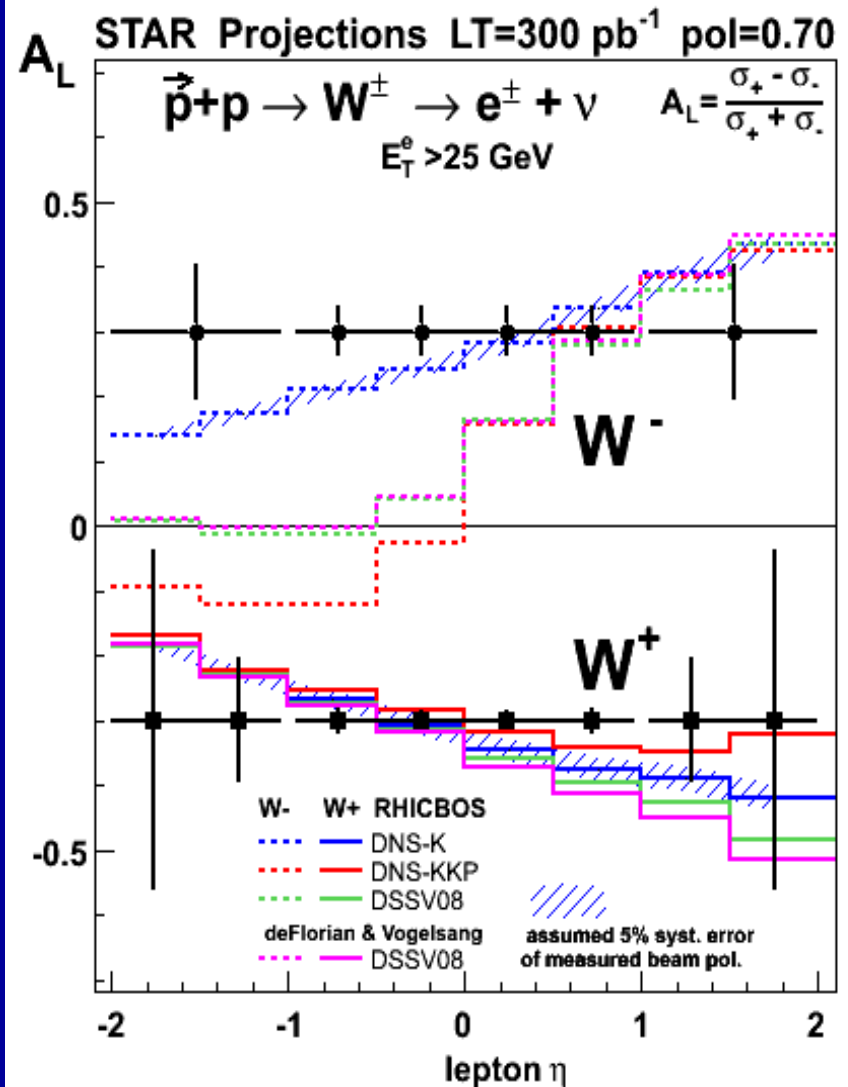
- Mid-rapidity: 0.65
- Forward rapidity: 0.60
- Assume availability of 9MHz RF

### □ Background:

- Mid-rapidity: Run 9
- Forward rapidity: QCD MC simulations

### □ Full charge-sign discrimination at high-p<sub>T</sub>

lepton  $|\eta| < 1$ : 2 beams, eff=0.65 w/ 9MHz RF, Run9 QCD bckg, rhicbos  $\sigma_{W^+}, W^- = 82, 19$  pb  
 lepton  $|\eta| \in [1, 2]$ : 1 beam, eff=0.60 w/ 9MHz RF, M-C QCD bckg, rhicbos  $\sigma_{W^+}, W^- = 5.3, 4.7$  pb



# W Projections vs. $p_T$

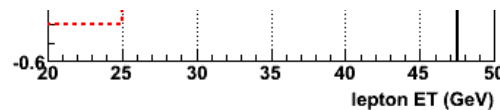
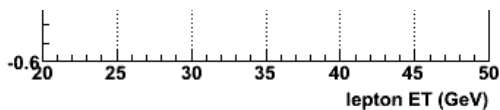
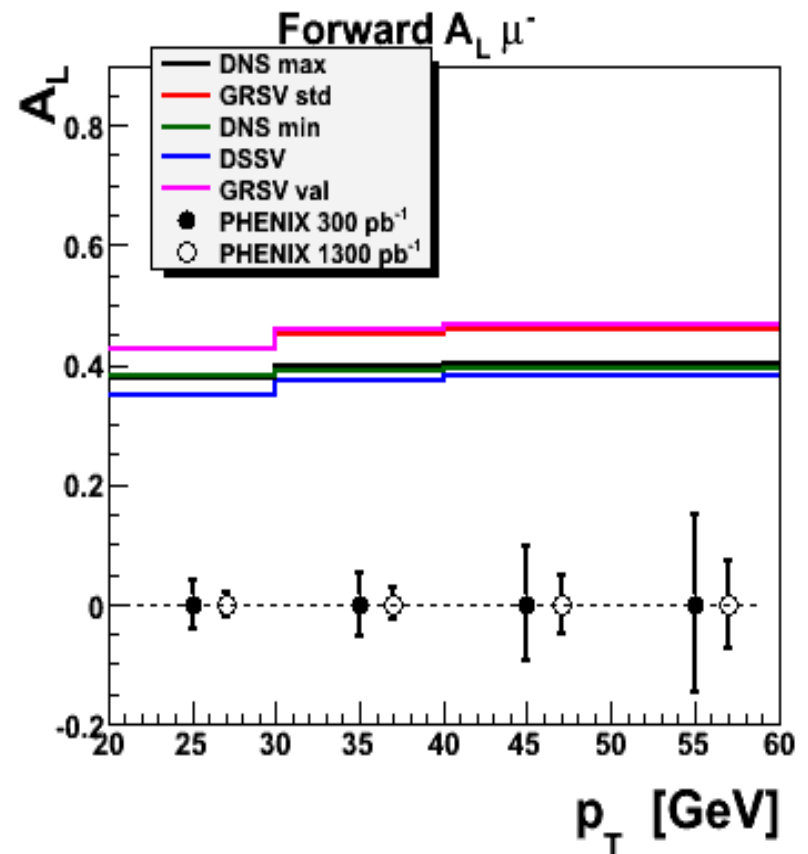
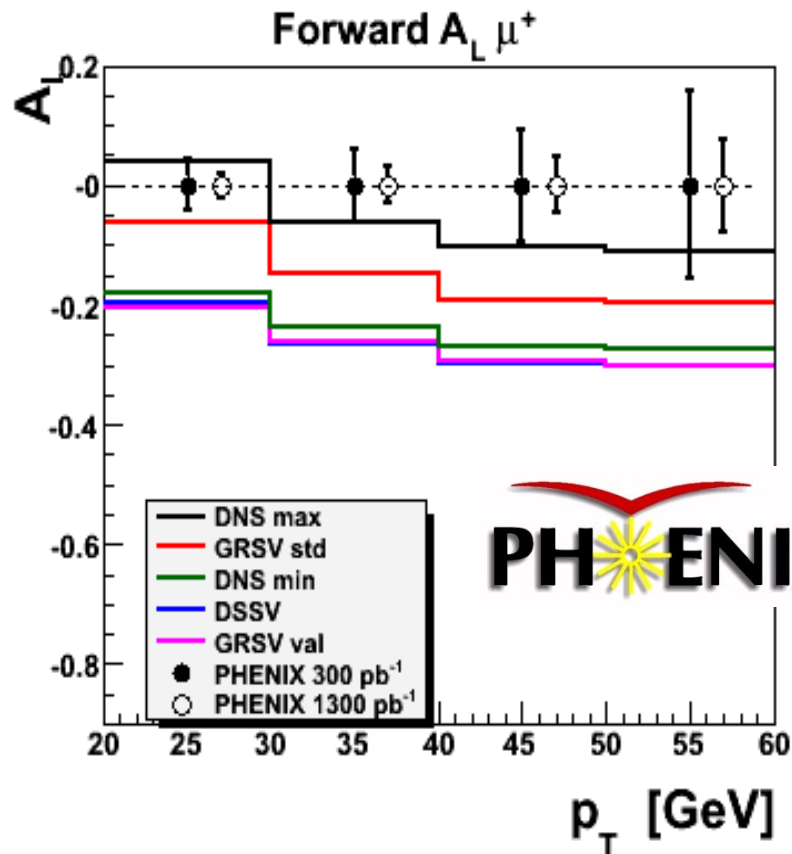
STAR projections for  $LT=300 \text{ pb}^{-1}$ ,  $\text{Pol}=0.7$ ,  $\text{effi}=70\%$ , including QCD background, no vertex cut

Forward  $A_L(W^+)$  for positron

GRSV-STD  
GRSV-VAL

Forward  $A_L(W^-)$  for electron

•  $1 < \eta < 2$



•



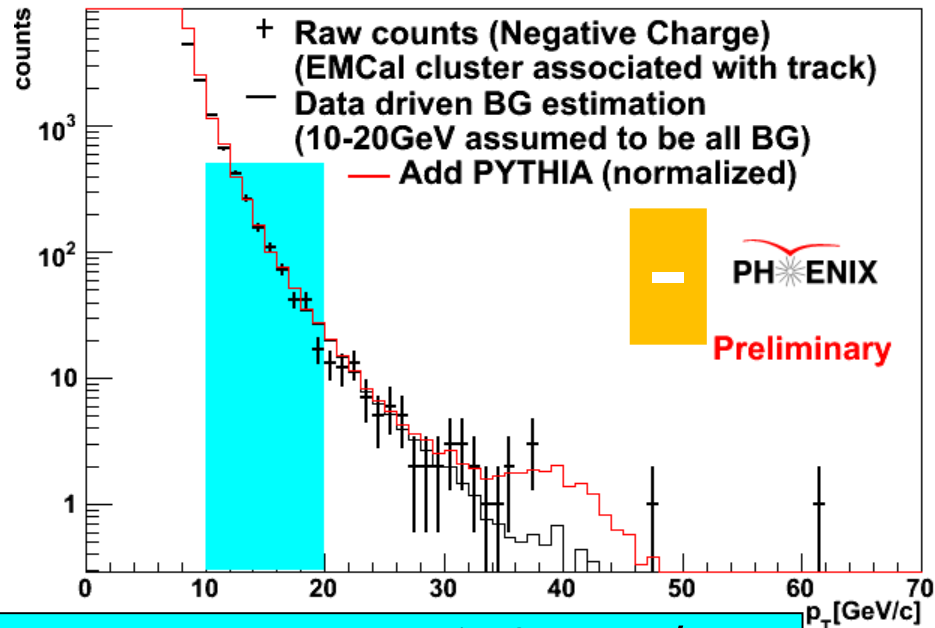
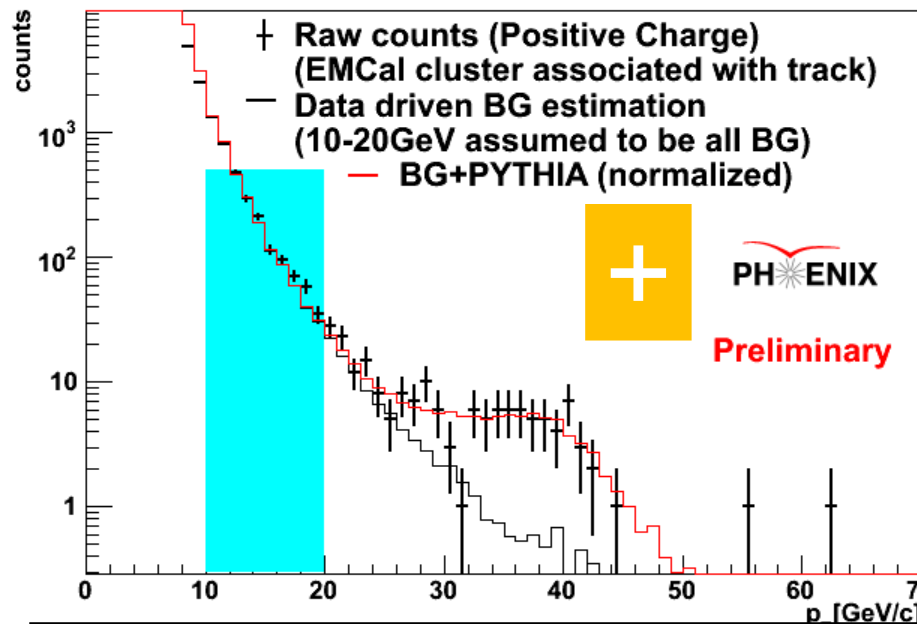
# PHENIX W Analysis: Electron $p_T$

## Data- and MC-driven BG estimation: Spectra

EMCal cluster distribution after subtracting cosmic background  
+ (Conversion + Accidental)  
+ Tracking Acceptance

+

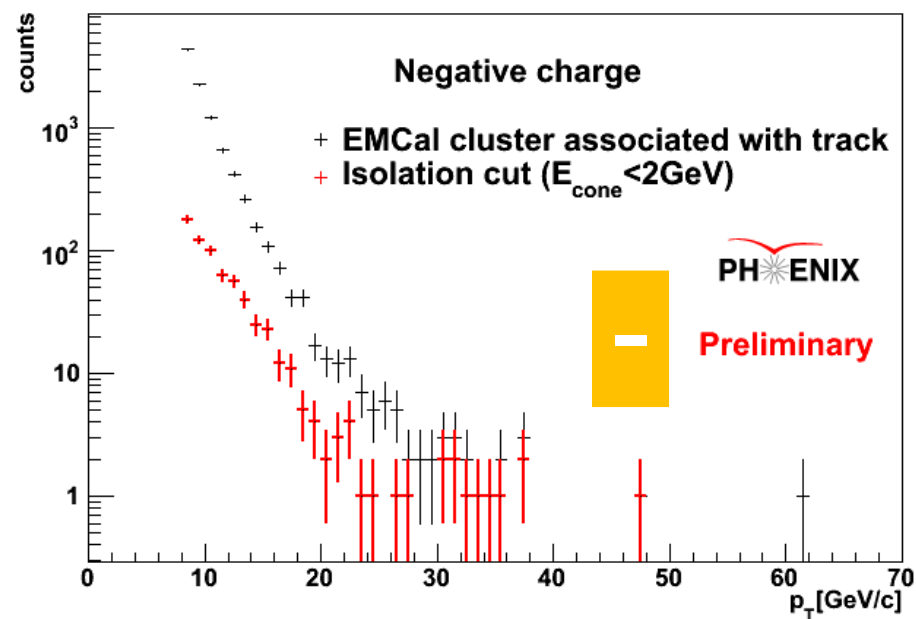
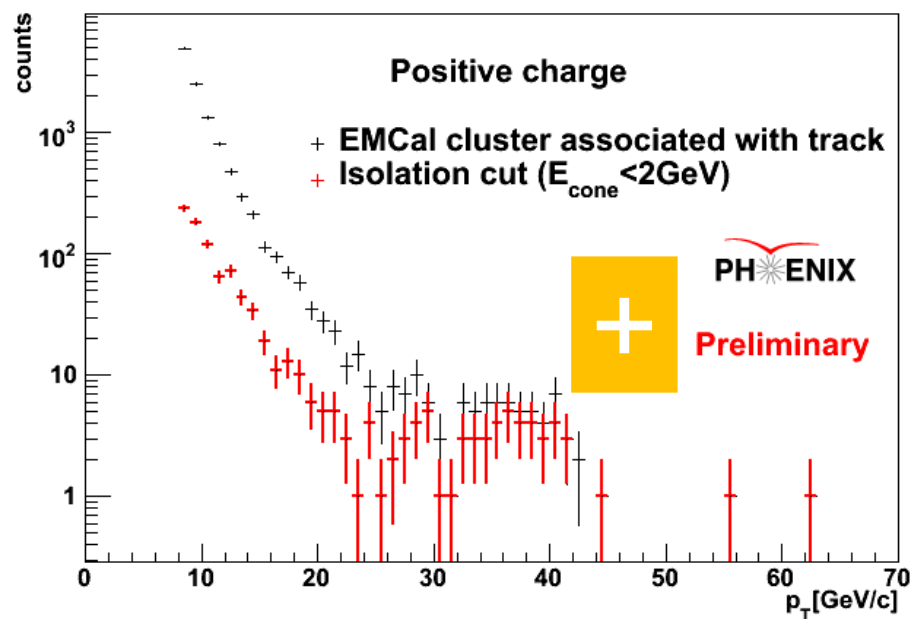
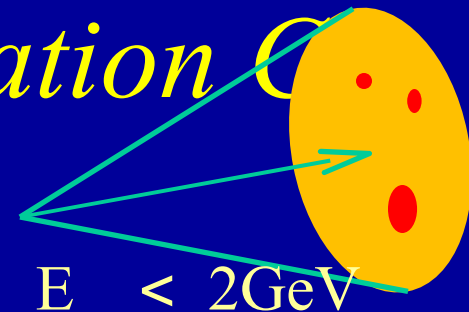
(NLO Hadrons thru Geant + FONLL  $c/b$ )  
+ Normalization from fit to 10-20 GeV



- The same scale factor for PYTHIA was used for W/Z shape.
- $W^- e^-$  signal has fewer counts than  $W^+ e^+$  signal as

# PHENIX W Analysis: Isolation C

- Signature of a W event is that it is isolated
- Sum up energy in a cone around electron and in cone on opposite hemisphere

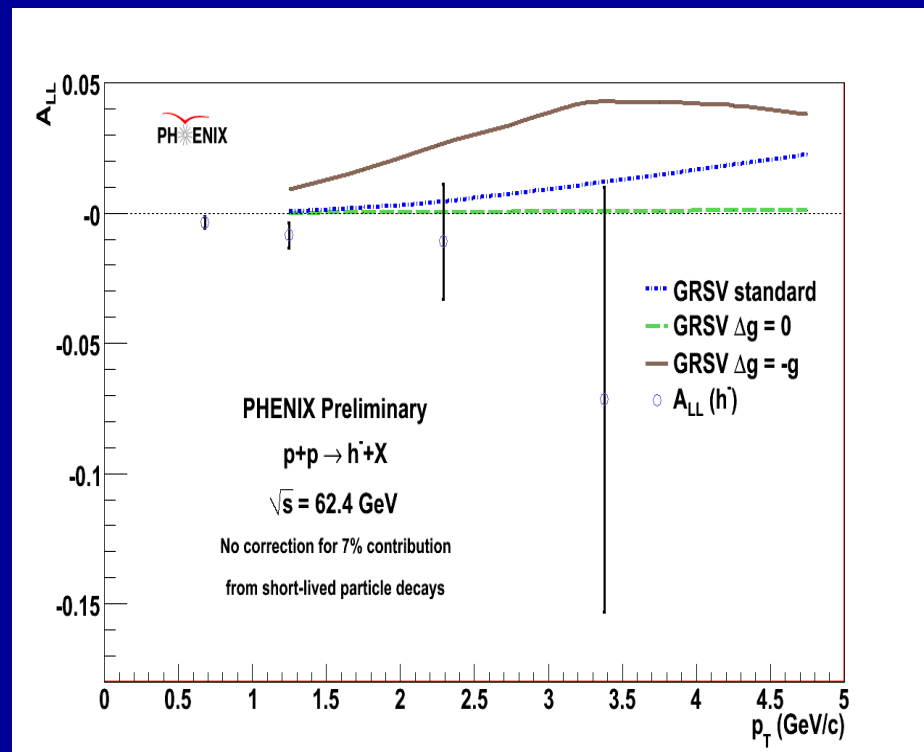
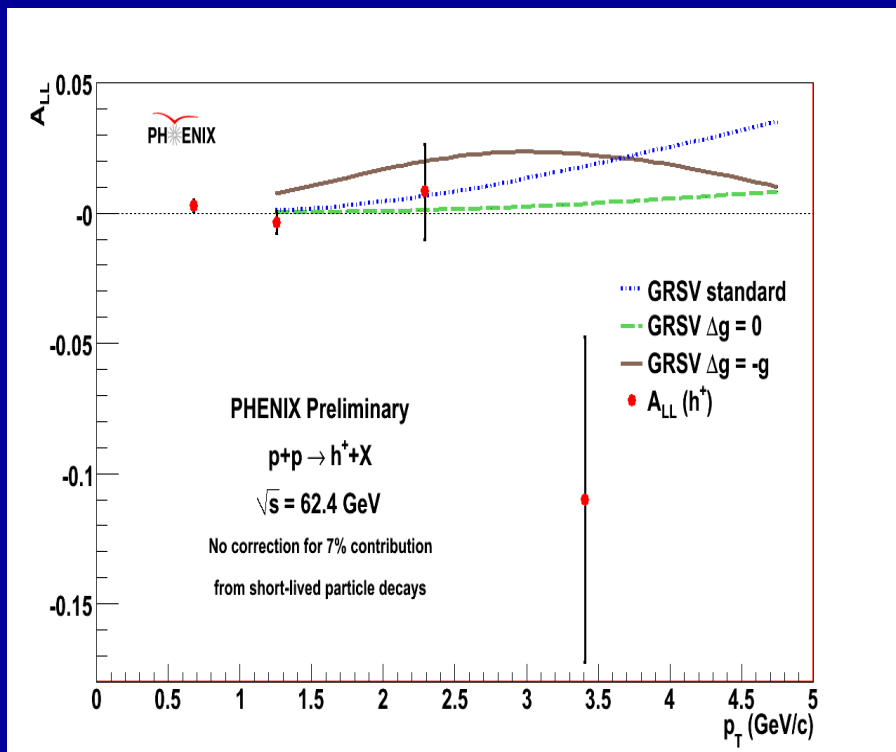


- 90+% of signal is kept (red histograms)
- Factor  $\sim 5$  reduction in jet dominated region

# $A_{LL}$ of Non-identified Charged Hadrons at 62.4 GeV



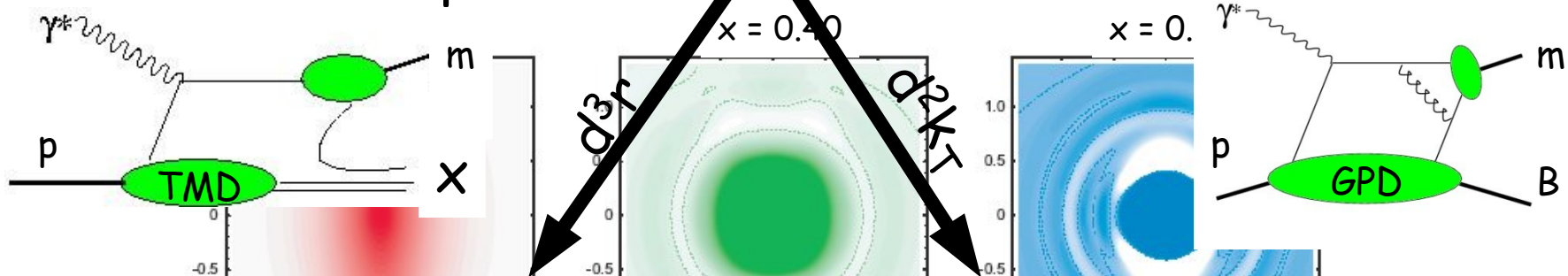
Cross section measurement in progress!



14% polarization uncertainty not included

# Towards a 3D spin-flavor landscape

$$W^u(x, k, r)$$



$$TMD^u(x, k_T)$$

$$f_1, g_1, f_{1T}, g_{1T}$$

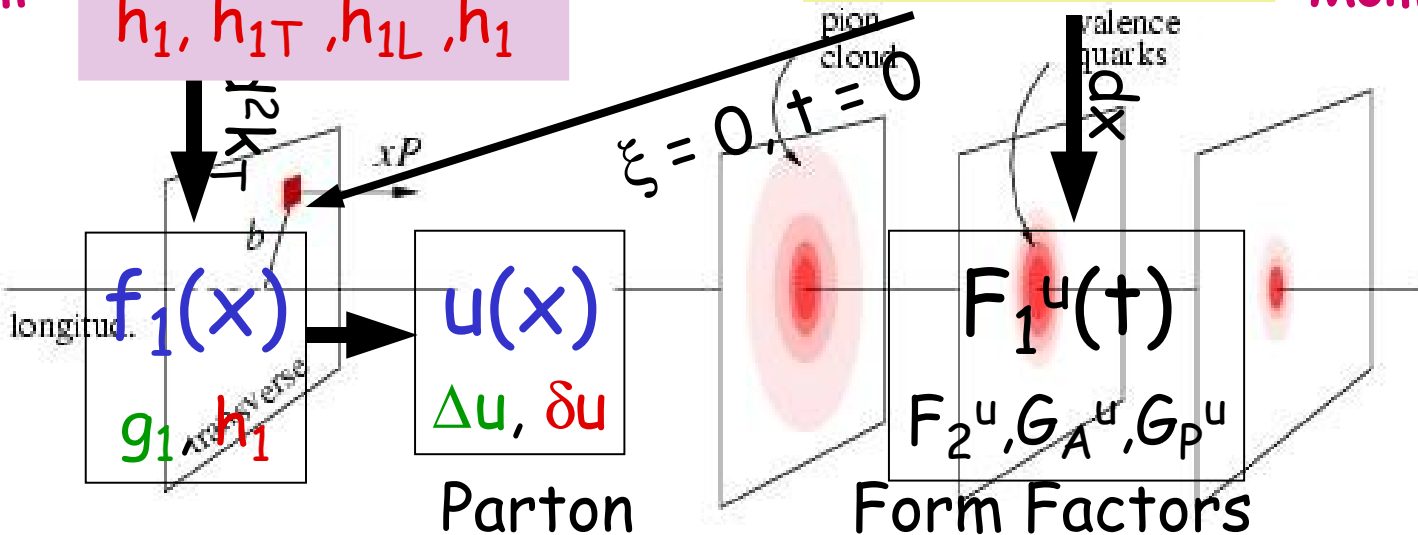
$$h_1, h_{1T}, h_{1L}, h_{1\perp}$$

Link to  
Orbital  
Momentum

$$GPD^u(x, \xi, t)$$

$$H^u, E^u, \tilde{H}^u, \tilde{E}^u$$

Link to  
Orbital  
Momentum

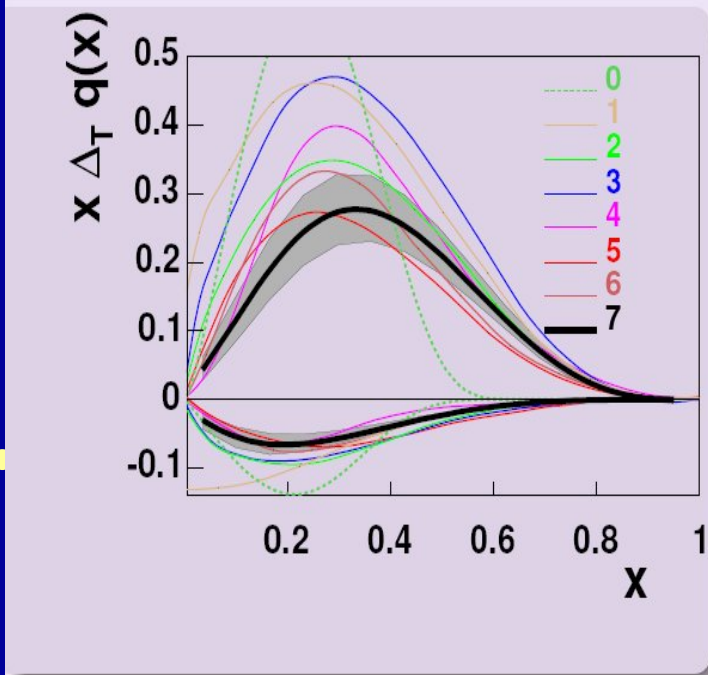


gives transverse position of quark (parton) with longitud. mom. fraction x

# Understanding Transverse Spin

## Transversity, comparison with models

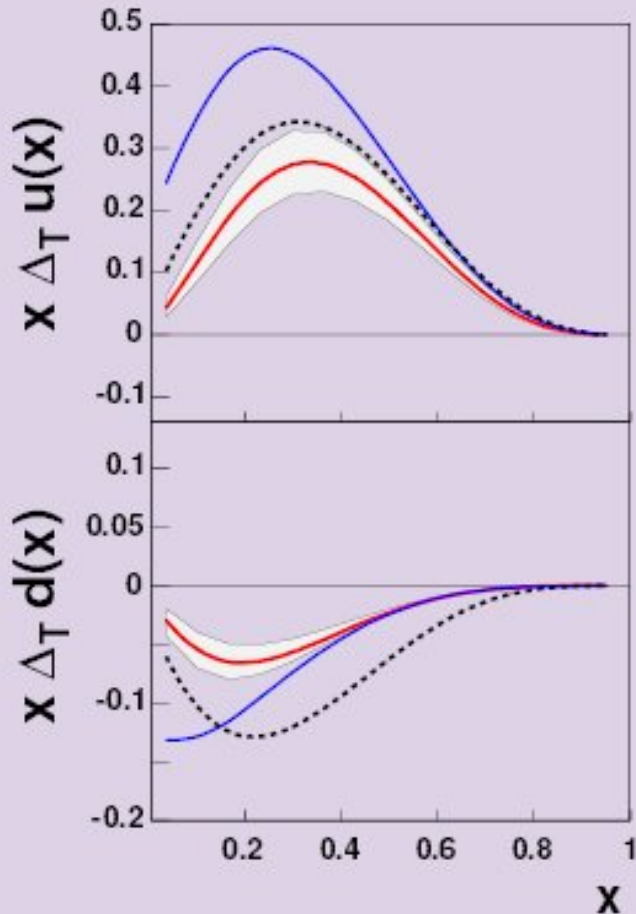
New extraction is close to most models.



- 0 Barone, Calarco, Drago PLB 390 287 (97)
- 1 Soffer et al. PRD 65 (02)
- 2 Korotkov et al. EPJC 18 (01)
- 3 Schweitzer et al. PRD 64 (01)
- 4 Wakamatsu, PLB B653 (07)
- 5 Pasquini et al., PRD 72 (05)
- 6 Cloet, Bentz and Thomas PLB 659 (08)
- 7 This analysis.

# Transversity vs. helicity

*Prokudin et al. at Ferrara*



- 1 Solid red line – transversity distribution

$$\Delta_T q(x)$$

this analysis at  $Q^2 = 2.4 \text{ GeV}^2$ .

- 2 Solid blue line – Soffer bound

$$\frac{q(x) + \Delta q(x)}{2}$$

GRV98LO + GRSV98LO

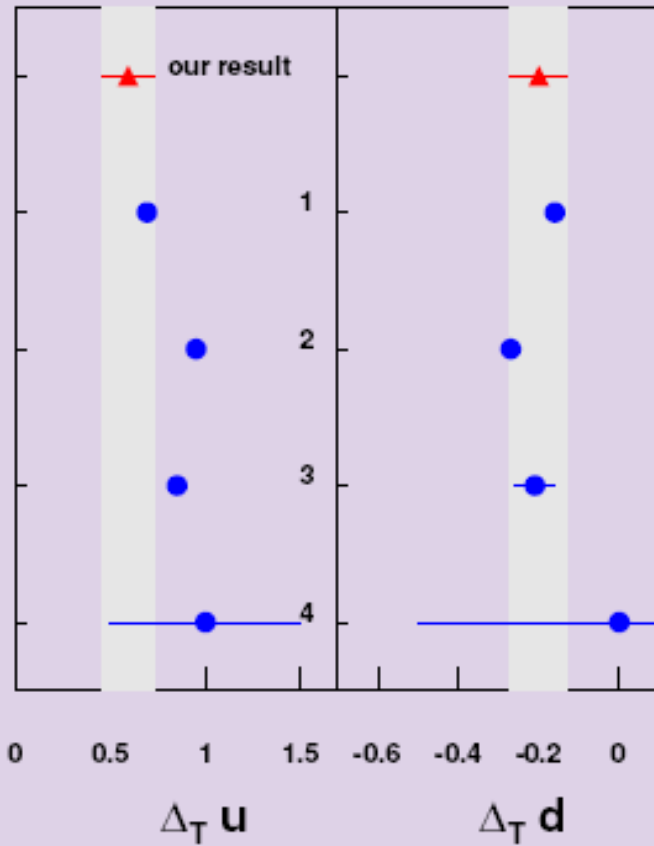
- 3 Dashed line – helicity distribution

$$\Delta q(x)$$

GRSV98LO

# Tensor charges

$$\Delta_T u = 0.59^{+0.14}_{-0.13}, \Delta_T d = -0.20^{+0.05}_{-0.07} \text{ at } Q^2 = 0.8 \text{ GeV}^2$$



## *Prokudin et al. at Ferrara*

- 1 Quark-diquark model:  
Cloet, Bentz and Thomas  
PLB **659**, 214 (2008),  $Q^2 = 0.4 \text{ GeV}^2$
- 2 CQSM:  
M. Wakamatsu, PLB B **653** (2007) 398  
 $Q^2 = 0.3 \text{ GeV}^2$
- 3 Lattice QCD:  
M. Gockeler et al.,  
Phys.Lett.B627:113-123,2005 ,  $Q^2 =$   
 $\text{GeV}^2$
- 4 QCD sum rules:  
Han-xin He, Xiang-Dong Ji,  
PRD 52:2960-2963,1995,  $Q^2 \sim 1 \text{ GeV}^2$

# Improved Forward Coverage in PHENIX: Muon Piston Calorimeter

Photon merging effects prevent two-photon  $\pi^0$  analysis for  $E > 20$   
GeV ( $p_T > 2$  GeV/c)

**62 GeV**

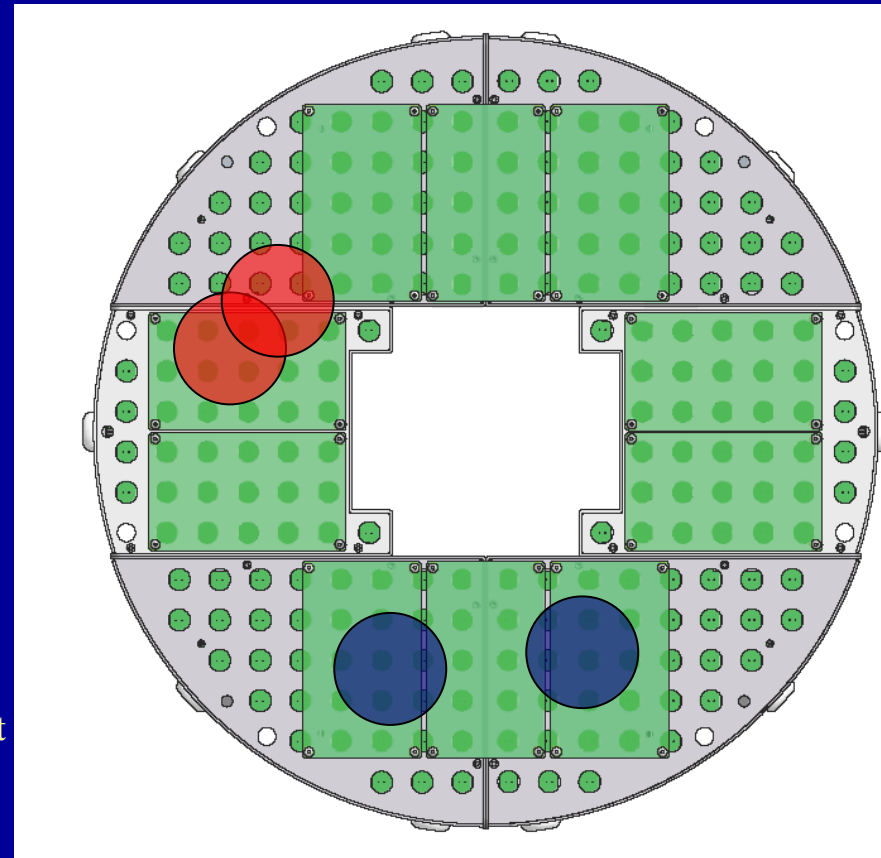
20 GeV  $\leq 0.65 x_F$ : Two-photon  $\pi^0$  analysis

**200 GeV**

20 GeV  $\leq 0.20 x_F$ : “Single clusters”.

Yields dominated by  $\pi^0$ 's but also get contributions from:

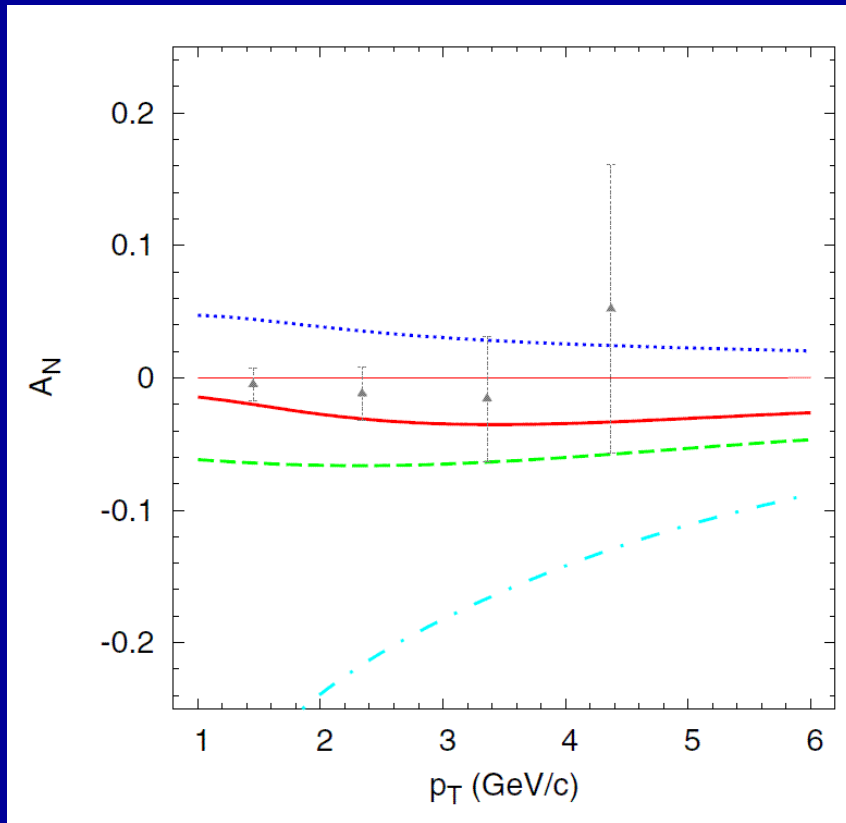
- **Electromagnetic**
  - Direct photons
  - Decay photons ( $\eta$ , etc)
  - Estimated using Pythia (TuneA)
- **Hadronic: ( $\pi^{+/-}$ ,  $K^{+/-}$ , etc.)**
  - Estimated with Pythia+GEANT. Initial estimate is  $< 10\%$  contamination in lowest energy bin with decreasing fraction as deposited energy increases
  - Qualitatively consistent with expected detector behavior



Decay photon impact  
positions for **low** and **high**  
energy  $\pi^0$ 's



# Midrapidity Neutral Pion SSA: Limit on Gluon Sivers Function



Phys. Rev. D 74, 094011

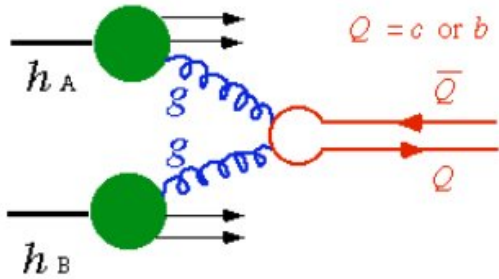
- Data points:  $\square^0 A_N$  at  $x_F=0$
- Leading order model-dependent constraints on gluon Sivers function
  - Similar storyline to  $A_{LL}$ 
    - Initial data rules out maximally polarized distributions
    - Later data puts precise determination on distribution

- Cyan: Gluon Sivers Function at positivity bound, no sea quark Sivers
- Thick Red: Gluon Sivers parameterized to be 1 sigma from PHENIX  $\square^0 A_N$
- Blue: Asymmetry from Sea quark Sivers at positivity bound

• Green: Asymmetry from Gluon Sivers for case of sea quark at positivity bound

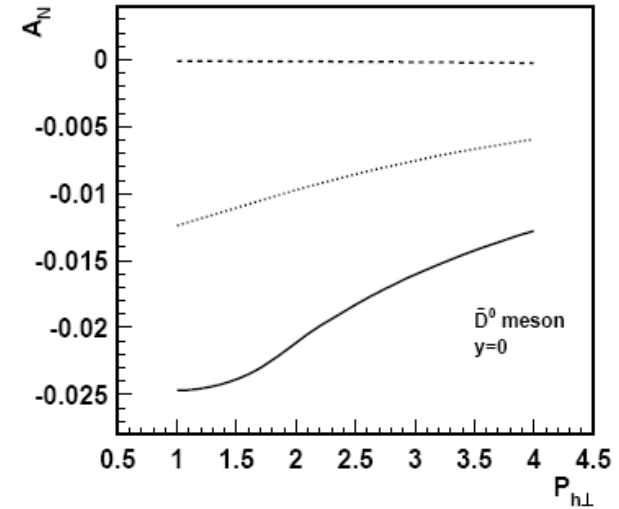
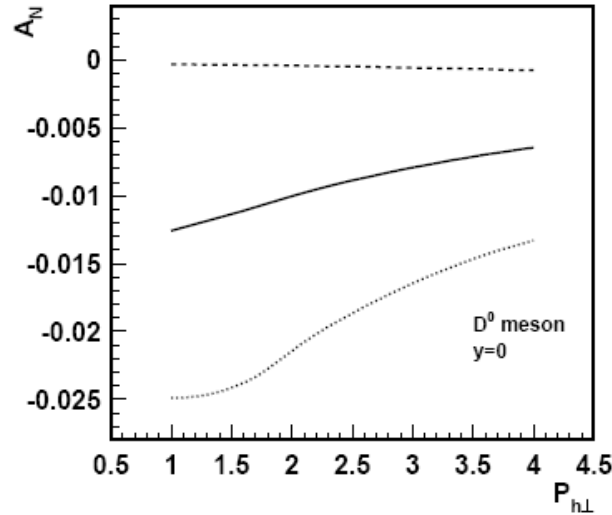
# Constraints on Sivers Function: Heavy Flavor

Theoretical predictions:



D meson  $A_N$

- Production dominated by gluon-gluon fusion at RHIC energy
- Gluon transversity zero  
□ Asymmetry cannot originate from Transversityx Collins
- Sensitive to gluon Sivers effect



High twist (PRD 78 114013)

$T_G^{(d)}, T_G^{(f)}$  twist 3 gluon correlators

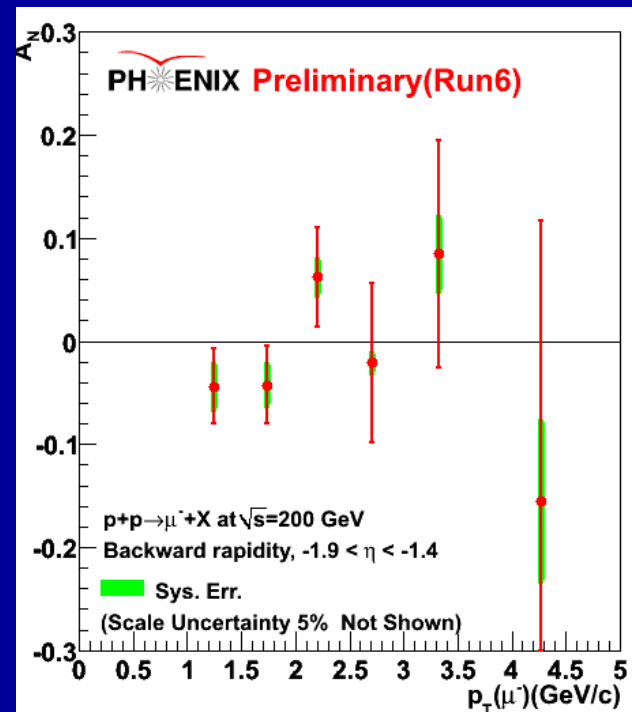
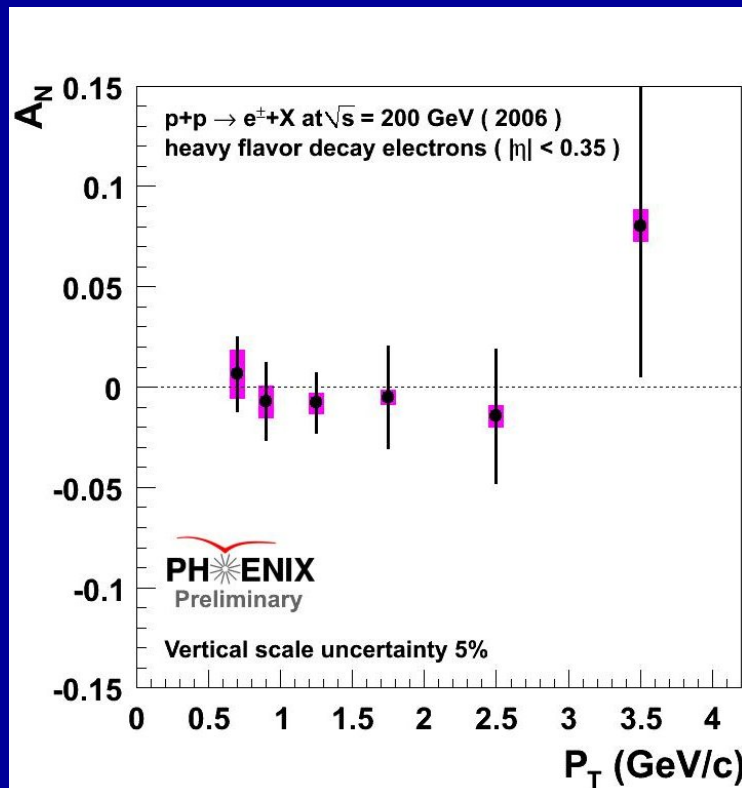
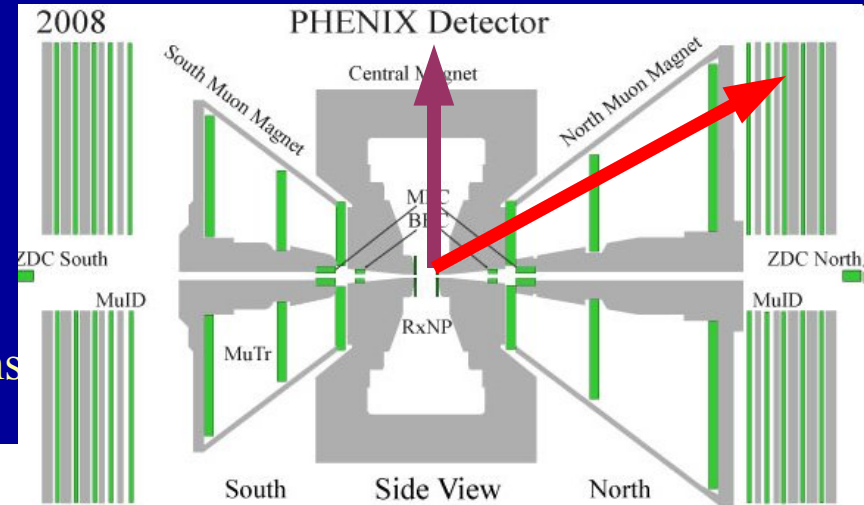
• Solid:  $T_G^{(d)}, T_G^{(f)}$  same sign

• Dashed:  $T_G^{(d)} = T_G^{(f)} = 0$

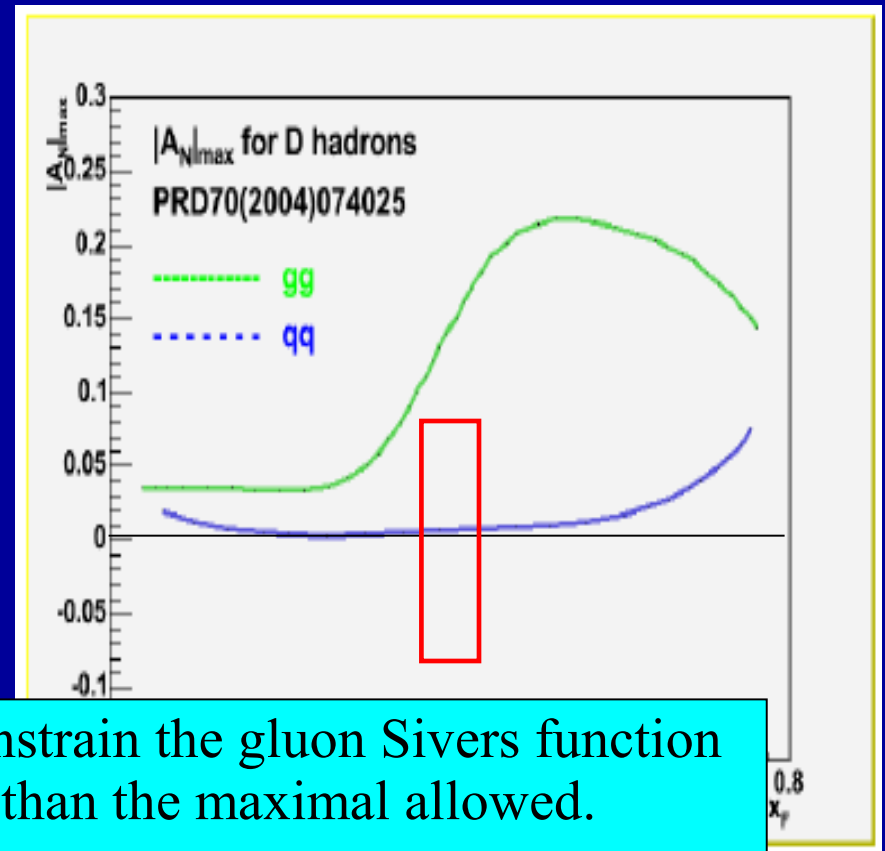
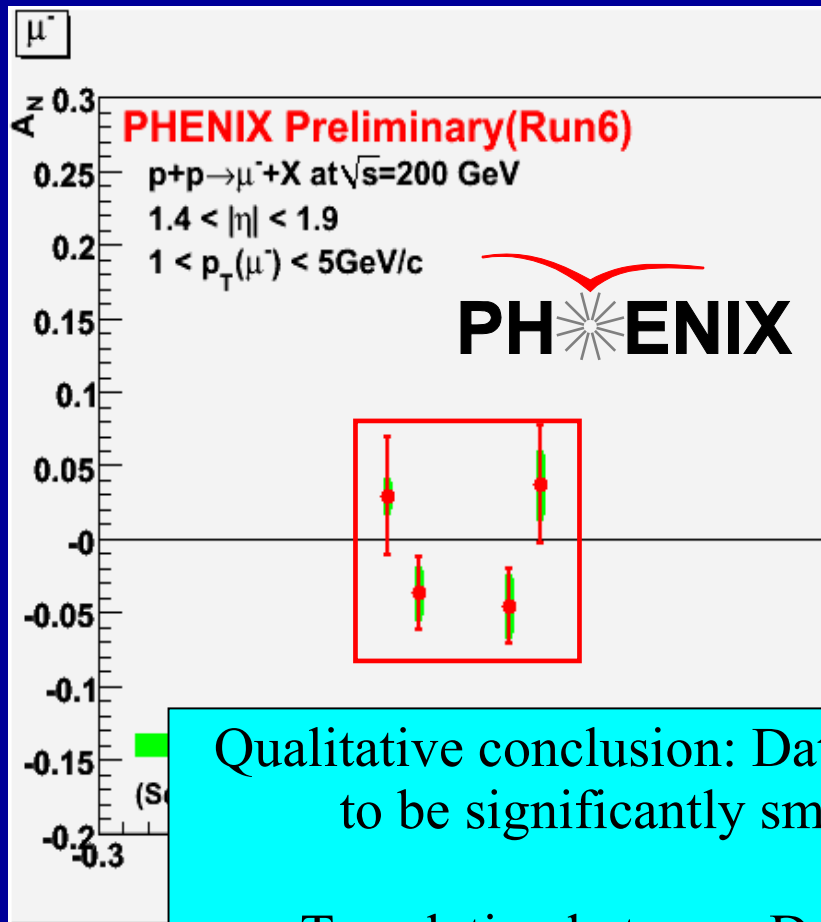
• Dotted:  $T_G^{(d)}, T_G^{(f)}$  opposite sign

# Constraints on Sivers Function: Heavy Flavor

- PHENIX: no reconstruction of D meson
- Dominated by charm production in current kinematic range
- Measurements at mid and forward rapidity
- Constraints on both twist-3 and Sivers functions



# SSA of heavy flavor vs. $x_F$



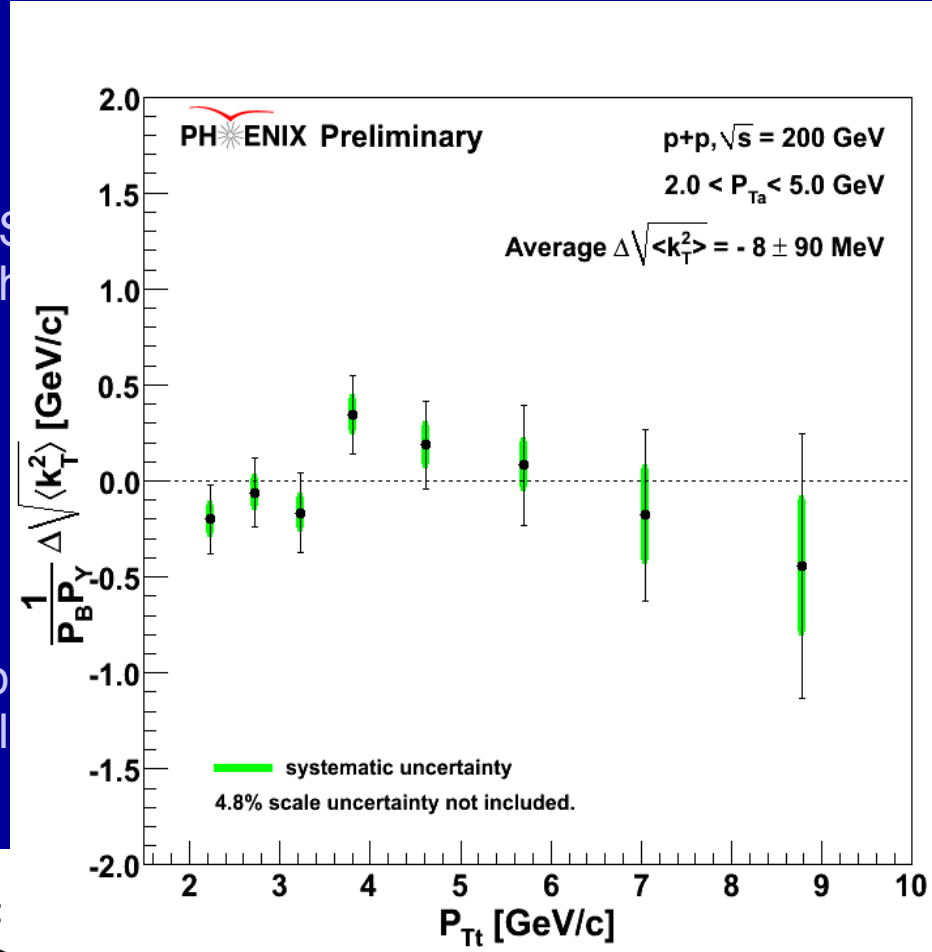
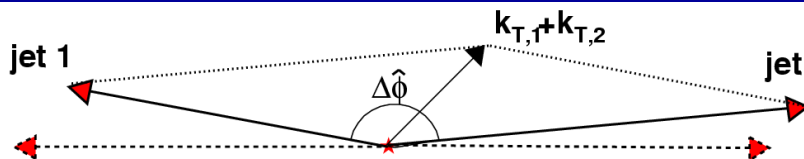
Qualitative conclusion: Data constrain the gluon Sivers function to be significantly smaller than the maximal allowed.

Translation between D meson and muon kinematics and estimate of charm vs. bottom components underway such that more quantitative comparison can be made in the future.

# Attempting to Probe $k_T$ from Orbital Motion

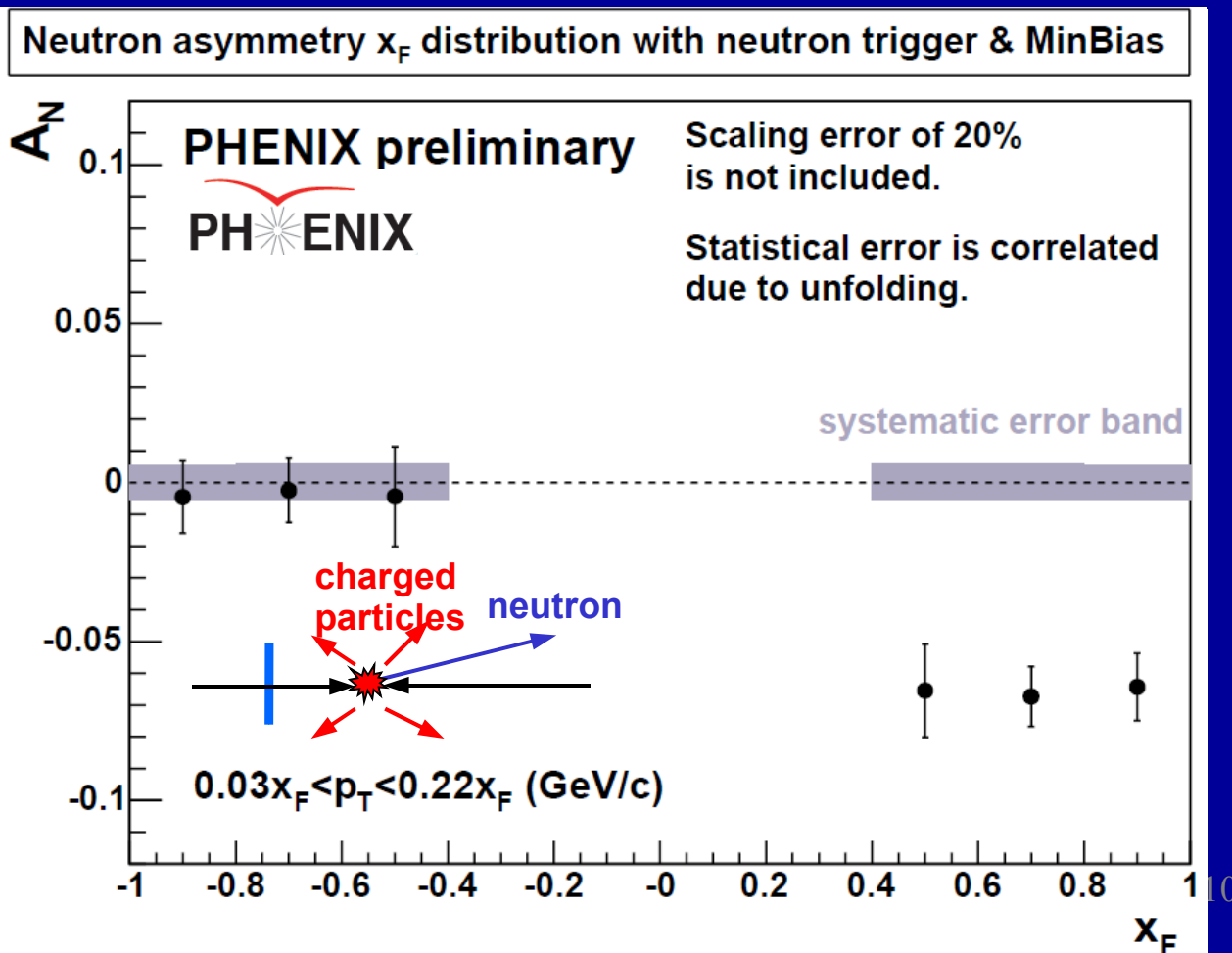
- Spin-correlated transverse momentum (orbital angular momentum) may contribute to jet  $k_T$ . (Meng Ta-chung et al., Phys. Rev. D40, 1989)
- Possible helicity dependence
- Would depend on (unmeasured) impact parameter, but may observe net effect after averaging over impact parameter

Op  
hel



# Forward neutrons at $\sqrt{s}=200$ GeV at PHENIX

Large negative SSA observed for  $x_F > 0$ , enhanced by requiring coincidence with forward charged particles (“MinBias” trigger).  
No  $x_F$  dependence seen.



Mean  $p_T$   
(Estimated by simulation assuming ISR  $p_T$  dist.)

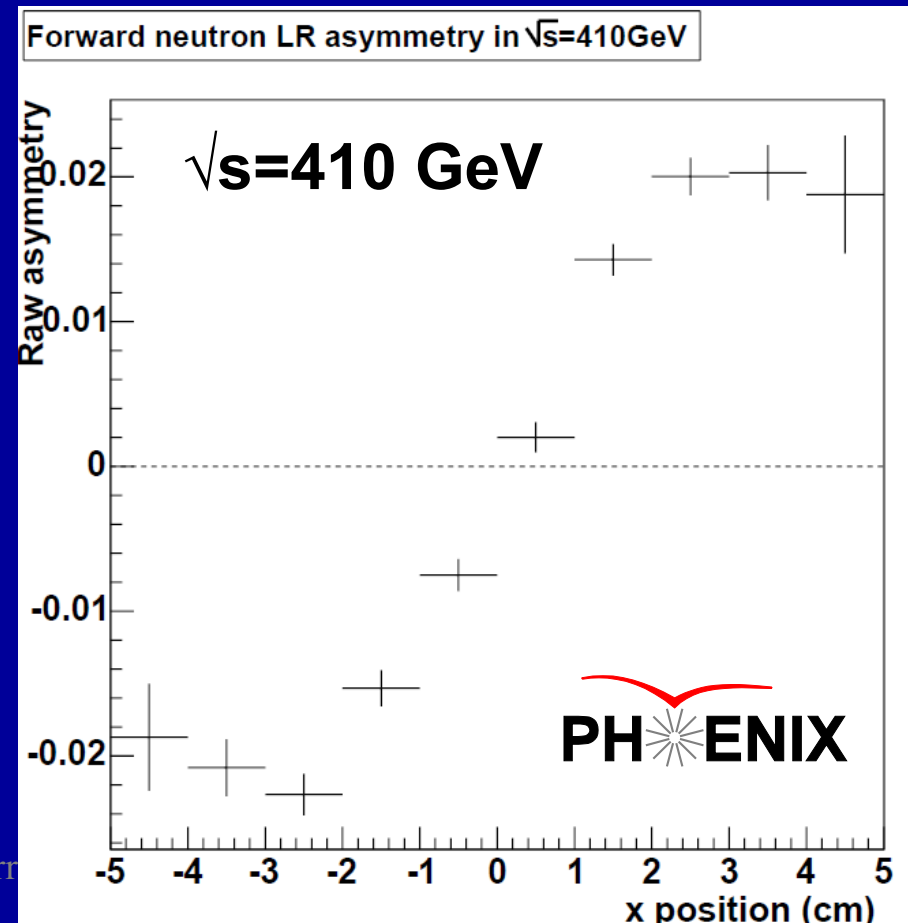
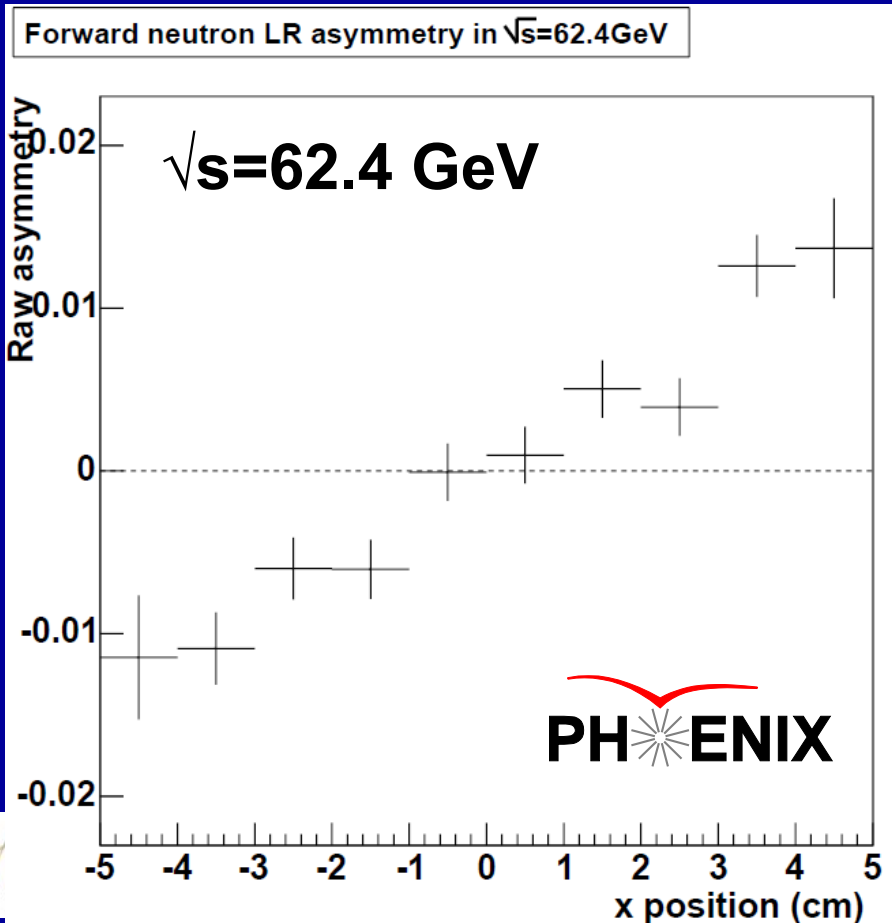
$0.4 <  x_F  < 0.6$	0.088 GeV/c
$0.6 <  x_F  < 0.8$	0.118 GeV/c
$0.8 <  x_F  < 1.0$	0.144 GeV/c

preliminary	$A_N$
Without MinBias	$-6.6 \pm 0.6$ %
With MinBias	$-8.3 \pm 0.4$ %

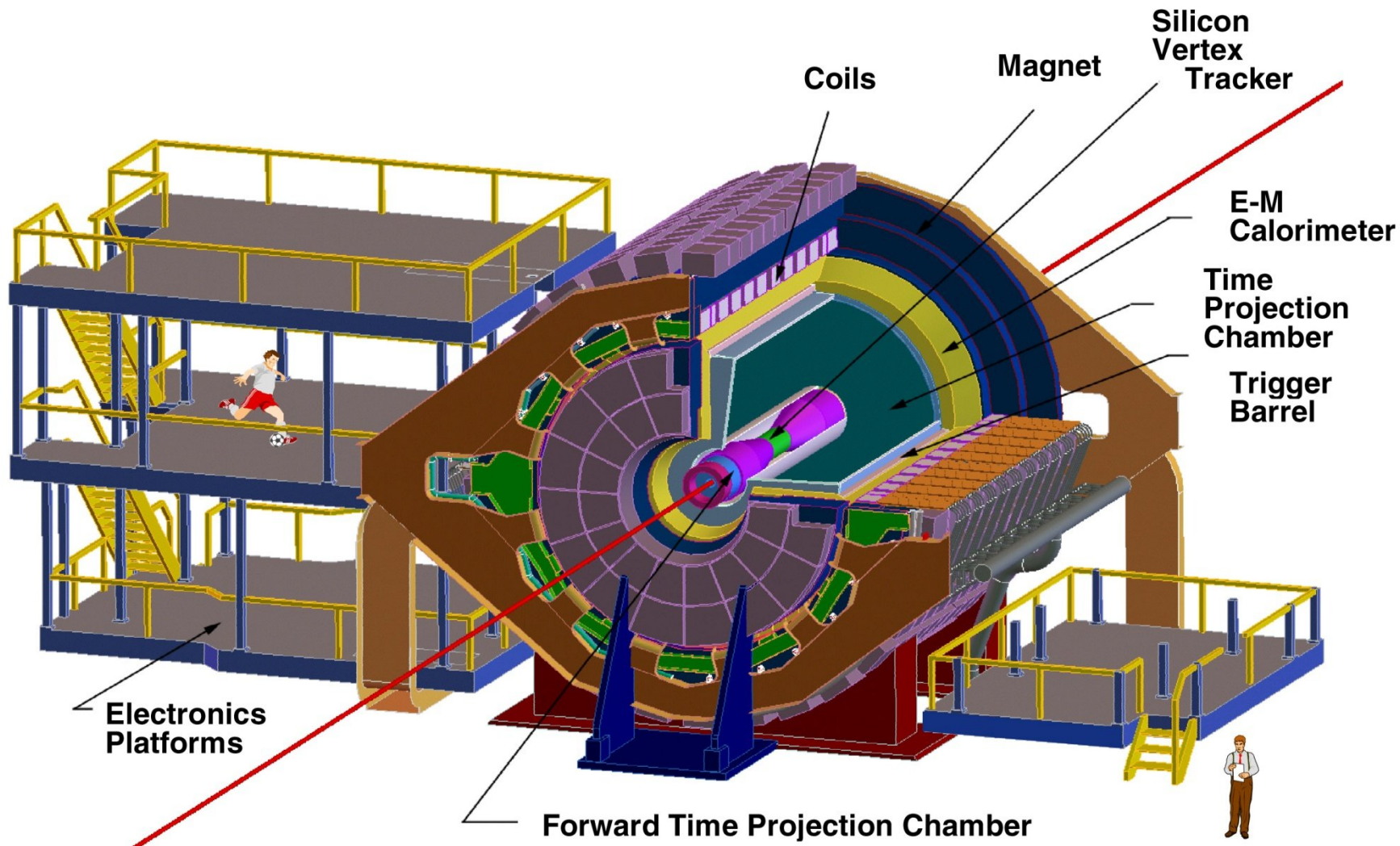
# Forward neutrons at other energies

Significant forward neutron asymmetries observed down to 62.4 and up to 410 GeV!

$$A = \frac{N_+ - RN_-}{N_+ + RN_-}$$



# The STAR Detector at RHIC

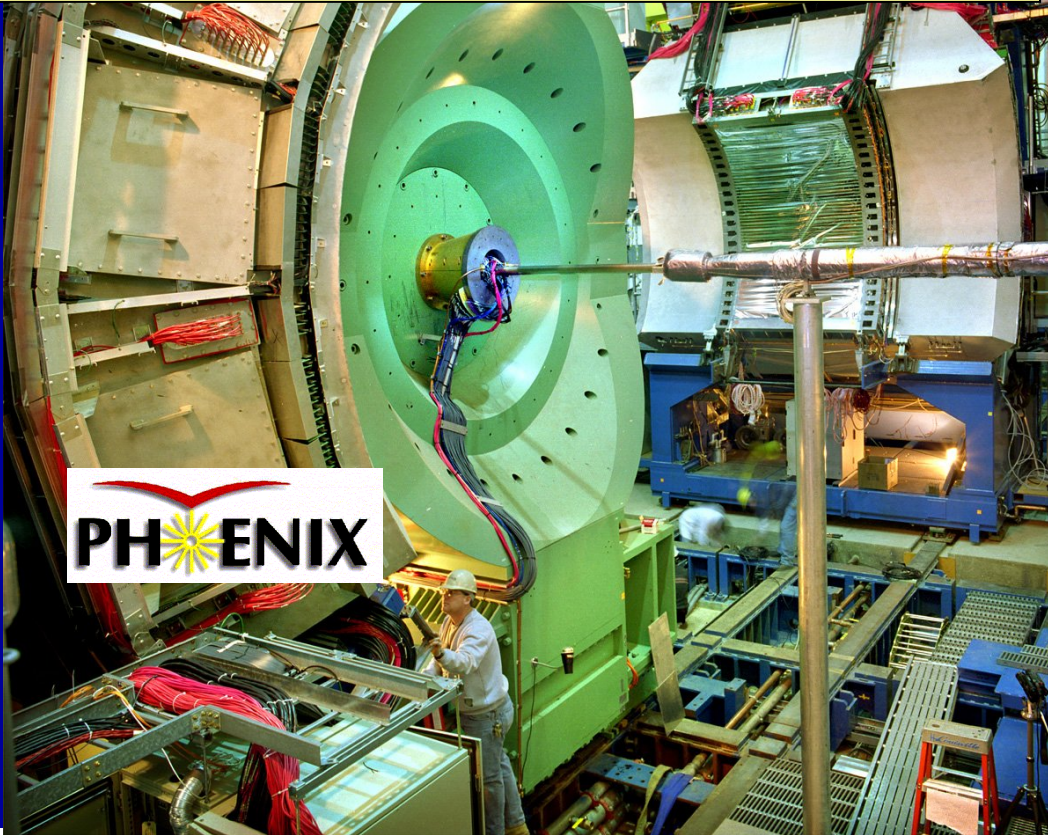




# PHENIX Detector

## Philosophy:

High rate capability to measure rare probes,  
but limited acceptance.



2 central  
spectrometers  
-Track charged  
particles and detect  
electromagnetic  
processes

$90^\circ + 90^\circ$  azimuth  
 $|\eta| < 0.35$

2 forward  
spectrometers  
- Identify and track  
muons

# BRAHMS detector

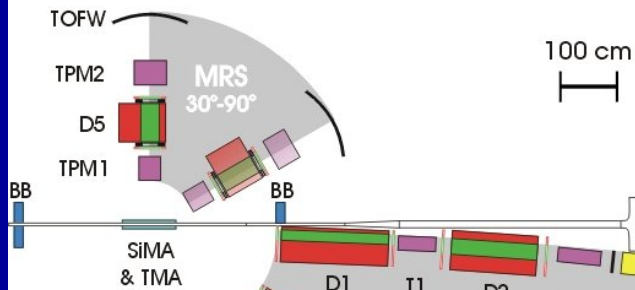
## Philosophy:

Small acceptance spectrometer arms designed with good charged particle ID.

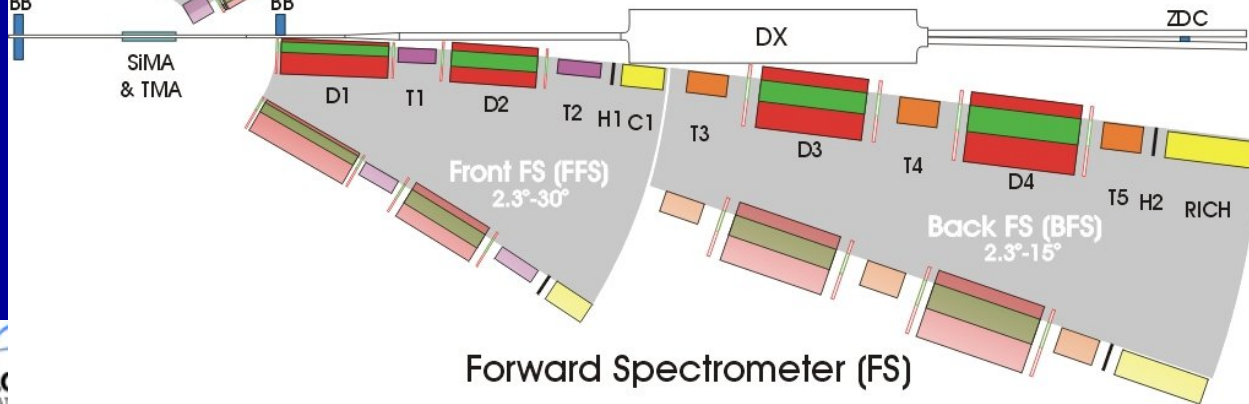


## BRAHMS Experimental Setup

### Mid Rapidity Spectrometer



- | Time Of Flight Wall
- Multiplicity Arrays
- Beam-Beam Counters & Zero Degree Calorimeters
- Time Projection Chamber
- Drift Chamber
- Cherenkov Detector
- Dipole Magnet

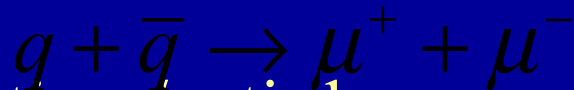


# And a (Relatively) Recent Surprise From $p+p, p+d$ Collisions

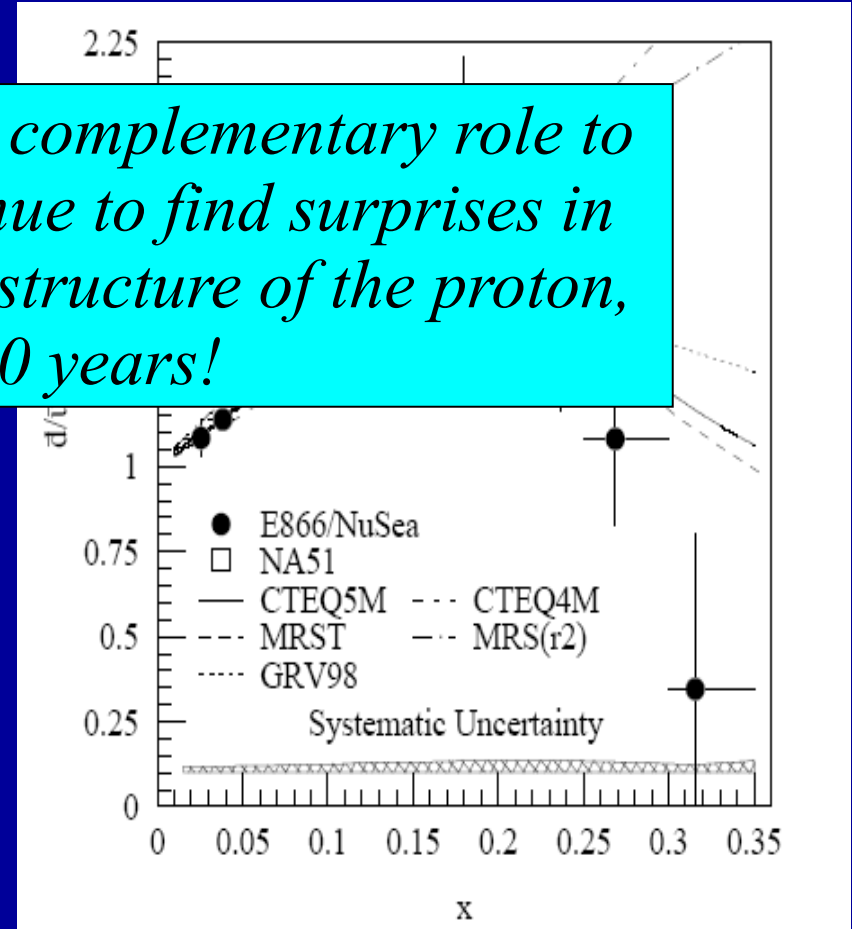
- Fermilab Experiment 866

use *Hadronic collisions play a complementary role to DIS and have let us continue to find surprises in the rich linear momentum structure of the proton, even after 40 years!*

Drell-Yan process



- Anti-up/anti-down asymmetry in the quark sea, with an unexpected  $x$  behavior!



PRD64, 052002 (2001)